



# City of Laguna Niguel

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## Consolidated Annual Performance Evaluation Report (CAPER)

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FY 2010-11  
1<sup>st</sup> Year of the Five-Year Consolidated Plan

# City of Laguna Niguel



## Consolidated Annual Performance and Evaluation Report (CAPER)

Community Development Department  
27781 La Paz Road Laguna Niguel, CA 92677

*Final*  
September 2011

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Program Year 2010-11  
1<sup>st</sup> Year of the 2010-2015 Consolidated Plan

(7/01/10 – 6/30/11)

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**Appendices**

- Appendix A: IDIS Reports
- Appendix B: Proof of Publication
- Appendix C: CAPER Checklist



# First Program Year CAPER

The CPMP First Consolidated Annual Performance and Evaluation Report (CAPER) includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

The grantee must submit an updated Financial Summary Report (PR26).

## GENERAL

### Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the first year.

### Program Year 1 CAPER Executive Summary response:

The Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2010-11 provides an overview of the accomplishments made by the City from July 1, 2010 through June 30, 2011 in implementing the first year of the City's five-year Consolidated Plan for FY 2010-2015 using the FY 2010-11 Annual Action Plan, as the standard to provide the overview of accomplishments. The Consolidated Plan established the following five priorities:

- **Priority 1: Affordable Housing-** Promote, preserve, and assist in the development of affordable housing for low- and moderate-income residents, special needs groups, those at- risk of homelessness, and disproportionately impacted residents.
- **Priority 2: Infrastructure and Facilities-** Improve and expand infrastructure and facilities that benefit low- and moderate-income neighborhoods and residents.
- **Priority 3: Public Services-** Provide and improve access to public services for low- and moderate-income persons and those with special needs.
- **Priority 4: Economic Development-** Provide for the economic development needs of low- and moderate- income persons and neighborhood target areas.
- **Priority 5: Administration and Planning-** Provide for administration and planning activities to develop housing and community development strategies and programs needed to carry out actions that address identified needs in the Consolidated Plan.

During FY 2010-11, the City received a total of \$363,793 in federal funds from the US Department of Housing and Urban Development (HUD) to address the goals and needs established in the Annual Action Plan, as well as the five-year Consolidated Plan priorities listed above. Funds were expended and accomplishments were achieved as follows:

• Age Well Senior Services	\$11,200	Assisted 10 people with 1,606 one-way trips
▪ Families Forward	\$5,000	Assisted 341 people
▪ Laura's House	\$4,000	Assisted 143 people
▪ South County Outreach	\$11,508	Assisted 653 people
▪ South Coast YMCA	\$22,859	Assisted 153 people
▪ Administration & Planning	\$16,567.50	Administered the City's CDBG program
▪ Fair Housing Council of OC	\$12,756	Assisted 99 households
▪ 2-1-1 Orange County	\$7,056	Assisted 772 people

- Street Improvement Project expended \$269,414 of CDBG funds plus \$91,104 CDBG-R funds to improve 1 Public Facility (streets in Niguel Woods LMA) and 1,567 people.
- ADA Pedestrian Pushbuttons Project was delayed, but will expend approximately \$47,541.56 to benefit 2,046 people with disabilities in FY 2011-12.

### **General Questions**

1. Assessment of the one-year goals and objectives:
  - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
  - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
  - c. If applicable, explain why progress was not made towards meeting the goals and objectives.
2. Describe the manner in which the recipient would change its program as a result of its experiences.
3. Affirmatively Furthering Fair Housing:
  - a. Provide a summary of impediments to fair housing choice.
  - b. Identify actions taken to overcome effects of impediments identified.
4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
5. Leveraging Resources
  - a. Identify progress in obtaining "other" public and private resources to address needs.
  - b. How Federal resources from HUD leveraged other public and private resources.
  - c. How matching requirements were satisfied.

### **Program Year 1 CAPER General Questions response:**

#### **1. Assessment of the One-Year Goals and Objectives**

- a. Table 1 shown below illustrates the City's accomplishments in meeting the one- year goals and objectives outlined in the FY 2010-11 Annual Action Plan, as related to the Consolidated Plan priorities.
- b. A breakdown of the CPD formula grant funds spent on the grant activities for each goal and objective are included in Table 1 below.
- c. During the annual site monitoring visits, staff discussed ways to increase the number served for FY 2011-12 with each of the agencies that did not meet their goals. One result of this is that Age Well Senior Services will be modifying their transportation program to transition from buses into a taxi model program.

**Table 1: FY 2010-11 Projects and Accomplishments**

Project Name	Performance Objective/Outcome	Allocation	Amount Expended	FY 2010-11 Goal	FY 2010-11 Accomplishments
<b>Priority 2: Infrastructure and Facilities</b>					
Capital Improvement Street Project	Suitable Living Environment (Availability/Accessibility)	\$269,414 plus \$91,104 CDBG-R	\$269,414 plus \$91,104 CDBG-R	1 Public Facility/1,567 people	Improved the streets within the Niguel Woods LMA
ADA Pedestrian Pushbuttons	Suitable Living Environment (Availability/Accessibility)	\$50,000* \$47,541.56	\$0	2,046 people	Project was delayed and will be implemented in FY 2011-12
<b>Total</b>			<b>\$360,518</b>		
<b>Priority 3: Public Services (15% cap)</b>					
Age Well Senior Services	Suitable Living Environment (Availability/Accessibility)	\$11,200	\$11,200	26 people/960 one-way trips	Assisted 10 people with 1,606 one-way trips
Families Forward	Suitable Living Environment (Availability/Accessibility)	\$5,000	\$5,000	390 people	Assisted 341 people
Laura's House	Suitable Living Environment (Availability/Accessibility)	\$4,000	\$4,000	130 people	Assisted 143 people
South County Outreach	Suitable Living Environment (Availability/Accessibility)	\$11,508	\$11,508	500 people	Assisted 653 people
South Coast YMCA	Suitable Living Environment (Availability/Accessibility)	\$22,859	\$22,859	115 people	Assisted 153 people
<b>Total</b>			<b>\$54,567</b>		
<b>Priority 5: Administration and Planning (20% cap)</b>					
Administration & Planning	Suitable Living Environment (Availability/Accessibility)	\$20,000	\$16,567.50	N/A	Administered the City's CDBG funded programs
Fair Housing Council of Orange County	Decent Housing (Availability/Accessibility)	\$12,756	\$12,756	141 households	Assisted 99 households
2-1-1 Orange County	Suitable Living Environment (Availability/Accessibility)	\$7,056	\$7,056	975 people	Assisted 772 people
<b>Total</b>			<b>\$36,379.50</b>		

\*An administrative amendment was made July 20, 2010 to reduce the ADA Push Button allocation and increase the Administration allocation.

**2. Changes to the Program as a Result of Experiences**

As a result of experiences in FY 2010-11, the City of Laguna Niguel made an administrative amendment on July 20, 2010 to reduce the ADA Push Button allocation and increase the Administration allocation. The City also began working with Age Well Senior Services to change their transportation program from a bus model to a taxi-model to increase ridership.

**3. Affirmatively Furthering Fair Housing**

**a. Summary of Impediments**

The following is a list of conclusions made during the City's Analysis of Impediments to Fair Housing Choice (AI) that impact fair and affordable housing within Laguna Niguel, with impediments illustrated in boldface type.

- **Demographic:** While the City's FY 2010-2015 Consolidated Plan indicated that the City has grown rapidly in the last 20 years, the racial and ethnic composition of Laguna Niguel changed only gradually between 1990 and 2000. The majority of residents were White (77 percent), which is higher than the County (65 percent); though in 1990 Whites made 83 percent of the population. The two largest minority groups in the City were Hispanic households (10 percent) and Asian households (8 percent). Hispanics increased by two percentage points from 1990 to 2000, while African Americans remained at one percent and Asians at eight percent. The dissimilarity index indicated that Laguna Niguel appeared more integrated than the County of Orange as a whole. In August of 2009, the unemployment rate was 7.6 percent (2,900 workers); significantly lower than the County's unemployment rate of 12.6 percent during the same timeframe, though it was still higher than during 2005 when it was approximately four percent.
- **Income:** Median income reported in the 2000 Census was \$80,733, much higher than the County median of \$58,820 and surrounding cities. While the median income of each minority group in the City was lower than the overall median, they were substantially higher than those of minority groups in the County. Low- and moderate-income households comprised 21 percent of the City's total households and elderly households made up a significant proportion of extremely low- and low-income households within in the City. **The proportion of low- and moderate-income households varied somewhat by ethnicity with Hispanics and African Americans disproportionately impacted (40 percent of Hispanics and 35 percent of African Americans were low-and moderate-income compared to 19 percent of Whites).** Concentrations of low- and moderate-income households were disbursed along the north, eastern and central portions of the City. These areas also corresponded with the racial/ethnic concentrations, which appear consistent with data showing these groups tended to make lower median incomes than other racial and ethnic groups. While the existing concentrations illustrate that patterns have developed, there do not appear to be specific reasons other than socio-economic reasons for the trend.
- **Housing:** The 2000 Census showed that the percentage of overcrowded households was significantly higher for minority households, though it is not apparent that discrimination or any particular public policy is causing this. While only two percent of White non-Hispanic households were reported as overcrowded, 41 percent of Some Other Race Alone, 26 percent of Hispanic households, 7 percent of African American households, and 6 percent of Asian households were overcrowded. Additionally, low- and moderate-income households experienced the greatest percentage of problems (overpayment and overcrowding), especially renters. **CHAS data further indicated that Hispanics and Asians were disproportionately affected by housing problems.** According to the State Community Care Licensing Division, **there are currently no licensed adult day care facilities, adult residential care facilities, group homes, or small family homes located in Laguna Niguel to serve the disabled population.** Thus, there is a need to increase capacity for these types of facilities. The disabled population may also need fair housing services, as disability discrimination is generally on the rise in California.
- **Fair Housing and Landlord/Tenant Issues:** Approximately 400 tenant/landlords complaints per year were received from Laguna Niguel residents for a total of 1,569 complaints in four years. Of these complaints, about 100 percent of residents affected were low- and moderate-income. Consistent with the City's demographics, the majority of complaints were from non-Hispanic Whites (70 percent) followed by Hispanics (9 percent), "Others" (8 percent), and Asians (6 percent); however, **African Americans appear to be slightly overrepresented given they represent only one percent of the population and 5 percent of complaints.** Special needs groups were reported as follows: 15 percent of complaints were from single- parents, 12 percent were seniors,

and 10 percent were disabled. The majority of complaints were related to notices (20 percent), rental agreements (12 percent), and security deposits (8 percent).

- **Public Sector Practices:** It was noted that **the City's Housing Element is outdated**; though it is in the process of being updated. While **little affordable housing has been built in the last few years**, sites are currently being identified to accommodate the RHNA. The City does not administer its own housing programs; however, they have partnered with the County to address housing needs. There is little diversity in the membership of public representatives; however, the overall demographics of the City have likely been responsible for this trend; not any intentional discriminatory practices.
- **Private Sector:** Home prices have escalated considerably over the past few years and have recently declined; however, housing is still fairly expensive in Laguna Niguel. This has priced many lower income residents out of the housing market, with many lower income residents experiencing a high housing cost burden and overcrowded living conditions. Within the rental market, rental prices have increased while vacancy rates have decreased. Few apartments with three or more units were advertised for rent, indicating that finding appropriately sized rental housing for large households is difficult.
- **Lending:** According to the 2008 HMDA data, there were 1,577 conventional loan applications received for the purchase of homes in Laguna Niguel, with 55 percent approved, 12 percent denied, and 14 percent withdrawn or closed. Comparing approval rates by ethnicity in Laguna Niguel shows little disparity between Hispanic, White, Asian and African Americans, as rates were in the low 60's and were within a few percentage points of each other. Yet a substantial number of applicant race/ethnicity categories were reported as "not available" and had a high denial rate (36 percent) Pacific Islanders also had a low rate of 50 percent; though there were few applications from this group. **Refinancing applications showed lower approval rates for minorities. In addition, the current housing market has resulted in many new concerns for the City not present in the last AI, that may provide opportunities for discrimination including: foreclosures, loan modifications, short sales, and real estate owned (REO) properties that have flooded the market.**
- **Survey/Outreach:** While the majority of responses from the City's Fair Housing Survey indicate residents have not experienced discrimination in the City, **there may be potential concern for the City to consider, regarding the few survey responses that alluded to potential discriminatory attitudes within the City.**

#### **b. Actions to Overcome Effects of Impediments**

The City contracts with the Fair Housing Council of Orange County to provide fair housing, landlord/tenant education and outreach services to residents of Laguna Niguel. In FY 2010-11, \$12,756 was allocated and expended to assist 99 households with landlord/tenant services, fair housing discrimination complaints, and testing of five (5) of the City's apartment complexes. Of the 14 discrimination complaints received, nine involved race, four involved familial status and one national origin.

Proposed actions to address the impediments to fair housing choice identified in the City's AI, which the City continued to implement in FY 2010-11 included:

**Action 1: Increase Fair Housing Education and Outreach Collaboration:** The City should collaborate and coordinate with multiple agencies, including lenders, realtors, and cultural groups to provide increased efforts in educating residents on potential sources of discrimination and avenues to address fair housing. The City should target this education and outreach to various special needs groups including, but not limited to: the disabled, elderly, persons living with HIV/AIDS, low- and moderate-income large families, and minorities.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

**Status-** *In FY 2010-11, a tenant rights presentation workshop was held in Laguna Woods, two booths were staffed in Laguna Niguel (the Community Festival and Business Expo), a resource booth was staffed at Soka University in Aliso Viejo, and a home preservation workshop was held in Ladera Ranch that served approximately 400 people. Several other outreach events were held throughout the County, which were available for residents as well.*

**Action 2: Provide Networking Opportunities, Homeownership Education, and Credit Counseling for Minority Groups:** The City should partner with an agency that provides networking opportunities, homeownership education, and credit counseling targeted to minority and special needs groups.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

**Status-** *During FY 2010-11, a home preservation workshop was held in Ladera Ranch that offered free counseling for those at risk of losing their homes to foreclosure.*

**Action 3: Increase Fair Housing Services to Include Periodic Testing and Outreach Efforts within the City Limits:** The City should collaborate with their fair housing service provider to increase the Scope of Work to provide periodic testing throughout the City, particularly in the rental market. In addition, education and outreach efforts should be conducted within the City limits.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

**Status-** *During FY 2010-11, the City of Laguna Niguel increased their allocation to the Fair Housing Council of Orange County to provide for periodic testing of five (5) apartment complexes within the City. Of the two systemic tests conducted for race, one resulted in inconclusive evidence and the other in differential treatment. Two resource booths were staffed within the City limits; one at the Community Festival and one at the Business Expo.*

**Action 4: Study the Feasibility of Providing Housing Programs:** The City should collaborate and coordinate with multiple agencies to provide various housing programs to address affordability needs of low-and moderate-income households and the special needs of the disabled population that could benefit from down payment assistance, accommodation improvements, and/or low cost home improvements.

- **Timeframe:** By the end of FY 2011-2012.

**Status-** *No studies were conducted in FY 2010-11.*

**Action 5: Update the City's Housing Element:** The City should continue to work towards updating its housing element in compliance with State Law.

- **Timeframe:** Continue the process annually until completed.

**Status-** *During FY 2010-11, the City continued to update its Housing Element. A Planning Commission hearing was held February 8, 2011 and a City Council hearing was held on March 1, 2011. After receiving public testimony and deliberating the item, the City Council directed staff to submit the Draft Housing Element to the state Department of Housing and Community Development (HCD) for their required review. HCD issued comments in response to the City's submittal and approval is pending the Specific Plan Adoption in FY 2011-12.*

**Action 6: Collaborate with Affordable Housing Developers:** The City should collaborate with various affordable housing developers to facilitate a variety of housing choices within the City and meet the City's allocation.

