



City of Laguna Niguel

Consolidated Annual Performance Evaluation Report (CAPER)

FY 2011-12
2nd Year of the Five-Year Consolidated Plan

City of Laguna Niguel



Consolidated Annual Performance and Evaluation Report (CAPER)

Community Development Department
30111 Crown Valley Pkwy Laguna Niguel, CA 92677

Final
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Program Year 2011-12
2nd Year of the 2010-2015 Consolidated Plan

(7/01/11 – 6/30/12)

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Appendices

- Appendix A: IDIS Reports
- Appendix B: Proof of Publication
- Appendix C: CAPER Checklist
- Appendix D: Fair Housing Council of OC Actions to Address Impediments



Second Program Year CAPER

The CPMP Second Consolidated Annual Performance and Evaluation Report (CAPER) includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the second year.

Program Year 2 CAPER Executive Summary response:

The Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2011-12 provides an overview of the accomplishments made by the City from July 1, 2011 through June 30, 2012 in implementing the second year of the City's five-year Consolidated Plan for FY 2010-2015 using the FY 2011-12 Annual Action Plan as the standard to provide the overview of accomplishments. The Consolidated Plan established the following five priorities:

- **Priority 1: Affordable Housing-** Promote, preserve, and assist in the development of affordable housing for low- and moderate-income residents, special needs groups, those at- risk of homelessness, and disproportionately impacted residents.
- **Priority 2: Infrastructure and Facilities-** Improve and expand infrastructure and facilities that benefit low- and moderate-income neighborhoods and residents.
- **Priority 3: Public Services-** Provide and improve access to public services for low- and moderate-income persons and those with special needs.
- **Priority 4: Economic Development-** Provide for the economic development needs of low- and moderate- income persons and neighborhood target areas.
- **Priority 5: Administration and Planning-** Provide for administration and planning activities to develop housing and community development strategies and programs needed to carry out actions that address identified needs in the Consolidated Plan.

During FY 2011-12, the City received a total of \$306,862 in federal funds from the US Department of Housing and Urban Development (HUD). In addition, of which, \$4,113 of unexpended carryover from FY 2010-11 was available for the Street Improvement Project. These funds were used to address the goals and needs established in the Annual Action Plan, as well as the five-year Consolidated Plan priorities listed above as follows:

- | | | |
|------------------------------|----------|--------------------------------------|
| ▪ Families Forward | \$4,567 | Assisted 224 people |
| ▪ Laura's House | \$3,460 | Assisted 124 people |
| ▪ South County Outreach | \$10,508 | Assisted 572 people |
| ▪ South Coast YMCA | \$20,877 | Assisted 142 people |
| ▪ Administration & Planning | \$22,950 | Administered the City's CDBG program |
| ▪ Fair Housing Council of OC | \$9,540 | Assisted 102 households |
| ▪ 2-1-1 Orange County | \$6,000 | Assisted 591 people |

- The Street Improvement Project did not expend funds in FY 2011-12; however, \$228,960 of FY 2011 CDBG funds was allocated. The project is out to bid and will be implemented in FY 2012-13. It will improve one (1) Public Facility (streets in Niguel Woods LMA) and benefit 1,567 people.
- ADA Pedestrian Pushbuttons Project was delayed, but bids are being solicited and the project will expend approximately \$47,541.56 to benefit 2,046 people with disabilities in FY 2012-13.

General Questions

1. Assessment of the one-year goals and objectives:
 - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
 - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
 - c. If applicable, explain why progress was not made towards meeting the goals and objectives.
2. Describe the manner in which the recipient would change its program as a result of its experiences.
3. Affirmatively Furthering Fair Housing:
 - a. Provide a summary of impediments to fair housing choice.
 - b. Identify actions taken to overcome effects of impediments identified.
4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
5. Leveraging Resources
 - a. Identify progress in obtaining "other" public and private resources to address needs.
 - b. How Federal resources from HUD leveraged other public and private resources.
 - c. How matching requirements were satisfied.