- **Timeframe:** Continue the process in FY 2010-2011, and annually thereafter.

*Status-* During FY 2010-11, the City of Laguna Niguel collaborated with various entities regarding affordable housing while working on the Housing Element Update.

#### 4. Obstacles to Meeting Underserved Needs

Obstacles to addressing underserved needs include lack of funding for the construction of affordable housing and senior housing. Laguna Niguel does not qualify to receive HOME funds, which are typically used to address affordable housing activities, nor does the City have any Section 202 housing for senior households. To overcome this obstacle, the City has adopted density bonus provisions per state law to market to developers of affordable housing. The City also adopted an ordinance which allows for alternative development standards for housing where at least 50 percent of the units are for senior citizens. The City has used this revision to develop a 78-unit assisted living facility, and will continue to support use of the ordinance in the future.

#### 5. Leveraging Resources

As a small city, Laguna Niguel has few funding sources available for leveraging CDBG funds. The City's annual CDBG allocation is less than \$400,000. Any major public improvement project may require multi-year funding. The City has no concentrated areas with blighting conditions and therefore has not established a redevelopment project area. Redevelopment tax increment is a typical and significant leveraging resource for most communities. Without the authority of redevelopment to generate funds, the City has little resources for public improvements and affordable housing development. In FY 2008-09, the City applied for CDBG-R funds under President Obama's Recovery Act, which were received and utilized for street improvements in the Niguel Woods low to moderate-income area (LMA) during FY 2010-11.

Community Development programs are typically funded with general funds and CDBG. In addition, the Senior Services Mobility Transportation Program, administered by Age Well Senior Services, leverages an Orange County Transportation Authority (OCTA) grant for which CDBG is a 20 percent matching portion. Other leveraged funding from private and non-federal public sources are as follows:

▪ General Funded Community Development	\$2,000,000
▪ Article 4/Senior Transportation Grant	\$46,533
▪ Caltrans Community Based Transportation Planning Grant	\$185,000
▪ State Recreational Trails Program Grant	\$560,000
▪ State COPS Grant	\$100,000
▪ OCCOG LN Gateway Specific Plan	\$50,466
▪ Department of Energy (ARRA) City Hall	\$515,610
▪ Department of Parks & Recreation Trails Program Grant	\$560,000
▪ GMA 10 Administration	\$7,500
▪ 05-LNIG-RIP-2538 Crown Valley Widening	\$4,500,000

Other grants the City is pursuing include a Forestry Grant for the Salt Creek Trail Project and a grant related to the Crown Valley Widening Project.

### **Managing the Process**

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

#### **Program Year 1 CAPER Managing the Process response:**

##### **1. Actions Taken to Ensure Compliance with Program and Comprehensive Planning Requirements**

The following actions were completed during the program year to ensure compliance with program and comprehensive planning requirements.

- Made available to the public the City's One-Year Action, Citizens Participation Plan, Consolidated Annual Performance Evaluation Report (CAPER), and Consolidated Plan.
- Maintained and adhered to the timeline schedule for CDBG's annual planning process.
- Scheduled required public hearings, per the Citizen Participation Plan and federal regulations for CDBG.
- Advertised public review periods.
- Devised methods and established networks with other departments for adequate program implementation and monitoring.
- Conducted site visits to agencies providing CDBG-funded activities for program review and accountability.
- Reviewed accomplishment reports with sub-recipients.
- Reported activities, expenditures and progress in the IDIS system.

### **Citizen Participation**

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

#### **Program Year 1 CAPER Citizen Participation response:**

##### **1. Summary of Citizen Comments**

The CAPER was made available for citizen comment for fifteen days from September 1, 2011 through September 15, 2011, as required by HUD regulations. Copies were available at Laguna Niguel's City Hall (at the City Clerk's Office and in the Community Development Department), Sea Country Senior and Community Center, and on the City's Website. It was also available for review by requesting a copy in person, by telephone, fax, or e-mail. Copies of the proof of publications for the notices can be found in Appendix B. The CAPER was brought to Council on September 20, 2011 and then forwarded to HUD on September 28, 2011. No comments were received.

## 2. Federal Funds and Geographic Distribution of Funds

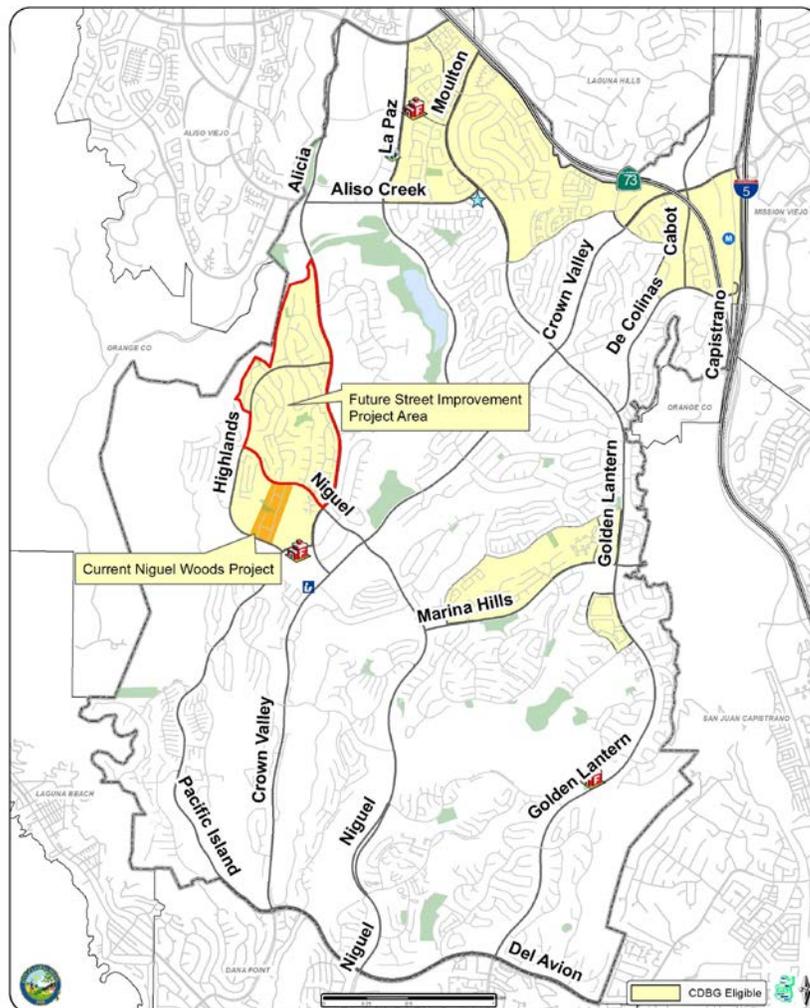
During FY 2010-11, the City of Laguna Niguel received \$363,793 in federal Community Development Block Grant (CDBG) entitlement funds from the US Department of Housing and Urban Development (HUD) to further the objectives of the FY 2010-2015 Consolidated Plan.

The total amount of funds committed and expended during the reporting period can be found in Table 1 within this CAPER narrative, and in the Appendix A– IDIS PR03.

Geographic distribution of funds utilized in FY 2010-11 were as follows:

- **Street Improvement Project-** located in Niguel Woods; Census Tracts: 0423.26 Block Group (BG) 1 and 2; 0423.34 BG 1-3; 0423.35 BG 1; 0423.30 BG 2 and 3; 0423.31 BG 3; 0423.15 BG 1. See Figure 1 below.
- **ADA Pedestrian Pushbutton Project-** will occur in various low- and moderate-income areas.
- **Public Service Projects-** are provided to low- and moderate-income residents on a community-wide basis.

**Figure 1: Street Improvement Project**



### **Institutional Structure**

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

#### **Program Year 1 CAPER Institutional Structure response:**

##### **1. Actions Taken to Overcome Gaps in the Institutional Structure and Enhance Coordination**

FY 2010-11 was the first year of the Consolidated Plan. The City relied on various methods to overcome gaps in the City's institutional structure and enhance coordination of service delivery including:

- The Planning Department, acting as the lead agency, met with various City departments and with outside agencies to gain input on the annual needs, enhance coordination of services, prevent duplication of service, and resolve issues within the interagency institutional structure.
- Trainings developed by the Department of Housing and Urban Development were attended to ensure that the City was in full compliance with the program and to ensure the maximum use and availability of CDBG funds.
- Monitoring of sub-recipients was conducted to ensure that there was accountability and productivity, and adjustments were made as necessary.
- The City continues to utilize an Advisory (Ad-Hoc) Committee to review and make recommendations on public service grants.
- The City coordinated with the County Housing Authority on housing activities administered by this organization, primarily Section 8, which involves continued participation as a member of the Orange County Housing Authority Advisory Committee.
- To strengthen the housing delivery system, the City is considering working with local non-profit housing organizations, to evaluate their qualification for CHDO or CBDO certification. A locally certified CHDO or CBDO is eligible to apply for direct funding from HUD.

### **Monitoring**

1. Describe how and the frequency with which you monitored your activities.
2. Describe the results of your monitoring including any improvements.
3. Self Evaluation
  - a. Describe the effect programs have in solving neighborhood and community problems.
  - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
  - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low- and moderate-income persons.
  - d. Indicate any activities falling behind schedule.
  - e. Describe how activities and strategies made an impact on identified needs.
  - f. Identify indicators that would best describe the results.
  - g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
  - h. Identify whether major goals are on target and discuss reasons for those that are not on target.
  - i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

### **Program Year 1 CAPER Monitoring response:**

#### **1. Frequency of Annual Monitoring Site Visits and How Conducted**

Annual monitoring site visits were conducted with each sub-recipient in May and June of 2011. Items reviewed included record keeping, reporting, financial management, service intake, program implementation, and regulatory compliance.

#### **2. Results and Improvements**

As a result of the annual site visits conducted with each sub-recipient in FY 2010-11, several agencies improved the quality of reporting quarterly accomplishments and the City maintained compliance with the monitoring requirements of the CDBG program. Results of the monitoring include working with Age Well Senior Services to change the transportation program from a bus model to a taxi-model for 2011-12 to increase ridership.

#### **3. Self Evaluation**

- a. The City's CDBG funded programs have aided in solving neighborhood and community problems by provided a funding source for underserved needs. Specifically, the Niguel Woods Street Improvement Project has given a low-income neighborhood an improved street infrastructure system.
- b. The activities funded in FY 2010-11 have allowed the City to effectively address its progress in meeting the Consolidated Plan priorities and objectives. Specifically, the Niguel Woods Street Improvement Project has allowed the City to address infrastructure needs, goals, and priorities.
- c. Coordination with the County of Orange has allowed the City to address affordable housing needs and has helped the City provide decent housing. The City provided for a suitable living environment through various public service agencies and expanded economic opportunity principally for low- and moderate-income persons through its Economic Development Department. The City is currently updating its Gateway Specific

Plan, primarily to allow multi-family residential development in the area and to accommodate the provision of affordable housing units.

- d. There are no activities falling behind schedule.
- e. Activities and strategies made an impact on identified needs by providing a funding source to pay for improvements that address the City's needs.
- f. Indicators that would best describe the results of this program year include 2,072 people were provided with increased Availability/accessibility of a suitable living environment through various public service activities; 99 households were provided increased Availability/accessibility to decent housing through the Fair Housing Council of Orange County, and approximately 1,567 people in the Niguel Woods area were provided with the Availability/accessibility of a suitable living environment through street infrastructure improvements.
- g. Lack of funding is one barrier that had a negative impact on fulfilling the strategies and overall vision.
- h. As this is the first of five years to meet the Consolidated Plan goals and objectives, it appears that all major goals are on target to be met.
- i. As this is the first of five years to meet the Consolidated Plan goals and objectives, there are no adjustments or improvements needed to strategies and activities to meet needs more effectively at this time. It is expected that changing Age Well Senior Services Transportation Program from a bus model to a taxi model will increase ridership.

### **Lead-based Paint**

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

### **Program Year 1 CAPER Lead-based Paint response:**

#### **1. Actions Taken to Evaluate and Reduce Lead-Based Paint Hazards**

The majority of Laguna Niguel's housing stock was built after 1985. Consequently, the housing stock does not contain a significant number of units with potential lead-based paint (LBP) hazards. According to HUD CHAS data, approximately 161 pre-1970 units may be occupied by low- and moderate-income households that may potentially contain LBP. The City supports the HUD and EPA efforts to disseminate public information on the health hazards of LBP. LBP brochures are available at the City counter.

## **HOUSING**

### **Housing Needs**

\*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

### **Program Year 1 CAPER Housing Needs response:**

#### **1. Actions Taken to Foster and Maintain Affordable Housing**

The City has made maintaining, improving, and increasing its affordable housing one of its top priorities in the Consolidated Plan. The current Housing Element update contains a number of housing programs to facilitate the development and conservation of affordable housing. The City also continues to explore opportunities for affordable housing development and the

potential use of CDBG funds for housing assistance. Options considered by City staff included transitional housing facilities, rental subsidies, and minor repair grants.

Due to limited resources, the City does not currently use CDBG funds for the development or rehabilitation of affordable housing. An impediment to providing these programs is the high price of real estate in Laguna Niguel. Programs such as housing acquisition and rehabilitation would be particularly expensive for the City given the area's real estate market. Furthermore, the high price of housing in Laguna Niguel would make it difficult to assist more low- and moderate-income individuals and families with their housing needs.

To overcome this obstacle, the City coordinates with other public and private agencies to provide affordable housing opportunities. The City also maintains a log of inquiries for affordable housing issues. In FY 2010-11, there were 24 inquiries received that the City responded to.

### **Specific Housing Objectives**

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.
2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

### **Program Year 1 CAPER Specific Housing Objectives response:**

#### **1. Progress in Meeting Specific Objectives and Priorities of Affordable Housing**

Housing needs identified in the City's FY 2010-2015 Consolidated Plan include: Promoting, preserving, and assisting in the development of affordable housing for low- and moderate-income residents, special needs groups, those at- risk of homelessness, and disproportionately impacted residents.

Additionally, the Housing Needs Table 2A (also found in the FY 2010-2015 Consolidated Plan) identified the following Needs and Goals by housing type and priority level as follows:

**Table 2A: Priority Needs Summary Table**

PRIORITY HOUSING NEEDS		Priority Need Level High, Medium, Low		Unmet Need	Goals
Renter	Small Related	0-30%	High	207	15
		31-50%	Medium	233	15
		51-80%	High	508	25
	Large Related	0-30%	Medium	37	5
		31-50%	Medium	43	5
		51-80%	Medium	138	10
	Elderly	0-30%	Medium	50	5
		31-50%	Medium	50	5
		51-80%	Low	78	5
	All Other	0-30%	Medium	185	10
		31-50%	Medium	194	10
		51-80%	Medium	322	20
Owner	0-30%	High	442	20	
	31-50%	High	427	20	
	51-80%	High	1,028	50	
Special Needs		0-80%	High	-	100
<b>Total Goals</b>					320
<b>Total 215 Goals</b>					110
<b>Total 215 Renter Goals</b>					70
<b>Total 215 Owner Goals</b>					40

**Priority 1: Affordable Housing (High)**

Promote, preserve, and assist in the development of affordable housing for low- and moderate-income residents, special needs groups, those at-risk of homelessness, and disproportionately impacted residents. The intended outcomes are the provision of Decent Housing (DH) and expansion of Availability/Accessibility (1) and Affordability (2).