Program Year 2 CAPER General Questions response:

1. Assessment of the One-Year Goals and Objectives

- a. Table 1 shown below illustrates the City's accomplishments in meeting the one-year goals and objectives outlined in the FY 2011-12 Annual Action Plan, as related to the Consolidated Plan priorities.
- b. A breakdown of the CPD formula grant funds spent on the grant activities for each goal and objective are included in Table 1 below.
- c. During the annual site monitoring visits, staff discussed ways to increase the number served for FY 2012-13 with each of the agencies that did not meet their goals. Further monitoring efforts resulted in two agencies being shifted out of the CDBG program to allow for limited dollars to be spent more efficiently.

Table 1: FY 2011-12 Projects and Accomplishments

Project Name	Performance Objective/Outcome	Allocation	Amount Expended	FY 2011-12 Goal	FY 2011-12 Accomplishments
Priority 2: Infrastructure and Facilities					
Capital Improvement Street Project	Suitable Living Environment (Availability/Accessibility)	(\$224,847 plus \$4,113 carry over)*	\$0	1 Public Facility/1,567 people	Project was delayed, is currently out to bid, and will be implemented in FY 2012-13
ADA Pedestrian Pushbuttons	Suitable Living Environment (Availability/Accessibility)	\$47,541.56	\$0	2,046 people	Project was delayed, bids are currently being solicited, and will be implemented in FY 2012-13
	Sub Total	\$228,960	\$0	3,613	0
Priority 3: Public Services (15% cap)					
Age Well Senior Services	Suitable Living Environment (Availability/Accessibility)	\$3,000*	\$0	26 people	Project cancelled and funds were reallocated to 211 Orange County
Families Forward	Suitable Living Environment (Availability/Accessibility)	\$4,567	\$4,567	310 people	Assisted 234 people
Laura's House	Suitable Living Environment (Availability/Accessibility)	\$3,460	\$3,460	135 people	Assisted 124 people
Lifestyles In Motion	Suitable Living Environment (Availability/Accessibility)	\$3,000*	\$0	100 people	Project cancelled and funds were reallocated to 211 Orange County
South County Outreach	Suitable Living Environment (Availability/Accessibility)	\$10,508	\$10,508	525 people	Assisted 572 people
South Coast YMCA	Suitable Living Environment (Availability/Accessibility)	\$20,877	\$20,877	115 people	Assisted 142 people
2-1-1 Orange County	Suitable Living Environment (Availability/Accessibility)	\$6,000*	\$6,000	800 people	Assisted 591 people
	Sub Total	\$45,412	\$45,412	1,885	1,663
Priority 5: Administration and Planning (20% cap)					
Administration & Planning	Suitable Living Environment (Availability/Accessibility)	\$22,950	\$22,459	N/A	Administered the City's CDBG funded programs
Fair Housing Council of Orange County	Decent Housing (Availability/Accessibility)	\$9,540	\$9,540	402 People	Assisted 102 people
	Sub Total	\$31,999	\$31,999	402	102
	Total	\$306,371	\$77,411	5,498	1,765

*An administrative amendment was made July 20, 2010 and September 2, 2011, which affected the allocations; see narrative below. Priorities 1 and 4 are not listed as there were no activities receiving funds in this fiscal year.

2. Changes to the Program as a Result of Experiences

As a result of experiences in FY 2011-12, the City of Laguna Niguel made an administrative amendment September 2, 2011 to reprogram 2-1-1 Orange County's administrative allocation (\$7,056) to a service provider allocation (\$6,000) that was made available when Lifestyles in Motion (\$3,000) and Age Well (\$3,000) canceled their projects. The change to the 2-1-1 Orange County project was necessary due to a September 1, 2011 HUD desk review which disallowed the 2-1-1 service as an administration project.