The City's overall housing objectives established in the Consolidated Plan are as follows:

Overall Housing Objectives:	
<b>Five-Year Goals /Objectives:</b>	<b>Fair Housing:</b> 500 households (100 annually) <b>Section 8 Rental Assistance:</b> 500 households (100 annually) <b>Preservation of At-Risk Units:</b> 256 housing units
<b>Performance Indicators:</b>	Decent Housing - Availability/accessibility (DH-1) Decent Housing - Affordability (DH-2)
<b>Performance Measure:</b>	Increased proportion of low- and moderate- income households in Laguna Niguel with decent housing opportunities
<b>Sources of Funds:</b>	CDBG; County HOME funds; Section 8 funds; others as available
<b>Geographic Distribution:</b>	All housing programs are offered for the benefit of low- and moderate- income persons/households on a communitywide basis.

The City does not specifically fund housing projects/programs. The City's progress in meeting the specific objective of providing affordable housing as established in the Consolidated Plan: including the number of extremely low-income, low-income, and moderate-income renter- and

owner-households comparing actual accomplishments with proposed goals during the reporting period are as follows:

**Implementing Programs:** The following are programs implemented by the City and County to assist low- and moderate- income renter- and owner- households in the City of Laguna Niguel

1. **Fair Housing (DH-2):** The City provided for fair housing services, through contract with the Fair Housing Council of Orange County which included: discrimination complaint intake and enforcement, landlord-tenant services, audit services, and education/outreach efforts. **The City allocated and expended \$12,756 of CDBG funds to assist approximately 99 renter households (54 extremely low-income, 25 low-income, 12 moderate-income, and 8 above moderate income). An additional 400+ people benefitted from education and outreach efforts.**
2. **Section 8 Rental Assistance (DH-2):** Administered by the Orange County Housing Authority (OCHA), the Section 8 rental assistance program extends rental subsidies to low-income (50 percent MFI) families and elderly who spend more than 50 percent of their income on rent, live in substandard housing, or have been displaced. **Approximately 122 low-income (0-50 percent MFI) renter-households received Section 8 rental assistance. These households included 17 family households, 30 disabled households, and 75 elderly households.**
3. **Preservation of At-Risk Units (DH-2):** The City will continue to explore ways to preserve the existing affordable housing units that are at-risk of conversion during the five-year planning period. **During FY 2010-11, three affordable apartment complexes converted to market rate on Jan 1, 2011. Staff contacted management to try to retain units; however, the owner was not interested. The units were at Hidden Hills (48 assisted units), Seaview Summit (16 assisted units), and Niguel Summit (27 assisted units). A total of 91 units were lost.**

**Cumulative Accomplishments:** To date, 54 extremely low-income, 25 low-income, 12 moderate-income, and 8 above moderate-income renter households have been assisted through the Fair Housing Program and 122 low-income renter-households through the Section 8 Rental Assistance Program.

- ✓ The annual goal for the Section 8 Rental Assistance Program was met, while the goal for the Preservation of at-risk units was not. The goal for the Fair Housing Program was met when factoring in educational outreach accomplishments; however those statistics are not verifiable and are therefore counted separate.

## 2. Progress in Providing Affordable Housing that Meets the Section 215 Definition

Affordable housing is generally defined as housing where the occupant is paying no more than 30 percent of gross income for gross housing costs, including utility costs. The Section 215 definition of affordable housing is defined in 24 CFR 92.252 for rental housing and 24 CFR 254 for homeownership which is as follows:

- Rental Housing: A rental housing unit is considered to be an affordable housing unit if it is occupied by a low-income family or individual and bears a rent that is the lesser of (1) the Existing Section 8 Fair Market Rent (FMR) for comparable units in the area or, (2) 30 percent of the adjusted income of a family whose income equals 65 percent of the median income for the area. An exception is for those cases where, depending on the prevailing market conditions, HUD specifically establishes higher or lower FMR's for a jurisdiction.

- Home ownership: Principal residence; and (2) has a sale price which does not exceed the mortgage limit for the type of single family housing for the area under HUD's single family insuring authority under the National Housing Act.
- Housing that is to be rehabilitated, but is already owned by a family when assistance is provided qualifies as affordable if the housing (1) is occupied by a low-income family which uses the housing as its principal residence and (2) has a value, after rehabilitation, that does not exceed the mortgage limit for the type of single family housing for the area, as described in 2(a) above.

**Cumulative Accomplishments:** *The number of households assisted with housing that met the Section 215 definition of affordable housing for rental and homeownership to date are as follows: 122 low-income renter-households have been assisted through the Section 8 Program.*

### **3. Worst-Case Housing Needs and Housing Needs of Disabled Persons**

Worst-case housing needs are defined as low-income renter-households who pay more than half their income for rent, live in seriously substandard housing (which includes homeless people) or have been involuntarily displaced. Actions the City has taken to meet the worst-case housing needs include funding: Laura's House, which helps provide shelter for victims of domestic violence and their children; Age Well Senior Services which helps the elderly; Families Forward and South County Outreach, which assist the homeless and those at-risk of homelessness. In order to meet the housing needs of the disabled population, the City complies with all state and federal requirements for accessibility in housing. Furthermore, the City contracts with the Fair Housing Council of Orange County to provide fair housing and landlord/tenant services, which benefit many disabled people that are denied a reasonable accommodation.

#### **Public Housing Strategy**

1. Describe actions taken during the last year to improve public housing and resident initiatives.

#### **Program Year 1 CAPER Public Housing Strategy response:**

##### **1. Actions Taken to Improve Public Housing and Resident Initiatives**

There are no public housing units in Laguna Niguel; therefore, there were no planned actions to foster public housing improvements and resident initiatives.

#### **Barriers to Affordable Housing**

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

#### **Program Year 1 CAPER Barriers to Affordable Housing response:**

##### **1. Actions Taken to Eliminate Barriers to Affordable Housing**

Constraints to the provision of adequate and affordable housing are posed by factors such as market and governmental factors. These constraints may result in housing that is not affordable to low- and moderate-income households, or may render residential construction economically infeasible for developers. Constraints to housing production significantly impact households with low- and moderate-incomes and special needs. The City of Laguna Niguel reviews proposed fee increases for permits and application for impact on the production of new housing units and/or

rehabilitation of existing units. Fee waivers may be considered for projects that promote housing priorities identified in the Consolidated Plan. The City continued to work with developers and inform them of the City's policies. To address the needs of renters, the City continued to support fair housing services. The City contracts with Fair Housing Council of Orange County for fair housing services including tenant/landlord counseling, educational events, and response to discrimination complaints. The City also continued to coordinate with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households.

### **HOME/American Dream Down Payment Initiative (ADDI)**

1. Assessment of Relationship of HOME Funds to Goals and Objectives
  - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. HOME Match Report
  - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. HOME MBE and WBE Report
  - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).
4. Assessments
  - a. Detail results of on-site inspections of rental housing.
  - b. Describe the HOME jurisdiction's affirmative marketing actions.
  - c. Describe outreach to minority and women owned businesses.

#### **Program Year 1 CAPER HOME/ADDI response:**

The City does not receive these funds; rather they coordinate with the County of Orange, who administers various programs using these funds.

## **HOMELESS**

### **Homeless Needs**

\*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.
2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
3. Identify new Federal resources obtained from Homeless SuperNOFA.

#### **Program Year 1 CAPER Homeless Needs response:**

##### **1. Actions Taken to Address the Needs of Homeless Persons**

The FY 2010-2015 Consolidated Plan established a Medium priority for homeless needs in Laguna Niguel. The City continued its efforts in the prevention of homelessness by supporting the County and its outreach programs, supporting the operation of homeless shelters through CDBG funding, providing CDBG funding for homeless support services, and providing referrals to public assistance programs offered by the County. The intended outcomes are the provision of a Suitable Living Environment (SL) and expansion of Availability/Accessibility (1). Below is HUD Table 1C, which summarizes the City's Homeless programs, goals and objectives.

**Table 1C: Summary of Specific Homeless/Special Needs Objectives**

Objective #	Specific Objectives	Performance Measure	Expected Units	Outcome/Objective
<b>Homeless Objectives</b>				
1	Participation in the Orange County Continuum of Care	# of homeless people served	30 Homeless People (Cumulative for all service providers)	SL-1
2	Laura's House	# of domestic violence victims served		SL-1
3	South County Outreach	# of homeless people served	250 People with Special Needs (Cumulative for all service providers)	SL-1
4	Women's Transitional Living Center	# of abused and neglected children served		SL-1

The City's overall homeless objectives established in the Consolidated Plan are as follows:

<b>Overall Homeless Objectives</b>	
<b>Five-Year Goals/Objectives:</b>	Assist homeless 250 persons (50 annually)
<b>Performance Indicators</b>	Suitable Living Environment (Availability/accessibility)
<b>Performance Measure:</b>	Homeless persons
<b>Sources of Funds:</b>	CDBG; HOME; County funds; among others
<b>Geographic Distribution:</b>	Homeless services are available to persons in need communitywide.

The City coordinates with the County on strategies to end homelessness, including chronic homelessness. The City also provides funding to various public service agencies to administer programs that address the needs of the homeless. Accomplishments related to addressing homeless needs are as follows:

**Implementing Programs:**

- 1. Participation in the County Continuum of Care (SL-1):** The City participated in regional efforts to address the needs for homeless services and facilities and support prevention of homelessness by coordinating with the County and its outreach programs.
- 2. Families Forward (Goal of 390 people):** Provides a homeless prevention program designed to shift income eligible families residing in South Orange County from financial crisis to self-sufficiency. **The City allocated and expended \$5,000 of CDBG funds to assist 341 people of which 77 were identified as homeless.**
- 3. Laura's House (Goal of 130 people):** Laura's House assists victims of domestic violence with services, including shelter, 24-hour crisis intervention and referral, counseling and legal services, clothing and food, support groups, and other emergency services. **The City allocated and expended \$4,000 of CDBG funds to assist 143 people of which 17 were identified as homeless and all of which were victims of domestic violence.**
- 4. South County Outreach (Goal of 500 people):** Provides comprehensive social services to low-income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/ relocation/medical assistance. **The City allocated and expended \$11,508 of CDBG funds to assist 653 people of which 38 were identified as homeless.**

- 5. Women's Transitional Living Center:** Provides shelter and supportive services program designed to help victims of abuse overcome dependence [on abusers, substances, and/or government welfare]. This Program was not funded in FY 2010-11.

In addition, the Fair Housing Council of Orange County and 2-1-1 Orange County indicated that 35 and 29 of the people they served, respectively, were homeless.

***Cumulative Accomplishments:** To date, the City has continued to participate in the Orange County Continuum of Care for the Homeless and continued to monitor agenda items pertinent to the City's needs. Combined non-profit service providers have assisted 196 homeless people.*

- ✓ The annual goal for each program was exceeded; with the exception of one agency that over-anticipated the number to be served during their application for funding process.

## **2. Actions to Help Homeless Persons Transition to Permanent Housing and Independent Living**

The City seeks to fund agencies that assist clients in locating permanent affordable housing in the area, provides job placement and referrals, and provides counseling in order to address the issue of chronic homelessness.

During FY 2010-2011, the City utilized the following programs to assist homeless persons transition to permanent housing and independent living:

- **Families Forward** has a homeless prevention program that is designed to shift income-eligible families residing in South Orange County from financial crisis to self-sufficiency. The goal is to help families regain self-sufficiency by providing a variety of services, including: financial counseling, career coaching, life skills education and case management to at-risk and homeless families with children.
- **Laura's House** provides direct services to Laguna Niguel residents experiencing domestic violence. Services include a Shelter Program, 24-hour crisis intervention and referral, counseling services, legal services, clothing and food, support groups, and emergency transportation to shelter. The agency is currently undergoing construction of a transitional housing shelter.
- **South County Outreach** provides comprehensive social services to low- income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/relocation/medical assistance.
- **2-1-1 Orange County** is a resource referral program administered by one of three partners in charge of administering the County's Continuum of Care, of which referrals to transitional housing and related services are provided.
- **Reference and Referral Services:** The City provides an inventory of homeless services and facilities along with telephone numbers and agency contacts to City staff interface with the public and offer referrals to individuals seeking assistance. The City coordinates closely with the County Sheriff's Department to ensure homeless persons and persons threatened with homelessness are referred to shelters and social service agencies.
- **Sites for Homeless Transitional Housing and Emergency Shelters:** The City continued implementing its Zoning Ordinance, which allows for emergency shelters and transitional housing, with or without discretionary approval in specified non-residential zones.

- **Homeless Facilities and Supportive Services:** The City assists in addressing the gaps identified in the Orange County Continuum of Care for the Homeless. Annually, the City uses 15 percent of the CDBG allocation to provide public and supportive services for the homeless, low- and moderate-income residents, as well as those with special needs. Homeless supportive services may include emergency rent relief and utility subsidies and emergency food distribution.

### **3. New Federal Resources Obtained From Homeless SuperNOFA**

No new Federal Funding was received.

<b>Specific Homeless Prevention Elements</b>
--

- |  |
|--|
| 1. Identify actions taken to prevent homelessness. |
|--|

### **Program Year 1 CAPER Specific Housing Prevention Elements response:**

#### **1. Actions Taken To Prevent Homelessness**

The City of Laguna Niguel follows a comprehensive strategy to address and prevent homelessness. This strategy is comprised of activities to address the following three priorities:

- Emergency and Transitional Housing
- Persons At-Risk of Becoming Homeless
- Persons in Transition from Homelessness to Permanent Housing

Laguna Niguel provides funding to public service providers to help mitigate homelessness by serving both the homeless and the at-risk of homelessness populations. During FY 2010-11, the City provided funding for Families Forward (\$5,000), Laura's House (\$4,000), and South County Outreach (\$11,508) to provide services for the homeless, victims of domestic violence, and those at-risk of homelessness. In addition, the City coordinated with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households. The City also provided funds for 2-1-1 Orange County (\$7,056), which is a resource referral program and one of the three partners in charge of administering the County's Continuum of Care, as well as Fair Housing Council of Orange County (\$12,756) to provide landlord/tenant services which often help those facing eviction.

### **Emergency Shelter Grants (ESG)**

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
  - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
  - b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.
3. Matching Resources
  - a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.
4. State Method of Distribution
  - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.
5. Activity and Beneficiary Data
  - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
  - b. Homeless Discharge Coordination
    - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
  - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

### **Program Year 1 CAPER ESG response:**

Not applicable; the City does not receive or administer ESG funds.

## COMMUNITY DEVELOPMENT

### Community Development

\*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
  - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.
  - b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
  - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.
2. Changes in Program Objectives
  - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
3. Assessment of Efforts in Carrying Out Planned Actions
  - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
  - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
  - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.
4. For Funds Not Used for National Objectives
  - a. Indicate how use of CDBG funds did not meet national objectives.
  - b. Indicate how did not comply with overall benefit certification.
5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
  - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
  - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
  - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
  - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
  - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
  - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
  - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.
8. Program income received
  - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.

- b. Detail the amount repaid on each float-funded activity.
- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
- d. Detail the amount of income received from the sale of property by parcel.
9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
  - a. The activity name and number as shown in IDIS;
  - b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
  - c. The amount returned to line-of-credit or program account; and
  - d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
10. Loans and other receivables
  - a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
  - b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
  - c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
  - d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
  - e. Provide a List of the parcels of property owned by the grantee or its sub-recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.
11. Lump sum agreements
  - a. Provide the name of the financial institution.
  - b. Provide the date the funds were deposited.
  - c. Provide the date the use of funds commenced.
  - d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.
12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
  - a. Identify the type of program and number of projects/units completed for each program.
  - b. Provide the total CDBG funds involved in the program.
  - c. Detail other public and private funds involved in the project.
13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
  - a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

**Program Year 1 CAPER Community Development response:**

The FY 2010-2015 Consolidated Plan established a High priority for public facility needs. The intended outcomes are the provision of a Suitable Living Environment (SL) and Availability/Accessibility (1). Table 2B summarizes the community needs and general priority for funding.