The result of moving 2-1-1 from administration to the service provider category made an additional \$7,056 available for the Street Improvement Project. Additionally, the FY 2011-12 Action Plan stated that there was an estimated amount of \$25,000 in unexpended carryover funds from the ADA Push Button Project available for the Street Improvement Project; however, this was not the case. There was only \$4,113 of unexpended carryover from FY 2010-11 Administration Project available for the Street Improvement Project. Therefore, the Street Improvement Project was amended to \$228,960 (\$224,847 programmed and \$4,113 carry over).

As a result of experiences in FY 2010-11, the City of Laguna Niguel made an administrative amendment on July 20, 2010 to reduce the ADA Push Button allocation and increase the Administration Project allocation. The City also began working with Age Well Senior Services to change their transportation program from a bus model to a taxi-model to increase ridership.

3. Affirmatively Furthering Fair Housing

a. Summary of Impediments

The following is a list of conclusions made during the City's Analysis of Impediments to Fair Housing Choice (AI) that impact fair and affordable housing within Laguna Niguel, with impediments illustrated in boldface type.

- **Demographic:** While the City's FY 2010-2015 Consolidated Plan indicated that the City has grown rapidly in the last 20 years, the racial and ethnic composition of Laguna Niguel changed only gradually between 1990 and 2000. The majority of residents were White (77 percent), which is higher than the County (65 percent); though in 1990 Whites made 83 percent of the population. The two largest minority groups in the City were Hispanic households (10 percent) and Asian households (8 percent). Hispanics increased by two percentage points from 1990 to 2000, while African Americans remained at one percent and Asians at eight percent. The dissimilarity index indicated that Laguna Niguel appeared more integrated than the County of Orange as a whole. In August of 2009, the unemployment rate was 7.6 percent (2,900 workers); significantly lower than the County's unemployment rate of 12.6 percent during the same timeframe, though it was still higher than during 2005 when it was approximately four percent.
- **Income:** Median income reported in the 2000 Census was \$80,733, much higher than the County median of \$58,820 and surrounding cities. While the median income of each minority group in the City was lower than the overall median, they were substantially higher than those of minority groups in the County. Low- and moderate-income households comprised 21 percent of the City's total households and elderly households made up a significant proportion of extremely low- and low-income households within in the City. **The proportion of low- and moderate-income households varied somewhat by ethnicity with Hispanics and African Americans disproportionately impacted (40 percent of Hispanics and 35 percent of African**

Americans were low-and moderate-income compared to 19 percent of Whites).

Concentrations of low- and moderate-income households were disbursed along the north, eastern and central portions of the City. These areas also corresponded with the racial/ethnic concentrations, which appear consistent with data showing these groups tended to make lower median incomes than other racial and ethnic groups. While the existing concentrations illustrate that patterns have developed, there do not appear to be specific reasons other than socio-economic reasons for the trend.