**Table 2B: Community Development Needs**

<b>PRIORITY COMMUNITY DEVELOPMENT NEEDS</b>	<b>Priority Need Level High, Medium, Low, No Such Need</b>	<b>Dollars to Address Unmet Priority Need</b>	<b>Goals</b>
<b>PUBLIC FACILITY NEEDS</b> (projects)	<b>Medium</b>	<b>\$0</b>	Zero facilities
Senior Centers	Medium	\$0	
Handicapped Centers	Medium	\$0	
Homeless Facilities	Low	\$0	
Youth Centers	Medium	\$0	
Child Care Centers	Medium	\$0	
Health Facilities	Medium	\$0	
Neighborhood Facilities	Medium	\$0	
Parks and/or Recreation Facilities	Medium	\$0	
Parking Facilities	Low	\$0	
Non-Residential Historic Preservation	Low	\$0	
Other Public Facility Needs	Low	\$0	
<b>INFRASTRUCTURE</b> (projects)	<b>Medium</b>	<b>\$28,320,000</b>	5 projects (1 annually)
Water/Sewer Improvements	Medium	\$0	
Street Improvements	Medium	\$28,000,000	
Sidewalks	Medium	\$320,000	
Solid Waste Disposal Improvements	Low	\$0	
Flood Drain Improvements	Medium	\$350,00	
Other Infrastructure Needs	Medium	\$0	<i>Youth:</i> 100 (25 annually)  <i>Seniors:</i> 500 (100 annually)  <i>Special Needs:</i> 500 (100 annually)  <i>General:</i> 250 (50 annually)
<b>PUBLIC SERVICE NEEDS</b> (people)	<b>High</b>	<b>\$190,000</b>	
Senior Services	Medium	\$25,000	
Handicapped Services	Medium	\$0	
Youth Services	High	\$25,000	
Child Care Services	Medium	\$80,000	
Transportation Services	Medium	\$55,000	
Substance Abuse Services	Medium	\$0	
Employment Training	Medium	\$0	
Health Services	Medium	\$0	
Lead Hazard Screening	Low	\$0	
Crime Awareness	Medium	\$0	
Other Public Service Needs	Medium	\$5,000	
<b>ECONOMIC DEVELOPMENT</b>	<b>High</b>	<b>\$30,000</b>	5 jobs (1 annually)
ED Assistance to For-Profits (businesses)	No Such Need	\$0	
ED Technical Assistance (businesses)	No Such Need	\$0	
Micro-Enterprise Assistance (businesses)	Medium	\$5,000	
Rehab; Publicly- or Privately-Owned Commercial/Industrial (projects)	Medium	\$5,000	
C/I* Infrastructure Development (projects)	Medium	\$5,000	
Other C/I* Improvements (projects)	Medium	\$15,000	N/A
<b>PLANNING</b>	<b>High</b>	<b>\$50,000</b>	
Planning	High	\$50,000	
<b>TOTAL ESTIMATED DOLLARS NEEDED:</b>		<b>\$28,590,000</b>	

\*Commercial or Industrial Improvements by Grantee or Non-profit

**Priority 2: Infrastructure and Facilities (Low to High depending on type)**

Improve and expand infrastructure and facilities that benefit low- and moderate-income neighborhoods and residents.

The City's overall public facility and infrastructure community development needs established in the Consolidated Plan are as follows:

<b>Overall Public Facility and Infrastructure Objectives:</b>	
<b>Five-Year Goals/Objectives:</b>	5 Infrastructure Improvement Projects
<b>Performance Indicators</b>	Suitable Living Environment (Availability/accessibility)
<b>Performance Measure:</b>	Improved facilities in target areas
<b>Sources of Funds:</b>	CDBG; County funds; among others
<b>Geographic Distribution:</b>	Public improvements occur in the low- and moderate- income census tracts and block groups of primarily residential neighborhoods for the benefit of those residents.

**Implementing Programs:**

1. **Infrastructure Improvements-** This program provides needed infrastructure improvements in the low-and moderate-income areas, including storm drains, catch basins, curbs, gutters, and streets. Funding for this project will be offset by the General Fund, previous year CDBG funds, and other local resources.
  - a. **Street Improvement Project (Goal of 1 Public Facility/1,567 people):** is located in Niguel Woods; Census Tracts: 0423.26 Block Group (BG) 1 and 2; 0423.34 BG 1-3; 0423.35 BG 1; 0423.30 BG 2 and 3; 0423.31 BG 3; 0423.15 BG 1. This project will reconstruct approximately 1,200 linear feet of street as part of a broader street repair program by the Public Works Department. **In FY 2010-11, the City expended \$448,971 of CDBG funds from FY 2009-10 and FY 2010-11 plus \$91,104 of CDBG-R funds to improve the streets within the Niguel Woods LMA.**
  - b. **ADA Pushbuttons (Goal of 1 Public Facility/2,046 people):** Many of the pedestrian pushbuttons in use at City intersections are an older style that can be difficult for people with disabilities to use. These older pushbuttons will be retrofitted with a larger pushbutton that is in compliance with ADA guidelines. Funds will be used to provide approximately 420 pedestrian pushbuttons that will benefit persons with disabilities. **In FY 2010-11, the City allocated \$47,541.56 of CDBG funds for the installation of approximately 420 ADA pushbuttons to be installed in the low- and moderate-income areas. Project was delayed and will be implemented in FY 2011-12.**
  
2. **Gateway Area-** This project involves improvements in the Gateway Area. **In FY 2010-11, the City held workshops for the Gateway Specific Plan draft which will be available for public review in FY 2010-11.**

**Cumulative Accomplishments:** To date, the City has undertaken one infrastructure project that has benefitted 1,567 low- and moderate income- people of low- and moderate-income living in the eligible areas.

- ✓ The annual goals were met.

**Priority 4: Economic Development (High)**

Provide for the economic development needs of low- and moderate- income persons and neighborhood target areas.

Economic development needs were identified by residents, businesses, and community groups in the Community Development Needs Survey as a High priority. Specifically, job creation received the highest ranking in the survey the City of Laguna Niguel. The intended outcomes are the provision of Economic Opportunity (EO) and Availability/Accessibility (1).

The City's overall economic development objectives established in the Consolidated Plan are as follows:

<b>Overall Economic Development Objectives:</b>	
<b>Five-Year Goals/Objectives:</b>	5 jobs (1 annually)
<b>Performance Indicators</b>	Economic Opportunity (Availability/accessibility)
<b>Performance Measure:</b>	Number of jobs made available to low- and moderate- income persons either through creation or retention
<b>Sources of Funds:</b>	CDBG; Economic Development Initiatives; Tax Increment; among others
<b>Geographic Distribution:</b>	Economic development and commercial rehabilitation efforts will be focused in the low to moderate- income target areas.

Economic Development activities are designed to attract consumers and business, eliminate slum and blight characteristics, and rehabilitate existing commercial buildings.

**Implementing Programs:**

1. **Economic Development Department Programs:** The City provides a variety of economic development activities to attract businesses and create jobs. Due to eligibility and funding limitations, use of CDBG funds for economic development activities has not been identified as a high priority. Non-CDBG funded economic development programs are available, which include the Orange County Workforce Investment Board programs which assist individuals who are employed, unemployed and underemployed, to increase their self-sufficiency and improve their ability to meet the demands of Orange County businesses and employers; and the CalWORKS program, coordinated by the County, which is designed to move welfare recipients from dependency to self sufficiency through employment, and to divert potential recipients from dependency. The City continues to improve the business environment in the City. A Business Improvement Package containing two pamphlets is available to assist in business expansion with the goal of providing new employment opportunities for the City. The City also implemented a directional and district signage program. Jobs were also created through the Street Improvement Project.

**Cumulative Accomplishments:** *To date, the City has several economic development projects that created jobs for low- and moderate income- people.*

- ✓ The annual goal was met.

**Priority 5: Administration and Planning (High)**

Provide for administration and planning activities to develop housing and community development strategies and programs needed to carry out actions to address identified needs in the Consolidated Plan.

CDBG program funds may be used to cover costs for salaries, consultant services, supplies, and general overhead. The CDBG program for Laguna Niguel is delivered by the Community Development Department. The City's overall administration objectives established in the Consolidated Plan are as follows:

Overall Administration Objectives:	
Five-Year Goals and Objectives:	N/A
Performance Indicators	N/A
Performance Measure:	Compliance with CDBG regulations
Sources of Funds:	CDBG
Geographic Distribution:	Communitywide

**Implementing Programs:**

1. **Planning and Administration Program (Goal N/A):** Up to 20 percent of the CDBG funds can be used to support the general administration of the CDBG program by the Community Development Department. **In FY 2010-11, the City allocated \$20,000 and expended \$16,567.50 for administration of the CDBG program. This amount included costs for a consultant to assist in CDBG administration, including preparation of the Annual Action Plan, Annual CAPER, and environmental review records for exemption and categorical exclusion projects, as well as maintenance of the IDIS system.**
2. **Fair Housing (Goal of 141 Households):** The City provided for fair housing services, through contract with the Fair Housing Council of Orange County which included: discrimination complaint intake and enforcement, landlord-tenant services, audit services, and education/outreach efforts. **The City allocated and expended \$12,756 of CDBG funds to assist approximately 99 renter households (54 extremely low-income, 25 low-income, 12 moderate-income, and 8 above moderate income). An additional 400+ people benefitted from education and outreach efforts.**
3. **2-1-1 Orange County (Goal of 975 People):** The City allocated and expended \$7,056 of CDBG funds for the provision of a resource referral program. **Approximately 772 people were assisted.**

**Cumulative Accomplishments:** *To date, the City has administered the CDBG program in accordance with HUD regulations, 99 Households have been assisted with fair housing services plus an additional 400+with education and outreach services, and 772 people have been assisted with referral resources.*

- ✓ The annual goal was met for administration; however fair housing and 2-1-1 Orange County fell short of their goals as they over-estimated the number to be served during the application for funding process. When considering education and outreach service which are not verifiable, the Fair Housing Program goal was met.

## **1. Assessment of Relationship of CDBG Funds to Goals and Objectives**

HUD Table 2C (in Appendix C) illustrates the relationship of CDBG funds to the goals and objectives of the Consolidated Plan, as does Table 1 at the beginning of this section and narratives throughout the document.

## **2. Changes in Program Objectives**

The City made a substantial amendment to the FY 2008-09 and FY 2010-11 Annual Action Plans to re-allocate \$91,104 of CDBG-R funds from the Sea Country Senior Center Expansion to the Niguel Woods Street Improvement Project.

## **3. Grantee Actions in Carrying Out Planned Actions in Its Action Plan**

To ensure that the City meets its objectives, the Action Plan activities were monitored frequently. The City was able to successfully implement the administrative requirements for CDBG and stay within the 20% administrative cap for program administration. Public Service Programs were also kept within the 15% public service programs cap per federal regulation. The Planning Department and the Finance Department continued to improve documentation procedures to meet compliance requirements. The City continued training and improving performance, including IDIS and sub-recipient monitoring.

The City did not hinder Consolidated Plan implementation by action or willful inaction. All efforts were made to implement quality programs and complete projects within estimated time frames. The City was timely in drawing down funds, and it met the drawdown expenditure benchmark as required by HUD before the completion of this report.

## **4. Meeting National Objectives**

Under HUD regulations, CDBG-funded activities must meet at least one of the following three national objectives:

- activities benefiting low- and moderate-income persons (either area benefit or limited clientele);
- activities which aid in the prevention or elimination of slums or blight; and/or
- activities designed to meet community development needs having a particular urgency.

In FY 2010-11, all CDBG-funded activities complied with the national objective requirements under benefits to low- and moderate-income person's criteria. All public service activities funded met the Low/Mod Clientele (LMC) objective, while the Niguel Woods Street Improvement Project met the Low/Mod Area (LMA) national objective.

## **5. Activities Involving Occupied Real Property**

The Uniform Relocation Act and the Community Development Act of 1974 require agencies using federal funds for acquisition, rehabilitation, demolition, etc. to compensate tenants and homeowners who are displaced (involuntary relocated). The City did not purchase, rehabilitate, or demolish occupied real property with CDBG funds during FY 2010-11; thus, no displacement or relocation activities took place.

## **6. Low/Mod Job Activities/Economic Development Activities**

There were no CDBG-funded economic development activities during FY 2010-11 that would create jobs for low- and moderate-income persons. Economic Development objectives for the Consolidated Plan are being leveraged through other sources and are described under the Community Development section of this report. The Street Improvement Project utilized \$91,104 of CDBG-R funds through President Obama's Recovery Act

## **7. Activities that Serve Limited Clientele**

Activities funded in FY 2010-11 qualified under the Limited Clientele criteria (served a limited clientele at least 51 percent of whom are low- and moderate-income/income qualified by each agency) included:

- Families Forward - homeless prevention program
- Laura's House - transitional housing of victims of domestic violence
- South Coast YMCA - child care/camp scholarships
- South County Outreach (formerly Saddleback Community Outreach) - comprehensive social services to low-income, homeless and those at-risk of homelessness
- Age Well Senior Services (formerly South County Senior Services) - Para-transit services for seniors and disabled

Age Well Senior Services and Laura's House use the presumed benefit criteria, as those served are elderly and disabled persons and victims of domestic violence. All other agencies that benefit those of low-income, but not presumed low-income, serve recipients that are income qualified (under HUD's established Income Guidelines) before they receive any benefits.

## **8. Program Income**

Neither the City nor its sub-recipients realized any program income during FY 2010-11 as a result of the CDBG activities and funds allocated.

## **9. Prior Period Adjustments**

There were no reimbursements was made this reporting period for expenditures (made in previous reporting periods) that were disallowed.

## **10. Loans and Other Receivables**

The City does not have any loans or other receivables.

## **11. Lump Sum Agreements**

The City does not have any lump sum agreements.

## **12. Housing Rehabilitation**

The City does not have housing rehabilitation programs.

## **13. Neighborhood Revitalization Strategies**

The City does not have any HUD-approved neighborhood revitalization strategies.

### Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

#### Program Year 1 CAPER Antipoverty Strategy response:

##### 1. Actions Taken to Reduce the Number of Persons Living Below the Poverty Level

The City implements its anti-poverty strategy through economic development and job training programs. Specifically, the City will assist service providers that provide employment training for low- and moderate-income residents. In addition, the city supports various other programs that have overlapping benefits, which seek to aid the anti-poverty strategy, such as CalWorks and Orange County Workforce Investment Board programs provided by the County of Orange.

The City is also in the process of developing a strategy to revitalize the City's Gateway area to increase economic development within the area and the YMCA provided daycare services for low-income families.

## NON-HOMELESS SPECIAL NEEDS

### Non-homeless Special Needs

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

#### Program Year 1 CAPER Non-homeless Special Needs response:

The FY 2010-2015 Consolidated Plan identified a High priority for most public service categories. The intended outcomes are the provision of a Suitable Living Environment (SL) and Availability/Accessibility (1). Table 1B summarizes the special needs populations and general priority for funding.

**Table 1B: Special Needs (Non-Homeless) Populations**

SPECIAL NEEDS SUBPOPULATIONS	Priority Need Level High, Medium, Low, No Such Need	Unmet Need	Dollars to Address Unmet Need	Goals
Large Households	Medium	2,209 households	\$20,000	300 people
Single-Parents	Medium	1,667 households	\$20,000	200 people
Disabled	Medium	1,849 households	\$20,000	200 people
Elderly/ Frail Elderly	High	5,495 people/3,157 people	\$40,000	500 people
Victims of Domestic Violence	Medium	345 people	\$30,000	300 people
Persons w/ Substance Abuse	Medium	4,219 to 4,822 men/ 1,905 women	\$40,000	500 men/ 200 women
Foster Youth	Medium	12 people	\$5,000	10 people
Persons w/HIV/AIDS	Medium	102 people	\$5,000	10 people
Homeless	Medium	361 people	\$30,000	30 people
<b>TOTAL</b>		<b>15,826 to 16,429 people</b>	<b>\$210,000</b>	<b>2,250 people</b>

**Priority 3: Public Services (Medium to High depending on type)**

Provide and improve access to public services for low- and moderate-income persons and those with special needs.

The City's overall public service and special needs objectives established in the Consolidated Plan are as follows:

<b>Overall Public Service Objectives:</b>	
<b>Five-Year Goals/Objectives:</b>	1,350 people cumulative for all services
<b>Performance Indicators</b>	Suitable Living Environment (Availability/accessibility)
<b>Performance Measure:</b>	As a proportion of people with special needs in the City
<b>Sources of Funds:</b>	CDBG; HOME; County funds; among others
<b>Geographic Distribution:</b>	Public services are available to income qualified persons in need communitywide

**Implementing Programs:** Public services provided with CDBG funds (capped at 15% of the annual grant) provided by the City of Laguna Niguel include:

1. **Youth Services:** Provides services for low- and moderate-income youth. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
2. **Senior Services:** Provides services for low- and moderate-income seniors. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
3. **Disabled Services Program:** Provides services for persons with disabilities. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
4. **General Public Services Program:** Provides general public services to low and moderate-income residents, including but not limited to legal assistance, literacy programs, substance abuse services, and employment training and placement, among others. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.

**1. Actions Taken to Address Special Needs of Persons that are not Homeless**

The “non-homeless special needs” category is assigned a High Priority need level in the City's 2010-2015 Consolidated Plan. This category includes persons in various subpopulations that are not homeless but may require housing or supportive services, including the elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with HIV/AIDS and their families), persons with alcohol or other drug addictions, abused and neglected children, and victims of domestic violence. For FY 2010-11, the City funded the following programs to address these needs:

**Youth Services:** The City uses CDBG funds to provide a variety of needed services to low- and moderate-income children, youth, and their families. Youth services, particularly those for at-risk youth, are needed to provide youth with a positive direction in life. In FY 2010-11 the following agency was funded that serves youth:

1. **South Coast YMCA Child Care/Camp Scholarships (Goal of 115 People):** The South Coast YMCA offers before and after school care and summer camps. The South Coast YMCA offers child care programs at eight locations within Laguna Niguel. **The City**

**allocated and expended \$22,859 of CDBG funds to assist approximately 153 youth and their families.**

***Cumulative Accomplishments:*** *To date, 153 youth and their families have been assisted.*

- ✓ This agency exceeded its annual goal.

**Senior Services:** The City uses CDBG funds to provide needed senior services and facilities in the City. Needed services include: housing, in-home services, health services, meals-on-wheels, adult day care, information and referral, and transportation.

- 1. Age Well Senior Services (Goal of 26 People/960 one-way trips):** The Para-Transit Program provides transportation services for low-income, transit-dependent elderly, and disabled residents within Laguna Niguel. **The City allocated and expended \$11,200 of CDBG funds to assist 10 people with 1,606 one-way trips.**

***Cumulative Accomplishments:*** *To date, 10 seniors have been assisted with transportation services.*

- ✓ This agency did not meet its annual goal, but will be modifying its structure to transition into a taxi model program in FY 2011-12 in order to increase ridership.

**Disabled and General Public Services for those with Special Need Services:** CDBG funds are used to provide a variety of community services including, but not limited to, employment training, support services for the disabled, substance abusers, and victims of domestic violence.

- 1. Families Forward (Goal of 390 people):** Provides a homeless prevention program designed to shift income eligible families residing in South Orange County from financial crisis to self-sufficiency. **The City allocated and expended \$5,000 of CDBG funds to assist 341 people that are elderly and transit-dependent.**
- 2. Laura's House (Goal of 130 people):** Laura's House assists victims of domestic violence with services, including shelter, 24-hour crisis intervention and referral, counseling and legal services, clothing and food, support groups, and other emergency services. **The City allocated and expended \$4,000 of CDBG funds to assist 143 people of that were victims of domestic violence.**
- 3. South County Outreach (Goal of 500 people):** Provides comprehensive social services to low-income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/ relocation/medical assistance. **The City allocated and expended \$11,508 of CDBG funds to assist 653 many of which were either homeless or at-risk of becoming homeless.**

***Cumulative Accomplishments:*** *To date, 1,137 people have been assisted, of which 143 were victims of domestic violence.*

- ✓ These annual goals were met, with the exception of one that has been addressed.

## Specific HOPWA Objectives

\*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives  
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
  - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
  - b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
  - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
  - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
  - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
  - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
  - a. Grantee Narrative
    - i. Grantee and Community Overview
      - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
      - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
      - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
      - (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
      - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
      - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

- i. Project Accomplishment Overview
  - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
  - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
  - (3) A brief description of any unique supportive service or other service delivery models or efforts
- ii. Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
  - Barriers or Trends Overview
    - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
    - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
    - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
- b. Accomplishment Data
  - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
  - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

**Program Year 1 CAPER Specific HOPWA Objectives response:**

Not applicable; the City does not receive or administer HOPWA funds.

**OTHER NARRATIVE**

Include any CAPER information that was not covered by narratives in any other section.

**Program Year 1 CAPER Other Narrative response:**

**1. Certifications of Consistency**

The City provided two certifications of Consistency for South County Outreach and Orange County's Continuum of Care during FY 2010-11.

**2. IDIS Reports**

HUD requires that six separate integrated Disbursement Information System (IDIS) Reports be submitted with the annual CAPER report for CDBG. These reports are:

- Summary of Activities (C04PR03): This report provides program and financial information on projects that have been funded with CDBG funds. A description of the program and accomplishment narrative and/or project status is provided.

- Summary of Consolidated Plan Projects Report (C04PR06): This report displays all projects funded during the project year including funding commitments and draw downs during the Program Year.
- Grantee Summary Activity Report (C04PR08): This report uses the grantee activity number to list activities, the status, the committed and disbursed amounts and the date of the last drawn.
- Summary of Accomplishments (C04PR23): This report summarizes housing data for CDBG. The report includes the number of persons served for public services and the number of public facilities and improvement projects assisted and completed.
- CDBG Financial Summary Report (C04PR26): This report tracks CDBG financial activities during the program year. CDBG resources and expenditures are included.
- CDBG Performance Measures Report (C04PR83): This report tracks CDBG performance measures during the program year.

These reports are contained in Appendix A of this CAPER.

### 3. Summary of Specific Housing/Community Development Objectives

The following HUD Table 2C outlines the City's specific housing and community development objectives as stated in the FY 2010-2015 Consolidated Plan.

**Table 2C: Summary of Specific Housing/Community Development Objectives**

Objective #	Specific Objectives	Performance Measure	Expected Units	Actual Units	Outcome/Objective
<b>1</b>	<b>Rental Housing Objectives</b>				
1	Section 8 Vouchers	# of households assisted	560	122	DH-2
<b>1</b>	<b>Owner Housing Objectives</b>				
1	Fair Housing Services	# of people assisted	705	99	DH-1
1	Mortgage Credit Certificates	# of households assisted	10		
<b>2, 3 and 4</b>	<b>Community Development Objectives</b>				
N/A	N/A	N/A	N/A	N/A	N/A
<b>2</b>	<b>Infrastructure Objectives</b>				
2	Street Improvements	# of facilities # of people	1 1,567	1 1,567	SL-1
2	ADA Push Buttons	# of facilities # of people	1 2,046	1 2,046	SL-1
<b>2</b>	<b>Public Facilities Objectives</b>				
N/A	N/A	N/A	N/A	N/A	N/A
<b>3</b>	<b>Public Services Objectives</b>				
3	Youth Services	# of people assisted	100	153	SL-1
3	Senior Services	# of people assisted	500	10	SL-1
3	Special Needs Services	# of people assisted	500	143	SL-1
3	General Public Services	# of people assisted	250	994	SL-1
<b>4</b>	<b>Economic Development Objectives</b>				
4	Private funds and development	# of jobs created/ businesses assisted	5 jobs	0	EO-1

**Appendix A  
IDIS Reports**



U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
CDBG Activity Summary Report (GPR) for Program Year 2010  
LAGUNA NIGUEL

Date: 22-Aug-2011  
Time: 14:36  
Page: 1

**PGM Year:** 2004  
**Project:** 0010 - Sea Country Senior and Community Center Expansion  
**IDIS Activity:** 97 - SEA COUNTRY SENIOR CENTER EXPANSION

**Status:** Open  
**Location:** 24002 ALISO CREEK ROAD  
 LAGUNA NIGUEL, CA 92677  
**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Senior Centers (03A) **National Objective:** LMC

**Initial Funding Date:** 09/15/2004  
**Financing**  
 Funded Amount: 1,313,077.89  
 Drawn Thru Program Year: 1,313,077.89  
 Drawn In Program Year: 0.00  
**Description:**  
 EXPAND THE EXISTING SEA COUNTRY SENIOR CENTER.  
 THIS PROJECT WILL ENHANCE THE FUNCTION AND THE USE OF THE SPACE WITHIN THE  
 EXISTING FACILITY FOR A COMMUNITY MTG AREA AND CLASSRM.

**Proposed Accomplishments**  
 Public Facilities : 3

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Percent Low/Mod				

Annual Accomplishments		Accomplishment Narrative
Year	# Benefiting	
2008		FUNDS HAVE PROVIDED FOR THE RENOVATION OF THE SENIOR CENTER.
2004		THE ARCHITECTURAL PLANS ARE NEARLY COMPLETE AND THE CITY ANTICIPATES BEGINNING CONSTRUCTION DRAWINGS IN SEPTEMBER 2005.
2007		

<b>PGM Year:</b>	2009		
<b>Project:</b>	0002 - FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY		
<b>IDIS Activity:</b>	141 - FAIR HOUSING COUNCIL OF OC		
<b>Status:</b>	Completed	<b>Objective:</b>	
<b>Location:</b>	201 S. BROADWAY SANTA ANA, CA 92701	<b>Outcome:</b>	
		<b>Matrix Code:</b>	Fair Housing Activities (subject to 20% Admin Cap) (21D)
		<b>National Objective:</b>	
<b>Initial Funding Date:</b>	11/06/2009	<b>Description:</b>	
<b>Financing</b>		PROVIDED FUNDS FOR FAIR HOUSING SERVICES INCLUDING: TENANTLANDLORD SERVICES, OUTREACH AND EDUCATION FOR LOW- AND MODERATE-INCOME RESIDENTS.	
Funded Amount:	7,428.00	IN QUARTER 1, 45 LAGUNA NIGUEL RESIDENTS WERE ASSISTED, IN QUARTER 2, 27 RESIDENTS WERE ASSISTED.	
Drawn Thru Program Year:	7,428.00	IN QUARTER 3, 24 RESIDENTS WERE ASSISTED.	
Drawn In Program Year:	1,528.60	IN QUARTER 4, 25 RESIDENTS WERE ASSISTED FOR A TOTAL OF 121 PEOPLE ASSISTED IN FY 2009-10.	
<b>Proposed Accomplishments</b>		OF THESE RESIDENTS 47 WERE OVER 55 YEARS OF AGE, 20 WERE FEMALE HEADED HOUSEHOLDS, AND 3 WERE DISABLED.	

Annual Accomplishments		Accomplishment Narrative	
Year	# Benefiting		
2009			
<b>PGM Year:</b>	2009		
<b>Project:</b>	0005 - ADA PEDESTRIAN PUSHBUTTONS		
<b>IDIS Activity:</b>	144 - ADA PEDESTRIAN PUSHBUTTONS		
<b>Status:</b>	Open	<b>Objective:</b> Create suitable living environments	
<b>Location:</b>	27781 LA PAZ ROAD LAGUNA NIGUEL, CA 92677	<b>Outcome:</b> Availability/accessibility	
		<b>Matrix Code:</b> Public Facilities and Improvement (General) (03)	
		<b>National Objective:</b> LMC	
<b>Initial Funding Date:</b>	11/09/2009	<b>Description:</b>	
<b>Financing</b>		OLDER PUSHBUTTONS WILL BE RETROFITTED WITH A LARGER PUSHBUTTON THAT IS IN COMPLIANCE WITH ADA GUIDELINES WHICH WILL BE EASIER FOR PEOPLE WITH DISABILITIES TO USE.	
Funded Amount:	47,541.56		
Drawn Thru Program Year:	0.00		
Drawn In Program Year:	0.00		
<b>Proposed Accomplishments</b>			
	People (General) : 2,048		

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	2,046	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,046</b>	<b>0</b>
Female-headed Households:	0		0		0			

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	2,046
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	2,046
Percent Low/Mod				100.0%

**Annual Accomplishments**

**Accomplishment Narrative**

Year	# Benefiting
2009	2,046

In FY 2009-10, staff began to work on identifying locations for the ADA Push Button Project. The project will be implemented in FY 2010-11.

**PGM Year:** 2009  
**Project:** 0009 - STREET IMPROVEMENT PROJECT  
**IDIS Activity:** 148 - STREET IMPROVEMENT PROJECT

**Status:** Open  
**Location:** 27781 LA PAZ ROAD LAGUNA NIGUEL, CA 92677

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Street Improvements (03K) **National Objective:** LMA

**Initial Funding Date:** 11/09/2009  
**Financing**  
**Funded Amount:** 448,971.00  
**Drawn Thru Program Year:** 63,517.79

**Description:**  
 FUNDS WILL BE USED TO IMPROVE STREETS WITHIN THE CITY'S LOW-AND MODERATE-INCOME AREAS.

Drawn In Program Year: 63,517.79

**Proposed Accomplishments**

People (General) : 17,797  
 Total Population in Service Area: 17,797  
 Census Tract Percent Low / Mod: 39.40

**Annual Accomplishments      Accomplishment Narrative**

Year	# Benefiting	Accomplishment Narrative
2009		In FY 2009-10, staff continued to work on the development of the street improvement project. It will be implemented in FY 2010-11.

**PGM Year:** 2010  
**Project:** 0001 - CDBG Administration  
**IDIS Activity:** 150 - CDBG ADMINISTRATION & PLANNING

**Status:** Open  
**Location:** ,  
**Objective:**  
**Outcome:**  
**Matrix Code:** General Program Administration (21A)      **National Objective:**

**Initial Funding Date:** 08/30/2010

**Financing**

Funded Amount: 20,000.00  
 Drawn Thru Program Year: 15,887.00  
 Drawn In Program Year: 15,887.00

**Description:**  
 PROVIDES FOR PERSONNEL AND OPERATING COSTS RELATED TO OVERALL CDBG PROGRAM ADMINISTRATION.

**Proposed Accomplishments**

**Annual Accomplishments      Accomplishment Narrative**

Year	# Benefiting
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**PGM Year:** 2010  
**Project:** 0002 - FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY  
**IDIS Activity:** 151 - FAIR HOUSING COUNCIL OF OC

**Status:** Completed  
**Location:** ,  
**Objective:**  
**Outcome:**  
**Matrix Code:** Fair Housing Activities (subject to 20% Admin Cap) (21D)      **National Objective:**

**Initial Funding Date:** 08/30/2010

**Financing**

Funded Amount: 12,756.00  
 Drawn Thru Program Year: 12,756.00  
 Drawn In Program Year: 12,756.00

**Description:**  
 PROVIDED FUNDS FOR FAIR HOUSING SERVICES INCLUDING: TENANT/LANDLORD SERVICES, OUTREACH AND EDUCATION FOR LOW- AND MODERATE-INCOME RESIDENTS. IN QUARTER 1, 30 LAGUNA NIGUEL RESIDENTS WERE ASSISTED, IN QUARTER 2, 16 RESIDENTS WERE ASSISTED. IN QUARTER 3, 21 RESIDENTS WERE ASSISTED. IN QUARTER 4, 32 RESIDENTS WERE ASSISTED FOR A TOTAL OF 99 PEOPLE ASSISTED IN FY 2010-11. OF THESE RESIDENTS 15 WERE OVER 55 YEARS OF AGE, 14 WERE FEMALE HEADED HOUSEHOLDS, AND 6 WERE DISABLED.