- **Housing:** The 2000 Census showed that the percentage of overcrowded households was significantly higher for minority households, though it is not apparent that discrimination or any particular public policy is causing this. While only two percent of White non-Hispanic households were reported as overcrowded, 41 percent of Some Other Race Alone, 26 percent of Hispanic households, 7 percent of African American households, and 6 percent of Asian households were overcrowded. Additionally, low- and moderate-income households experienced the greatest percentage of problems (overpayment and overcrowding), especially renters. **CHAS data further indicated that Hispanics and Asians were disproportionately affected by housing problems.** According to the State Community Care Licensing Division, **there are currently no licensed adult day care facilities, adult residential care facilities, group homes, or small family homes located in Laguna Niguel to serve the disabled population.** Thus, there is a need to increase capacity for these types of facilities. The disabled population may also need fair housing services, as disability discrimination is generally on the rise in California.
- **Fair Housing and Landlord/Tenant Issues:** Approximately 400 tenant/landlords complaints per year were received from Laguna Niguel residents for a total of 1,569 complaints in four years. Of these complaints, about 100 percent of residents affected were low- and moderate-income. Consistent with the City's demographics, the majority of complaints were from non-Hispanic Whites (70 percent) followed by Hispanics (9 percent), "Others" (8 percent), and Asians (6 percent); however, **African Americans appear to be slightly overrepresented given they represent only one percent of the population and 5 percent of complaints.** Special needs groups were reported as follows: 15 percent of complaints were from single- parents, 12 percent were seniors, and 10 percent were disabled. The majority of complaints were related to notices (20 percent), rental agreements (12 percent), and security deposits (8 percent).
- **Public Sector Practices:** It was noted that **the City's Housing Element is outdated;** though it is in the process of being updated. While **little affordable housing has been built in the last few years,** sites are currently being identified to accommodate the RHNA. The City does not administer its own housing programs; however, they have partnered with the County to address housing needs. There is little diversity in the membership of public representatives; however, the overall demographics of the City have likely been responsible for this trend; not any intentional discriminatory practices.
- **Private Sector:** Home prices have escalated considerably over the past few years and have recently declined; however, housing is still fairly expensive in Laguna Niguel. This has priced many lower income residents out of the housing market, with many lower income residents experiencing a high housing cost burden and overcrowded living conditions. Within the rental market, rental prices have increased while vacancy rates have decreased. Few apartments with three or more units were advertised for rent, indicating that finding appropriately sized rental housing for large households is difficult.
- **Lending:** According to the 2008 HMDA data, there were 1,577 conventional loan applications received for the purchase of homes in Laguna Niguel, with 55 percent approved, 12 percent denied, and 14 percent withdrawn or closed. Comparing approval rates by ethnicity in Laguna Niguel shows little disparity between Hispanic, White, Asian and African Americans, as rates were in the low 60's and were within a few percentage

points of each other. Yet a substantial number of applicant race/ethnicity categories were reported as “not available” and had a high denial rate (36 percent) Pacific Islanders also had a low rate of 50 percent; though there were few applications from this group. **Refinancing applications showed lower approval rates for minorities. In addition, the current housing market has resulted in many new concerns for the City not present in the last AI, that may provide opportunities for discrimination including: foreclosures, loan modifications, short sales, and real estate owned (REO) properties that have flooded the market.**

- **Survey/Outreach:** While the majority of responses from the City’s Fair Housing Survey indicate residents have not experienced discrimination in the City, **there may be potential concern for the City to consider, regarding the few survey responses that alluded to potential discriminatory attitudes within the City.**

b. Actions to Overcome Effects of Impediments

The City contracts with the Fair Housing Council of Orange County to provide fair housing, landlord/tenant education and outreach services to residents of Laguna Niguel. In FY 2011-12, \$9,540 was allocated and expended to assist 102 people with landlord/tenant services and fair housing discrimination complaints. In FY 2010-11, \$12,756 was allocated and expended to assist 99 people with landlord/tenant services, fair housing discrimination complaints, and testing of five (5) of the City’s apartment complexes. Cumulatively, 201 people have been assisted.

In FY 2011-12 there were two discrimination complaints, which involved race and disability/reasonable accommodation. In FY 2010-11, there were 14 discrimination complaints received, nine involved race, four involved familial status and one national origin.

Proposed actions to address the impediments to fair housing choice identified in the City’s AI, that the City continued to implement in FY 2011-12 included:

Action 1: Increase Fair Housing Education and Outreach Collaboration: The City should collaborate and coordinate with multiple agencies, including lenders, realtors, and cultural groups to provide increased efforts in educating residents on potential sources of discrimination and avenues to address fair housing. The City should target this education and outreach to various special needs groups including, but not limited to: the disabled, elderly, persons living with HIV/AIDS, low- and moderate-income large families, and minorities.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

Status- *In FY 2011-12, a community presentation was held in Laguna Woods that served approximately 15 people. Several other outreach events were held throughout the County, which were available for residents as well. In FY 2010-11, a tenant rights presentation workshop was held in Laguna Woods, two booths were staffed in Laguna Niguel (the Community Festival and Business Expo), a resource booth was staffed at Soka University in Aliso Viejo, and a home preservation workshop was held in Ladera Ranch that served approximately 400 people. Several other outreach events were held throughout the County, which were available for residents as well.*