**Proposed Accomplishments**

**Annual Accomplishments      Accomplishment Narrative**

Year      # Benefiting

**PGM Year:**      2010  
**Project:**      0003 - FAMILIES FORWARD  
**IDIS Activity:**      152 - FAMILIES FORWARD

Status:      Completed  
 Location:      9221 Irvine Blvd Irvine, CA 92618

Objective:      Create suitable living environments  
 Outcome:      Availability/accessibility  
 Matrix Code:      Public Services (General) (05)      National Objective:      LMC

**Initial Funding Date:**      08/30/2010

**Description:**  
 HOMELESS PREVENTION PROGRAM DESIGNED TO SHIFT INCOME-ELIGIBLE FAMILIES RESIDING IN SOUTH ORANGE COUNTY FROM FINANCIAL CRISIS TO SELF-SUFFICIENCY.

**Financing**  
 Funded Amount:      5,000.00  
 Drawn Thru Program Year:      5,000.00  
 Drawn In Program Year:      5,000.00

**Proposed Accomplishments**

People (General) : 390

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	268	179
Black/African American:	0	0	0	0	0	0	30	0
Asian:	0	0	0	0	0	0	16	0
American Indian/Alaskan Native:	0	0	0	0	0	0	4	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	23	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>341</b>	<b>179</b>

Female-headed Households:      0      0      0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	298
Low Mod	0	0	0	43
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0

Total 0 0 0 341  
 Percent Low/Mod 100.0%

**Annual Accomplishments**

**Accomplishment Narrative**

Year # Benefitting  
 2010 341

In quarter 1 146 people were provided homeless prevention services. In quarter 2, 143 new people were served. In quarter 3, 44 new people were served. In quarter 4, 8 new people were served. During FY 2010-11, a total of 341 people were served by Families Forward, of which 124 were female headed households, 54 were over age 55, 49 were disabled, and 77 were homeless.

**PGM Year:** 2010  
**Project:** 0004 - LAURA'S HOUSE  
**IDIS Activity:** 153 - LAURA'S HOUSE

**Status:** Completed  
**Location:** Address Suppressed

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Battered and Abused Spouses (05G) **National Objective:** LMC

**Initial Funding Date:** 08/30/2010

**Description:**  
 PROVIDES DIRECT SERVICES TO LAGUNA NIGUEL RESIDENTSEXPERIENCING DOMESTIC VIOLENCE.

**Financing**  
 Funded Amount: 4,000.00  
 Drawn Thru Program Year: 4,000.00  
 Drawn In Program Year: 4,000.00

**Proposed Accomplishments**

People (General): 130

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	120	47
Black/African American:	0	0	0	0	0	0	6	0
Asian:	0	0	0	0	0	0	3	0
American Indian/Alaskan Native:	0	0	0	0	0	0	6	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	3	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	2	0
Black/African American & White:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	2	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>143</b>	<b>47</b>
Female-headed Households:	0		0		0			

Income Category:	Owner	Renter	Total	Person
Extremely Low	0	0	0	76
Low Mod	0	0	0	28
Moderate	0	0	0	30
Non Low Moderate	0	0	0	9
Total	0	0	0	143
Percent Low/Mod				93.7%

**Annual Accomplishments Accomplishment Narrative**

Year	# Benefiting	Accomplishment Narrative
2010	143	In quarter 1 48 victims of domestic violence were provided shelter and supportive services. In quarter 2, 26 new people were served. In quarter 3, 33 new people were served. In quarter 4, 36 new people were served. During FY 2010-11, a total of 143 victims of domestic violence were served by Laura's House, of which 56 were female headed households, 8 were over age 55, 1 was disabled and 17 were homeless.

**PGM Year:** 2010  
**Project:** 0005 - SOUTH COUNTY OUTREACH  
**IDIS Activity:** 154 - SOUTH COUNTY OUTREACH

**Status:** Completed      **Objective:** Create suitable living environments  
**Location:** 26778 Vista Terrace Lake Forrest, CA 92630      **Outcome:** Availability/accessibility  
**Matrix Code:** Public Services (General) (05)      **National Objective:** LMC

**Initial Funding Date:** 08/30/2010  
**Financing**  
 Funded Amount: 11,508.00  
 Drawn Thru Program Year: 11,508.00  
 Drawn In Program Year: 11,508.00

**Description:**  
 PROGRAM WILL CONTINUE TO MINIMIZE HUNGER AND MALNUTRITION BY PROVIDING FREE FOOD TO NEEDY PEOPLE AND PREVENT HOMELESSNESS BY ASSISTING WITH RENTAL AND UTILITY BILLS.

**Proposed Accomplishments**

People (General) : 500

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	556	345
Black/African American:	0	0	0	0	0	0	23	0
Asian:	0	0	0	0	0	0	24	0
American Indian/Alaskan Native:	0	0	0	0	0	0	3	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	1	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	45	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0

Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>653</b>	<b>345</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	543
Low Mod	0	0	0	100
Moderate	0	0	0	4
Non Low Moderate	0	0	0	6
Total	0	0	0	653
Percent Low/Mod				99.1%

**Annual Accomplishments**

**Accomplishment Narrative**

Year	# Benefiting
2010	653

In quarter 1 361 people were provided with homeless prevention services. In quarter 2, 152 new people were served. In quarter 3, 102 new people were served. In quarter 4, 38 new people were served. During FY 2010-11, a total of 653 people were served by the SCO; 102 of which were female headed households and 38 of which were homeless.

**PGM Year:** 2010  
**Project:** 0006 - AGE WELL SENIOR SERVICES  
**IDIS Activity:** 155 - AGE WELL SENIOR SERVICES

**Status:** Completed  
**Location:** 24300 El Toro Blvd Laguna Hills, CA 92653

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Senior Services (05A) **National Objective:** LMC

**Initial Funding Date:** 08/30/2010

**Financing**

Funded Amount:	11,200.00
Drawn Thru Program Year:	11,200.00
Drawn In Program Year:	11,200.00

**Description:**

PROVIDES SPECIALIZED TRANSPORTATION SERVICES FOR LOW-INCOME TRANSIT-DEPENDENT, ELDERLY AND DISABLED RESIDENTS WITHIN THE CITY OF LAGUNA NIGUEL.

**Proposed Accomplishments**

People (General) : 26

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	4	1
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0

Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	6	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>1</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	8
Low Mod	0	0	0	2
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	10
Percent Low/Mod	100.0%			

**Annual Accomplishments**

**Accomplishment Narrative**

Year	# Benefitting
2010	10

In quarter 1 10 elderly were provided transportation services. The same 10 people received service in quarters 2-4. During FY 2010-11, 10 elderly were served by Age Well's Transportation Program; 8 of which were female headed households.

<b>PGM Year:</b>	2010
<b>Project:</b>	0007 - SOUTH COAST YMCA
<b>IDIS Activity:</b>	156 - SOUTH COAST YMCA

Status: Completed  
 Location: 29839 Crown Valley Pkwy Laguna Niguel, CA 92677-1944

Objective: Create suitable living environments  
 Outcome: Availability/accessibility  
 Matrix Code: Child Care Services (05L) National Objective: LMC

**Initial Funding Date:** 08/30/2010

**Financing**

Funded Amount:	22,859.00
Drawn Thru Program Year:	22,859.00
Drawn In Program Year:	22,859.00

**Description:**

PROVIDES SCHOLARSHIPS FOR YEAR-ROUND CHILD CARE AT EIGHT FACILITIES WITHIN LAGUNA NIGUEL TO LOW- AND MODERATE-INCOME YOUTH AND THEIR PARENTS.

**Proposed Accomplishments**

People (General) : 115

**Actual Accomplishments**

<i>Number assisted:</i>	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	87	24
Black/African American:	0	0	0	0	0	0	6	0

Asian:	0	0	0	0	0	0	4	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	1	0
Asian White:	0	0	0	0	0	0	4	0
Black/African American & White:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	3	0
Other multi-racial:	0	0	0	0	0	0	45	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>153</b>	<b>24</b>
Female-headed Households:	0		0		0			

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	31
Low Mod	0	0	0	95
Moderate	0	0	0	27
Non Low Moderate	0	0	0	0
Total	0	0	0	153
Percent Low/Mod				100.0%

Annual Accomplishments	Accomplishment Narrative
Year      # Benefitting	
2010      153	In quarter 1, 111 youth and their parents were provided daycare scholarships. In quarter 2, 15 new youth were served. In quarter 3, 10 new youth were served. In quarter 4, 17 new people were served. Of the families served 104 were female headed households and 2 were disabled. During FY 2010-11, a total of 153 youth and their families were provided daycare scholarships by the YMCA.

<b>PGM Year:</b>	2010	
<b>Project:</b>	0008 - 2-1-1 Orange County	
<b>IDIS Activity:</b>	157 - 2-1-1- Orange County	
<b>Status:</b>	Open	<b>Objective:</b>
<b>Location:</b>	.	<b>Outcome:</b>
		<b>Matrix Code:</b> Public Information (21C) <b>National Objective:</b>
<b>Initial Funding Date:</b>	08/30/2010	<b>Description:</b>
<b>Financing</b>		Provides funds for 2-1-1 Orange County to deliver referral services to those in need of emergency and supportive service information. IN QUARTER 1, 206 LAGUNA NIGUEL RESIDENTS WERE ASSISTED. IN QUARTER 2, 195 RESIDENTS WERE ASSISTED. IN QUARTER 3, 192 RESIDENTS WERE ASSISTED. IN QUARTER 4, 179 RESIDENTS WERE ASSISTED FOR A TOTAL OF 772 PEOPLE ASSISTED IN FY 2010-11. OF THESE RESIDENTS 141 WERE OVER 55 YEARS OF AGE and 29 were homeless.
Funded Amount:	7,056.00	
Drawn Thru Program Year:	7,015.00	
Drawn In Program Year:	7,015.00	

Annual Accomplishments	Accomplishment Narrative
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Year      # Benefiting

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Total Funded Amount:      \$1,911,397.25  
Total Drawn Thru Program Year:      \$1,474,248.48  
Total Drawn In Program Year:      \$155,271.39



U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
CDBG-R Activity Summary Report (GPR) for Program Year 2010  
LAGUNA NIGUEL

Date: 22-Aug-2011  
Time: 14:39  
Page: 1

**PGM Year:** 2009  
**Project:** 0011 - Niguel Woods CDBG-R  
**IDIS Activity:** 158 - Niguel Woods CDBG-R

**Status:** Open  
**Location:** 27781 La Paz Rd Laguna Niguel, CA 92677-3919  
**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Street Improvements (03K) **National Objective:** LMA

**Initial Funding Date:** 06/17/2011  
**Financing**  
 Funded Amount: 91,104.00  
 Drawn Thru Program Year: 91,104.00  
 Drawn In Program Year: 91,104.00

**Description:**  
 FUNDS WILL BE USED TO IMPROVE STREETS WITHIN THE CITY'S LOW-AND MODERATE-INCOME AREA OF NIGUEL WOODS.

**Proposed Accomplishments**  
 People (General) : 7,011  
 Total Population in Service Area: 64,247  
 Census Tract Percent Low / Mod: 41.20

**Annual Accomplishments**      **Accomplishment Narrative**  
 Year      # Benefiting

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**Total Funded Amount:      \$91,104.00**  
**Total Drawn Thru Program Year:      \$91,104.00**  
**Total Drawn In Program Year:      \$91,104.00**

IDIS		U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT PR06 - Summary of Consolidated Plan Projects for Report Year				DATE: 8/19/2011 TIME: 5:18:40 pm PAGE: 1/20			
Plan IDIS Year	Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn in Report Year	
2010	1	CDBG Administration	Provides funds for the Administration of the CDBG program.	CDBG	\$20,000.00	\$20,000.00	\$15,887.00	\$4,113.00	\$15,887.00
				HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	2	FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY	PROVIDES FAIR HOUSING SERVICES, INCLUDING TENTANT/LANDLORD SERVICES, FOR VERY LOW-, LOW- AND MODERATE-INCOME RESIDENTS.	CDBG	\$12,756.00	\$12,756.00	\$12,756.00	\$0.00	\$12,756.00
				HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	3	FAMILIES FORWARD	THIS PROGRAM IS DESIGNED TO SHIFT INCOME-ELIGIBLE FAMILIES RESIDING IN SOUTH ORANGE COUNTY FROM FINANCIAL CRISIS TO SELF-SUFFICIENCY. THE GOAL IS TO HELP FAMILIES REGAIN SELF-SUFFICIENCY BY PROVIDING A VARIETY OF SERVICES, INCLUDING: FINANCIAL COUNSELING, CAREER COACHING, LIFE SKILLS EDUCATION AND CASE MANAGEMENT TO AT-RISK AND HOMELESS FAMILIES WITH CHILDREN.	CDBG	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	\$5,000.00
				HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	4	LAURA'S HOUSE	THIS PROGRAM PROVIDES DIRECT SERVICE TO LAGUNA NIGUEL RESIDENTS EXPERIENCING DOMESTIC VIOLENCE. SERVICES INCLUDE: A SHELTER PROGRAM, 24-HOUR CRISIS INTERVENTION AND REFERRAL, COUNSELING SERVICES, LEGAL SERVICES, CLITHING AND FOOD, SUPPORT GROUPS AND EMERGENCY TRANSPORTATION TO SHELTER, COURT APPOINTMENTS AND OTHERS.	CDBG	\$4,000.00	\$4,000.00	\$4,000.00	\$0.00	\$4,000.00
				HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	5	SOUTH COUNTY OUTREACH	THIS PROGRAM WILL CONTINUE TO MINIMIZE HUNGER AND MALNUTRITION BY PROVIDING FREE FOOD TO NEEDY PEOPLE AND PREVENT HOMELESSNESS BY ASSISTING WITH RENTAL AND UTILITY BILLS. ITS TRANSITIONAL HOUSING PROGRAM WILL HELP HOMELESS FAMILIES REACH SELF-SUFFICIENCY AND IS COMPUTER-TRAINING PROGRAM WILL HELP PEOPLE GET JOBS.	CDBG	\$11,508.00	\$11,508.00	\$11,508.00	\$0.00	\$11,508.00
				HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR06 - Summary of Consolidated Plan Projects for Report Year

DATE: 8/19/2011  
 TIME: 5:18:40 pm  
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Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn in Report Year
2010 6	AGE WELL SENIOR SERVICES	PROVIDES SPECIALIZED TRANSPORTATION SERVICES FOR LOW-INCOME, TRANSIT-DEPENDENT, ELDERLY AND DISABLED RESIDENTS WITHIN THE CITY OF LAGUNA NIGUEL.	CDBG \$11,200.00	\$11,200.00	\$11,200.00	\$0.00	\$11,200.00
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7	SOUTH COAST YMCA	PROVIDES SCHOLARSHIPS FOR YEAR-ROUND CHILD CARE AT EIGHT FACILITIES WITHIN LAGUNA NIGUEL TO PARENTS WITH LOW- TO MODERATE-INCOME.	CDBG \$22,859.00	\$22,859.00	\$22,859.00	\$0.00	\$22,859.00
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8	2-1-1 Orange County	Provides funds for referral services.	CDBG \$7,066.00	\$7,066.00	\$7,015.00	\$41.00	\$7,015.00
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HPRP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		CDBG-R	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		TCAP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2009 1	CDBG ADMINISTRATION & PLANNING	PROVIDES FOR PERSONNEL AND OPERATING COSTS RELATED TO OVERALL CDBG PROGRAM ADMINISTRATION.	CDBG \$50,000.00	\$52,438.44	\$52,438.44	\$0.00	\$0.00
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2	FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY	PROVIDES FAIR HOUSING SERVICES, INCLUDING TENTANT/LANDLORD SERVICES, FOR VERY LOW, LOW AND MODERATE-INCOME RESIDENTS.	CDBG \$7,428.00	\$7,428.00	\$7,428.00	\$0.00	\$1,528.60
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3	FAMILIES FORWARD	THIS PROGRAM IS DESIGNED TO SHIFT INCOME-ELIGIBLE FAMILIES RESIDING IN SOUTH ORANGE COUNTY FROM FINANCIAL CRISIS TO SELF-SUFFICIENCY. THE GOAL IS TO HELP FAMILIES REGAIN SELF-SUFFICIENCY BY PROVIDING A VARIETY OF SERVICES, INCLUDING: FINANCIAL COUNSELING, CAREER COACHING, LIFE SKILLS EDUCATION AND CASE MANAGEMENT TO AT-RISK AND HOMELESS FAMILIES WITH CHILDREN.	CDBG \$5,000.00	\$5,000.00	\$5,000.00	\$0.00	\$0.00
		HOPWA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		HOME	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		ESG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**\*Pages 3-20 contain data from previous fiscal years that have already been reported and do not apply to this report.**

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (1 of 7) - Count of CDBG Activities with Disbursements by Activity Group &  
 Matrix Code

DATE: 8/22/2011  
 TIME: 2:43:30 pm  
 PAGE: 1/1

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	1	\$0.00	0	\$0.00	1	\$0.00
	Senior Centers (03A)	1	\$0.00	0	\$0.00	1	\$0.00
	Street Improvements (03K)	1	\$83,517.79	0	\$0.00	1	\$83,517.79
		<b>3</b>	<b>\$83,517.79</b>	<b>0</b>	<b>\$0.00</b>	<b>3</b>	<b>\$83,517.79</b>
Public Services	Public Services (General) (05)	0	\$0.00	2	\$16,508.00	2	\$16,508.00
	Senior Services (05A)	0	\$0.00	1	\$11,200.00	1	\$11,200.00
	Battered and Abused Spouses (05G)	0	\$0.00	1	\$4,000.00	1	\$4,000.00
	Child Care Services (05L)	0	\$0.00	1	\$22,859.00	1	\$22,859.00
		<b>0</b>	<b>\$0.00</b>	<b>5</b>	<b>\$54,567.00</b>	<b>5</b>	<b>\$54,567.00</b>
General Administration and Planning	General Program Administration (21A)	1	\$15,887.00	0	\$0.00	1	\$15,887.00
	Public Information (21C)	1	\$7,015.00	0	\$0.00	1	\$7,015.00
	Fair Housing Activities (subject to 20% Admin Cap) (21D)	0	\$0.00	2	\$14,284.60	2	\$14,284.60
		<b>2</b>	<b>\$22,902.00</b>	<b>2</b>	<b>\$14,284.60</b>	<b>4</b>	<b>\$37,186.60</b>
		<b>5</b>	<b>\$86,419.79</b>	<b>7</b>	<b>\$68,851.60</b>	<b>12</b>	<b>\$155,271.39</b>

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (2 of 7) - CDBG Sum of Actual Accomplishments by Activity Group and  
 Accomplishment Type

DATE: 8/22/2011  
 TIME: 2:44:24 pm  
 PAGE: 1/1

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Persons	2,046	0	2,046
	Senior Centers (03A)	Public Facilities	0	0	0
	Street Improvements (03K)	Persons	17,797	0	17,797
			<b>19,843</b>	<b>0</b>	<b>19,843</b>
Public Services	Public Services (General) (05)	Persons	0	994	994
	Senior Services (05A)	Persons	0	10	10
	Battered and Abused Spouses (05G)	Persons	0	143	143
	Child Care Services (05L)	Persons	0	153	153
			<b>0</b>	<b>1,300</b>	<b>1,300</b>
			<b>19,843</b>	<b>1,300</b>	<b>21,143</b>

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (3 of 7) - CDBG Beneficiaries by Racial / Ethnic Category

DATE: 8/22/2011  
 TIME: 2:45:15 pm  
 PAGE: 1/1

Housing-Non Housing	Race	Total		Total	
		Persons	Hispanic Persons	Households	Hispanic Households
Non Housing	White	3,081	596	0	0
	Black/African American	65	0	0	0
	Asian	47	0	0	0
	American Indian/Alaskan Native	13	0	0	0
	Native Hawaiian/Other Pacific Islander	5	0	0	0
	American Indian/Alaskan Native & White	1	0	0	0
	Asian & White	7	0	0	0
	Black/African American & White	3	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	5	0	0	0
	Other multi-racial	119	0	0	0
	<b>Total</b>	<b>3,346</b>	<b>596</b>	<b>0</b>	<b>0</b>
Total	White	3,081	596	0	0
	Black/African American	65	0	0	0
	Asian	47	0	0	0
	American Indian/Alaskan Native	13	0	0	0
	Native Hawaiian/Other Pacific Islander	5	0	0	0
	American Indian/Alaskan Native & White	1	0	0	0
	Asian & White	7	0	0	0
	Black/African American & White	3	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	5	0	0	0
	Other multi-racial	119	0	0	0
	<b>Total</b>	<b>3,346</b>	<b>596</b>	<b>0</b>	<b>0</b>

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (4 of 7) - CDBG Beneficiaries by Income Category

DATE: 8/22/2011  
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Income Levels	Owner Occupied	Renter Occupied	Persons
Non Housing Extremely Low (<=30%)	0	0	956
Low (>30% and <=50%)	0	0	2,314
Mod (>50% and <=80%)	0	0	61
Total Low-Mod	0	0	3,331
Non Low-Mod (>80%)	0	0	15
Total Beneficiaries	0	0	3,346

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR 26 - CDBG Financial Summary Report

DATE: 8/19/2011  
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Grantee	LAGUNA NIGUEL , CA
Program Year	2010
<b>PART I: SUMMARY OF CDBG RESOURCES</b>	
01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	495,441.81
02 ENTITLEMENT GRANT	363,793.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	859,234.81
<b>PART II: SUMMARY OF CDBG EXPENDITURES</b>	
09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	118,084.79
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	118,084.79
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	37,186.60
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	155,271.39
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	703,963.42
<b>PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD</b>	
17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	118,084.79
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	118,084.79
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%
<b>LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS</b>	
23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2010 PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%
<b>PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS</b>	
27 DISBURSED IN IDIS FOR PUBLIC SERVICES	54,567.00

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR 26 - CDBG Financial Summary Report

DATE: 8/19/2011  
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28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	54,567.00
32 ENTITLEMENT GRANT	363,793.00
33 PRIOR YEAR PROGRAM INCOME	0.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	363,793.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	15.00%
<b>PART V: PLANNING AND ADMINISTRATION (PA) CAP</b>	
37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	37,186.60
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	37,186.60
42 ENTITLEMENT GRANT	363,793.00
43 CURRENT YEAR PROGRAM INCOME	0.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	363,793.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	10.22%

IDIS - PR83

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Performance Measures Report  
 Program Year ~~20025~~ (Grantee@DESC),

DATE: 08-23-11  
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Public Facilities and Infrastructure

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
-----										
Number of Persons Assisted										
with new access to a facility	0	0	0	0	0	0	0	0	0	0
with improved access to a facility	0	0	0	0	0	0	0	0	0	0
with access to a facility that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Number of Households Assisted

with new access to a facility	0	0	0	0	0	0	0	0	0	0
with improved access to a facility	0	0	0	0	0	0	0	0	0	0
with access to a facility that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Public Services

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
-----										
Number of Persons Assisted										
with new (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with improved (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with new access to a service that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

IDIS - PR83

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Performance Measures Report  
 Program Year 2012 (Grantee@DESC),

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Public Services (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of Households Assisted										
with new (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with improved (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with new access to a service that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Economic Development

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total Number of Businesses Assisted	0	0	0	0	0	0	0	0	0	0
Of Total										
New businesses assisted	0	0	0	0	0	0	0	0	0	0
Existing businesses assisted	0	0	0	0	0	0	0	0	0	0
Number of business facades/buildings rehabilitated	0	0	0	0	0	0	0	0	0	0
Assisted businesses that provide a good or service to service area/neighborhood/community	0	0	0	0	0	0	0	0	0	0
Total Number of Jobs Created	0	0	0	0	0	0	0	0	0	0
Types of Jobs Created										
Officials and Managers	0	0	0	0	0	0	0	0	0	0

IDIS - PR83

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Performance Measures Report  
 Program Year 2005 (Grantee BDESC),

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Economic Development (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Professional	0	0	0	0	0	0	0	0	0	0
Technicians	0	0	0	0	0	0	0	0	0	0
Sales	0	0	0	0	0	0	0	0	0	0
Office and Clerical	0	0	0	0	0	0	0	0	0	0
Craft Workers (skilled)	0	0	0	0	0	0	0	0	0	0
Operatives (semi-skilled)	0	0	0	0	0	0	0	0	0	0
Laborers (unskilled)	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0
Of jobs created, number with employer sponsored health care benefits	0	0	0	0	0	0	0	0	0	0
Number unemployed prior to taking jobs	0	0	0	0	0	0	0	0	0	0
Total Number of Jobs Retained	0	0	0	0	0	0	0	0	0	0
Types of Jobs Retained										
Officials and Managers	0	0	0	0	0	0	0	0	0	0
Professional	0	0	0	0	0	0	0	0	0	0

IDIS - PR83

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Performance Measures Report  
 Program Year 2005 (Grantee@DESC)

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Economic Development (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Technicians	0	0	0	0	0	0	0	0	0	0
Sales	0	0	0	0	0	0	0	0	0	0
Office and Clerical	0	0	0	0	0	0	0	0	0	0
Craft Workers (skilled)	0	0	0	0	0	0	0	0	0	0
Operatives (semi-skilled)	0	0	0	0	0	0	0	0	0	0
Laborers (unskilled)	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0
Of jobs retained, number with employer sponsored health care benefits	0	0	0	0	0	0	0	0	0	0
Acres of Brownfields Remediated	0	0	0	0	0	0	0	0	0	0

**Appendix B**  
**Proof of Publication**

**PUBLIC NOTICE  
CITY OF LAGUNA NIGUEL  
NOTICE OF AVAILABILITY  
OF COMMUNITY DEVELOPMENT BLOCK GRANT  
(CDBG) ANNUAL REPORT FOR FISCAL YEAR 2010/2011**

**NOTICE IS HEREBY GIVEN** that the City of Laguna Niguel's CDBG Annual Report for FY 2010/2011 is available for public review and comment beginning on September 9, 2011.

**PROPOSAL:** The City of Laguna Niguel received an annual allocation of \$363,703 from the U.S. Department of Housing and Urban Development (HUD) under the Community Development Block Grant (CDBG) program for FY 2010/2011. CDBG funds are designed to assist the City in providing decent housing and suitable living environment, addressing community service and facility needs, and expanding economic opportunities for primarily low and moderate income persons. During FY 2010/2011 (July 1, 2010 through June 30, 2011), the City awarded CDBG funding to a number of nonprofit agencies to provide services for the youth, disabled, homeless, elderly and those in need of emergency assistance. The City also used CDBG funds for public improvement projects, such as the Niguel Woods Street Improvement Project. The City's annual report or the Consolidated Annual Performance and Evaluation Reporting (CAPER) details the uses of CDBG funds and achievements. The CAPER will be available for public review at City Hall between September 1 and September 16, 2011.

Copies of the CAPER will be made available for public review at the following locations:

City Hall, 30111 Crown Valley Pkwy

Information on the CAPER, or the City's CDBG program is available for public review at the Community Development Department of the City of Laguna Niguel, 30111 Crown Valley Pkwy, Laguna Niguel, California 92677, (949) 362 - 4360. Persons requesting further information should contact Erich Lutz with the City of Laguna Niguel, Community Development Department at the above address and telephone number.  
Publish Laguna Niguel News/Sept. 1, 2011 8400749

**NOTICE OF TRUSTEE'S SALE** TS No. 10-0132436 Title Order No. 10-0-473503 Investor/Insurer No. N/A APN No. 032-14-045 YOU ARE IN DEFAULT UNDER A DEED OF TRUST, DATED 01/15/2007. UNLESS YOU TAKE ACTION TO PROTECT YOUR PROPERTY, IT MAY BE SOLD AT A PUBLIC SALE. IF YOU NEED AN EXPLANATION OF THE NATURE OF THE PROCEEDING AGAINST YOU, YOU SHOULD CONTACT A LAWYER. Notice is hereby given that RECONTRUST COMPANY, N.A., as duly appointed trustee pursuant to the Deed of Trust executed by SHANNON CAMPBELL, A SINGLE WOMAN, dated 01/15/2007 and recorded 01/16/07, as Instrument No. 2007000038586, in Book , Page ], of Official Records in the office of the County Recorder of Orange County, State of California, will sell on 09/15/2011 at 12:00PM, At the North front entrance to the County Courthouse, 700 Civic Center Drive West, Santa Ana, CA 92701 at public auction, to the highest bidder for cash or check as described below, payable in full at time of sale, all right, title, and interest conveyed to and now held by it under said Deed of Trust, in the property situated in said County and State and as more fully described in the above referenced Deed of Trust. The street address and other common designation, if any, of the real property described above is purported to be: 31468 WEST NINE DRIVE AKA, 31468 WEST NINE DRIVE, ADELLAGUNA NIGUEL, CA, 926772961. The undersigned Trustee declines any liability for any incorrectness of the street address and other common designation, if any, shown herein. The total amount of the unpaid balance with interest thereon of the obligation secured by the property to be sold plus reasonable estimated costs, expenses and advances at the time of the initial publication of the Notice of Sale is \$431,139.34. It is possible that at the time of sale the opening bid may be less than the total indebtedness due. In addition to cash, the Trustee will accept cashier's checks drawn on a state or national bank, a check drawn by a state or federal credit union, or a check drawn by a state or federal savings and loan association, savings association, or savings bank specified in Section 5102 of the Financial Code and authorized to do business in this state. Said sale will be made, in an "AS IS" condition, but without covenant or warranty, express or implied, regarding title, possession or encumbrances, to satisfy the indebtedness secured by said Deed of Trust, advances thereunder, with interest as provided, and the unpaid principal of the Note secured by said Deed of Trust with interest thereon as provided in said Note, plus fees, charges and expenses of the Trustee and of the trusts created by said Deed of Trust. DATED: 01/14/2011 RECONTRUST COMPANY, N.A. 1800 Tapo Canyon Rd., CA-914-01-94 SIMI VALLEY, CA 93063 Phone: (800) 201-1219, Sale Information (828) 927-4338 by: Trustee's Sale Officer RECONTRUST COMPANY, N.A. is a debt collector attempting to collect a debt. Any information obtained will be used for that purpose. ASAP# 4069075 08/25/2011, 09/01/2011, 09/08/2011 Laguna Niguel News 8388477 To view the address and obtain directions to this fore-closed home before it goes on the market, text 8388477 to 83884.

**Appendix C  
CAPER Checklist**

## 2010 CAPER REVIEW CHECKLIST

Grantee: Laguna Niguel

### THE SUBMISSION

The statutory submission deadline was met.

YES **(September 28, 2011)**

The Financial Summary (IDIS Report number C04PR26) was provided.

YES **Appendix A**

The report covers the appropriate program year.