Action 2: Provide Networking Opportunities, Homeownership Education, and Credit Counseling for Minority Groups: The City should partner with an agency that provides networking opportunities, homeownership education, and credit counseling targeted to minority and special needs groups.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

Status- *During FY 2011-12, the City began to analyze different ways to provide these opportunities and will be working with the Fair Housing Council of Orange County to help coordinate these efforts in the next fiscal year. During FY 2010-11, a home preservation workshop was held in Ladera Ranch that offered free counseling for those at risk of losing their homes to foreclosure.*

Action 3: Increase Fair Housing Services to Include Periodic Testing and Outreach Efforts within the City Limits: The City should collaborate with their fair housing service provider to increase the Scope of Work to provide period testing throughout the City, particularly in the rental market. In addition, education and outreach efforts should be conducted within the City limits.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

Status- *The City has included a contract provision requiring the Fair Housing Council of Orange County to conduct at least two outreach events within the City limits each year. During FY 2011-12, one outreach activity was held near the City in Laguna Woods. As part of the City's monitoring efforts the City will be working with the Fair Housing Council during the next program year to coordinate events at the new City Hall conference room and possible at the Senior Center. The City has also compiled a list of condo complexes and Homeowner Association contacts that will be utilized to help the FHCOC with their outreach efforts during the next fiscal year. During FY 2010-11, the City of Laguna Niguel increased their allocation to the Fair Housing Council of Orange County to provide for periodic testing of five (5) apartment complexes within the City. Of the two systemic tests conducted for race, one resulted in inconclusive evidence and the other in differential treatment. Two resource booths were staffed within the City limits; one at the Community Festival and one at the Business Expo.*

Action 4: Study the Feasibility of Providing Housing Programs: The City should collaborate and coordinate with multiple agencies to provide various housing programs to address affordability needs of low-and moderate-income households and the special needs of the disabled population that could benefit from down payment assistance, accommodation improvements, and/or low cost home improvements.

- **Timeframe:** By the end of FY 2011-2012.

Status- *No specific studies were conducted in FY 2011-12 or 2010-11, though a few options were reviewed during the Housing Element update process. It was determined that rehabilitation was not a major priority given the City's newer housing stock. Housing Element Chapter IV analyzes potential constraints for persons with disabilities, and Chapter V includes Policy 2.3 and a program to adopt a Reasonable Accommodation ordinance. Chapter V also contains Program 5 to support senior housing opportunities, including home-sharing (Action 4) and reverse mortgages (Action 5). The City also upgraded its website to include a page entitled Housing Related Agencies & Organizations.*

Action 5: Update the City's Housing Element: The City should continue to work towards updating its housing element in compliance with State Law.

- **Timeframe:** Continue the process annually until completed.

Status- *The City adopted its Housing Element on June 5, 2012. A Planning Commission hearing was held on the draft Housing Element on February 8, 2011 and a City Council hearing*

was held March 1, 2011. During FY 2010-11, the City continued to work on updating its Housing Element.

Action 6: Collaborate with Affordable Housing Developers: The City should collaborate with various affordable housing developers to facilitate a variety of housing choices within the City and meet the City's allocation.

- **Timeframe:** Continue the process in FY 2010-2011, and annually thereafter.

Status- During FY 2011-12 and FY 2010-11, the City of Laguna Niguel collaborated with various entities regarding affordable housing while working on the Housing Element and Gateway Specific Plan Updates. The City approved the Cabot Career Lofts project on June 12, 2012 that will provide approximately 142 newly constructed apartment units comprised of 97 one-bedroom and 45 two-bedroom units ranging in size from 707 to 1,406 square feet. The developer committed to provide five percent (5%) very-low and ten percent 10% low income units. The Laguna Niguel Planning Commission approved the second major residential project in the Gateway Specific Plan at their meeting on July 10, 2012. The Crown Apartments, which includes 284-units comprised of 32 studio, 161 one-bedroom and 91 two-bedroom units ranging in size from 600 to 1,453 square feet. Both projects will start the transformation of the Gateway area to a more pedestrian and transit-oriented urban village.