YES **7/01/10 – 6/30/11 FY 2010-11 page 1**

Identifies CPD entitlement funds.

YES **CDBG pages 1-3 and 9**

Planning & Administration	\$35,699
Public Services	\$54,567
Capital improvement (Street Improvements)	\$269,414
(Pedestrian Push Button)	\$47,541.46

B10MCO-60590 CDBG Entitlement \$363,793

B09MYO-60590 CDBG-R (Street Improvements) \$91,104

Identifies all known Federal/HUD resources available to the grantee (including SNAPs).

YES **page 9 \$363,793 CDBG Entitlement Funds**

\*Correct information noted and/or requested in PYR letter.

### NARRATIVES - GENERAL

Three/Five Year Goals and Objectives assessment relates back to Strategic Consolidated Plan objectives;

YES CAPER Table 1 and 2C **pages 3 and 35**

High priority needs.

YES MEDIUM PRIORITY.

Affordable Housing Evaluation includes:

The number of extremely low, low, and moderate-income renter and owner households assisted during the reporting period;

YES **page 15-16** renters (122 Section 8 vouchers).

The number of households assisted with housing that meets the Section 215 definition of affordable housing for rental and home ownership;

YES **page 15-16** renters (122 Section 8 vouchers).

Section 215 Affordable Housing. 1. Rental Housing: A rental housing unit is considered to be an affordable housing unit if it is occupied by a low income household or individual and bears a rent that is the lesser of a) the existing section 8 fair market rent for comparable units in the area or b) 30 percent of the adjusted income of a household whose income equals 65 percent of the median income for the area, except that HUD may establish income ceilings higher or lower than 65 percent of the median because of prevailing level of construction costs or fair market rents, or unusually high or low family incomes. 2. Homeownership: a) housing that is for purchase, with or without rehab., qualifies as affordable housing if it 1) is purchased by a low income first time homebuyer who will make the housing his or her principal residence and 2) has a sale price which does not exceed the mortgage limit for the type of single family housing for the area under HUDs single family insuring authority under the National Housing Act. B) housing that is to be rehabilitated, but is already owned by a household when assistance is provided, qualifies as affordable if the housing 1) is occupied by a low income household which uses the housing as its principal residence, and 2) has a value, after rehabilitation, that does not exceed the mortgage limit for the type of single family housing for the area as described in 2a) above. Note: these definitions apply for the purposes of enumerating the number of households assisted with housing meeting the 215 affordable housing definition regardless of the Federal funding source used in support of that housing.

A comparison of actual accomplishments with proposed goals for the reporting period;

YES Table 1 and 2C **pages 3 and 35**

Efforts to address worse case needs; and

YES **page 16**

The needs of persons with disabilities

YES **page 16**

Continuum of Care Strategy

CAPER identifies actions taken at all points along the continuum from prevention and outreach through emergency, transitional, and permanent housing.

YES **pages 17-20**

Other Actions addressed, include:

Actions taken to address obstacles to meeting underserved needs;

YES **page 7**

Maintaining affordable housing;

YES **page 12**

Eliminating barriers to affordable housing;

YES **page 16**

Overcoming gaps in institutional structures and enhancing coordination;

YES **page 10**

Improving public housing and resident initiatives;

YES N/A no public housing **page 16**

Evaluating and reducing lead based paint hazards;

YES **page 12**

Ensuring compliance with program and comprehensive planning requirements; and

YES **page 8**

Reducing the number of persons living below the poverty level.

YES **page 30**

The submission included a description of the Leveraging of other public and private resources as indicated in the Plan, including how any matching requirements were satisfied.

YES **page 7**

A Summary of Citizen Comments was included in the submission.

YES **page 8**

The report included a Self-evaluation.

YES **page 11**

Affirmatively Furthering Fair Housing evaluated by FHEO Division, all grantees.

**Pages 3-7**

\*Correct information noted and/or requested in PYR letter.

**CDBG ENTITLEMENT NARRATIVES**

The submission included an Assessment of the Relationship of CDBG Funds to the high priority needs/objectives in the plan, including an evaluation of the extent to which CDBG funds were used to benefit LMI persons.

YES **page 2 and 28**

Narratives also include:

An explanation of the nature of and reasons for any changes in program objectives, and an indication of how the jurisdiction would change its program as a result of its experience.

YES **page 2 and 28**

The report includes an evaluation of the extent to which CDBG funds were used to benefit LMI persons.

YES **page 29**

Assessment of Efforts Made in Carrying Out Planned Actions described in the Consolidated Plan includes a narrative or other information which indicates that:

The grantee pursued all resources indicated in the Consolidated Plan.

YES **page 28**

Certifications for consistency were provided for other HUD programs.

YES **page 34**

That the grantee did not hinder plan implementation by action or willful inaction.

YES **page 28**

Did the grantee indicate that it has carried out activities that involved acquisition, rehabilitation or demolition of occupied real property triggering the Uniform Relocation Act?

**N/A (no activities) page 28**

The grantee submitted narratives which identify:

The steps taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

**N/A**

Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Relocation Act; whether or not they were displaced, and; the nature of their needs and preferences.

**N/A**

Steps taken to ensure the timely issuance of information notices.

**N/A**

Did the grantee carry out Economic Development Activities during the reporting period?

**N/A page 29**

Job Creation/Retention

Economic development jobs as applicable were made available to low-or moderate-income persons.

**N/A (job creation/retention objective not employed)**

A narrative of actions taken by the grantees and the businesses to ensure first consideration was or will be given to low/mod persons has been supplied.

**N/A**

A listing by job title of all permanent jobs created/retained and those that were made available to low mod persons has been provided.

**N/A**

Were jobs claimed as being available to low/mod persons that require special skills, work experience, or education?

**N/A**

\*Yes: Did the grantee include a description of the steps being taken or that will be taken to meet this requirement.

**N/A**

Did the grantee undertake activities that serve Limited Clientele not falling within one of the categories of presumed limited clientele low mod benefit?

**NO** ALL CLIENTS AND SERVICES QUALIFIED TO SERVE IN COMPLIANCE

WITH 570.208 (a) 2 (a) **pages 9 and 28-29**

The grantee provided a narrative description explaining how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of who are low and moderate-income.

**YES** **pages 9 and 28-29**.

Did the grantee undertake activities during the program year which generated Program Income to revolving funds; from float funded activities; from the sale of real property; other loan repayments; prior period adjustments; loans outstanding or written off; parcels of CDBG-acquired property available for sale; or lump sum drawdown payments?

**\*YES** **page 29**

**\*Yes:** narrative information provided:

a) the amount of program income which was returned to each revolving fund; b) the amount repaid on each float funded activity; c) all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other; and d) the amount of income received from the sale of property by parcel.

**N/A** **page 29**

Prior Period Adjustments: reimbursement was made this reporting period for expenditures that have been disallowed.

**N/A** **page 29**

**\*Yes:** the grantee included narrative information that includes: a) the activity name and number as shown in IDIS; b) the amount returned to the line of credit or program account; and c) if the reimbursement is to be made over multi-year payments, the total amount to be reimbursed and the time period over which the reimbursement is to be made.

**N/A** **page 29**

Loans and Other Receivables

**N/A** **page 29**

The narrative for Loans and Other Receivables identified: a) Float Funded activities outstanding as of the end of the reporting period; b) the total amount of loans outstanding and the principal balance owed as of the end of the reporting period; c) parcels acquired or improved with CDBG funds that are available for sale as of the end of the reporting period; and d) the number and amount of loans in default for which the balance was forgiven or written off during the reporting period.

**N/A page 29**

lump sum agreements

**N/A page 29**

\*n a : Information regarding: a) the name of the financial institution; b) date the funds were deposited; c) date the use of funds commenced; and d) the percentage of funds disbursed within 180 days of deposit in the institution was provided.

**N/A page 29**

Does the grantee have CDBG funded Rehabilitation Programs with completed projects or units?

**N/A page 29**

Yes: the submission includes: a) a narrative description that identifies the type of program and the number of properties/units completed for each; and b) the total CDBG and other public and private funds involved in the project.

**N/A page 29**

NRSA Does the grantee have an approved neighborhood revitalization strategy .

**N/A page 29**

a report of progress against benchmarks was included in the caper .

\*\*Correct information noted and/or requested in PYR letter.

WORKSHEETS

Eligibility/national objective, primary objective, planning and administration, and public service worksheets are completed and attached.

HOME PJ Worksheet Attached: N/A NO – not a home PJ

ESG Worksheet Attached: N/A NO – Not a recipient of ESG funds

HOPWA Worksheet: N/A NO – Not a HOPWA grantee

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CPD Representative/date

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Program Manager/date

**ELIGIBILITY/NATIONAL OBJECTIVE WORKSHEET**

Review each activity listed on the Activity Summary and CAPER Report to determine if the activities are eligible and meet a national objective. Determine if appropriate matrix codes have been utilized and if all criteria for funding have been met.

Use this review sheet to list questionable activities for follow-up. After consulting with the grantee, enter the result here. Reclassify any misclassified activities, identify any ineligible activities, and take appropriate corrective or remedial action.

### PRIMARY OBJECTIVE OVERALL BENEFIT CALCULATION

If national objective codes are incorrect on IDIS reports, please utilize this form to confirm overall benefit. Do the calculation shown below to determine whether the grantee met its certification that at least 70 percent of all CDBG funds expended during one, two, or three consecutive program years, as specified, were for activities benefiting L/M persons. Where the certification is not met, ask for further information and, when necessary, take corrective or remedial action.

To calculate the level of overall benefit this year: **Appendix A.**

- a. Figure the amount subject to program benefit:
  - b. Enter the activity expenditures (on line 15 of IDIS Report number C04PR26 )  
\$ \_\_\_\_\_
  - b. Subtract P&A expenditures (line 12 of IDIS Report number C04PR26)  
\$ \_\_\_\_\_
  - c. Equals expenditures subject to overall benefit calculation \$ \_\_\_\_\_
2. Figure the percent of expenditures benefiting L/M Persons:
- a. Enter amount of expenditures benefiting L/M Persons (line 19 IDIS Report number C04PR26). \$ \_\_\_\_\_
  - b. Divide by amount subject to program benefit (enter line 1.c). \$ \_\_\_\_\_
  - c. Equal the percent of expenditures benefiting L/M Persons. % \_\_\_\_\_
3. Compare the percentage with the overall benefit standard:  
The percentage should be greater than or equal to 70%, if the grantee chose a one year certification period. YES

For two or three year certification periods N/A

Total the cumulative expenditures subject to program benefit and divide by the cumulative expenditures directly benefiting L/M persons (low mod area, limited clientele, housing, and jobs). Ensure that progress is being made towards meeting the requirement within the certification period.

Certification period 1 year; and program year as identified in the 2010-11 Action Plan, Certifications, Appendix.

Certifications: \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

CAPER Certification period 1 year;

Program year Cumulative Program Expenditures	Direct Benefit Expenditures
_____	_____
cumulative totals: _____/	_____

Percentage na

## PLANNING AND ADMINISTRATIVE COST CAP WORKSHEET

If IDIS matrix codes are incorrect on any planning and administrative activity, you must verify planning and administrative costs utilizing this form. Calculate the level of planning and administrative cost expenditures according to the steps below. The grantee is required to be within the 20 percent cap. If the grantee has exceeded the cap, ask for further information and, when necessary, take corrective or remedial action.

1. Figure the expenditures cap;
  - a. Enter the grant and program income amount (line 2, plus line 5 in IDIS Report number C04PR26) appendix a \$337,274.00 + 0.00  
\$ \_\_\_\_\_
  - b. Multiply by 20 percent  
\_\_\_\_\_ X \_\_\_\_\_ .20
  - c. Equals the cap \$ \_\_\_\_\_
2. Figure this year's P&A expenditures:
  - a. Enter total of expenditures for planning & administration (Part II line 12 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - b. Enter total of planning and administrative current year unliquidated obligations (Part V, line 38 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - c. add lines 2.a. and 2.b. \$ \_\_\_\_\_
  - d. Enter total of planning and administrative prior year unliquidated obligations (Part V, line 39 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - e. subtract lines 2.d. from 2.c. \$ \_\_\_\_\_
3. Compare cap (on line 1.c.) with P&A expenditures (on line 2.e.):
  - a. Cap exceeded. No
  - b. Divide line 2.e. by line 1.a.  
P&A expenditures are less than the cap or equal to it \_\_\_\_\_ %

**PUBLIC SERVICE COST CAP WORKSHEET**

If matrix codes for public service activities are incorrect on IDIS activity reports, please utilize this form to verify the public service cap calculation. Calculate the level of public service obligations according to the steps below. The grantee is required to be within the 15 percent cap. If the grantee has exceeded the cap, ask for further information and, when necessary, taken corrective or remedial action.

1. Figure the obligations cap:
  - a. Enter the grant amount (on line 2 of IDIS Report number C04PR26)  
\$ \_\_\_\_\_
  - b. Multiply by 15 percent (or by the alternative percentages, if applicable, as described in the note below) \_\_\_\_\_ X \_\_\_\_\_ .15
  - c. Amount \$ \_\_\_\_\_
  - d. Enter the amount of program income received in the preceding program year (line 33 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - e. Multiply by 15 percent \_\_\_\_\_ X \_\_\_\_\_ .15
  - f. Amount \$ \_\_\_\_\_
  - g. Total of lines 1.c. and 1.f. equals the cap \$ \_\_\_\_\_
  
2. Figure this year's public service obligations:
  - a. Enter total of public service expenditures (Part IV, line 27 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - b. Enter total of public service unliquidated obligations (Part IV, line 28 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - c. Add lines 2.a. and 2.b. \$ \_\_\_\_\_
  - d. Enter last year's public service unliquidated obligations (part IV, line 29 of IDIS Report number C04PR26) \$ \_\_\_\_\_
  - e. Subtract line 2.d. from line 2.c. \$ \_\_\_\_\_
  
3. Compare cap (on line 1.g.) with obligations (on line 2.e.):
  - a. Cap exceeded?     No
  - b. Add lines 1.a. and 1.d. Divide line 2.e. by sum of line 1.a. and 1.d.  
Obligations are less than the cap \_\_\_\_\_%

**HOME PJ WORKSHEET**

**N/A**

Narratives for HOME Jurisdictions include:

The results of on-site inspections of rental housing.

YES \*NO

An assessment of affirmative marketing actions.

YES \*NO

An assessment of outreach to women and minority owned businesses.

YES \*NO

An analysis of the extent to which HOME funds were distributed among different categories of housing needs identified in the Consolidated Plan.

YES \*NO

home match report (HUD form 40107A) included.

YES \*NO

home MBE/WBE (HUD form 40107, Part III).

YES \*NO

\*Correct information noted and/or requested in PYR letter.

**EMERGENCY SHELTER GRANT WORKSHEET                    N /A**

Does the grantee receive emergency shelter grant funds   \*YES            NO

\*Yes: the submission included:

a) a description of the extent to which activities supported directly with ESG funds addressed homeless and homeless prevention goals, objectives, and priorities established in the Consolidated Plan; b) a description of the sources and amounts of funds used to meet the match requirements of the ESG program.

YES (a b)

\*NO (a b )

\*Correct information noted and/or requested in PYR letter.

**HOPWA GRANTEE WORKSHEET      N / A**

Does the Grantee receive hopwa funds \*YES      NO

\*Yes. The grantee included: a) an overview of activities carried out, barriers encountered, actions taken in response to barriers, and recommendations for program improvement; b) information addressing how grant management oversight of sponsor activities was undertaken, including how recipients of such assistance were chosen and what services were provided; and c) the other resources that were used in conjunction with HOPWA funded activities, including cash resources and in kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations included.

YES (A B C)

\*NO (A B C)

\*Correct information noted and/or requested in PYR letter.