4. Obstacles to Meeting Underserved Needs

Obstacles to addressing underserved needs include lack of funding for the construction of affordable housing and senior housing. Laguna Niguel does not qualify to receive HOME funds, which are typically used to address affordable housing activities, nor does the City have any Section 202 housing for senior households. To overcome this obstacle, the City has adopted density bonus provisions per state law to market to developers of affordable housing. The City also adopted an ordinance which allows for alternative development standards for housing where at least 50 percent of the units are for senior citizens.

5. Leveraging Resources

As a small city, Laguna Niguel has few funding sources available for leveraging CDBG funds. The City's annual CDBG allocation is less than \$400,000. Any major public improvement project may require multi-year funding. The City has no concentrated areas with blighting conditions and therefore has not established a redevelopment project area. Redevelopment tax increment is a typical and significant leveraging resource for most communities. Without the authority of redevelopment to generate funds, the City has little resources for public improvements and affordable housing development. In FY 2008-09, the City applied for CDBG-R funds under President Obama's Recovery Act, which were received and utilized for street improvements in the Niguel Woods low to moderate-income area (LMA) during FY 2010-11. Additional CDBG funds from FY 2011-12 were allocated to expand upon that project and cover additional areas.

Community Development programs are typically funded with general funds and CDBG. In addition, the Senior Services Mobility Transportation Program, administered by Age Well Senior Services, leverages an Orange County Transportation Authority (OCTA) grant for which the City provides a 20 percent matching portion. Other leveraged funding from private and non-federal public sources are as follows:

▪ Senior Transportation (Measure M2 Funds)	\$57,381
▪ State COPS Grant	\$100,000

▪ Measure M2 Tier 1 Environmental Cleanup Allocation Program Grant	\$455,039
▪ MWDOC Proposition 84 Water Smart Landscape Implementation Grant	\$137,385
▪ OCTA Measure M Grant – Crown Valley Parkway Widening Phase 2	\$2,125,156

Other grants the City is pursuing include a Forestry Grant for the Salt Creek Trail Project and a grant related to the Crown Valley Widening Project.

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Program Year 2 CAPER Managing the Process response:

1. Actions Taken to Ensure Compliance with Program and Comprehensive Planning Requirements

The following actions were completed during the program year to ensure compliance with program and comprehensive planning requirements.

- Made available to the public the City's One-Year Action, Citizens Participation Plan, Consolidated Annual Performance Evaluation Report (CAPER), and Consolidated Plan.
- Maintained and adhered to the timeline schedule for CDBG's annual planning process.
- Scheduled required public hearings, per the Citizen Participation Plan and federal regulations for CDBG.
- Advertised public review periods.
- Devised methods and established networks with other departments for adequate program implementation and monitoring.
- Conducted site visits to agencies providing CDBG-funded activities for program review and accountability.
- Reviewed accomplishment reports with sub-recipients.
- Reported activities, expenditures and progress in the IDIS system.

Citizen Participation

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 2 CAPER Citizen Participation response:

1. Summary of Citizen Comments

The CAPER was made available for citizen comment for fifteen days from August 30, 2012 through September 13, 2012, as required by HUD regulations. Copies were available at Laguna Niguel's City Hall (at the City Clerk's Office and in the Community Development Department), and Library. It was also available for review by requesting a copy in person, by telephone, fax, or e-mail. A public notice of the availability of the CAPER was published on August 30, 2012. Copies of the proof of publication for the notice can be found in Appendix B. The CAPER was brought to Council on September 18, 2012 and then forwarded to HUD on September 27, 2012. No comments were received.

2. Federal Funds and Geographic Distribution of Funds

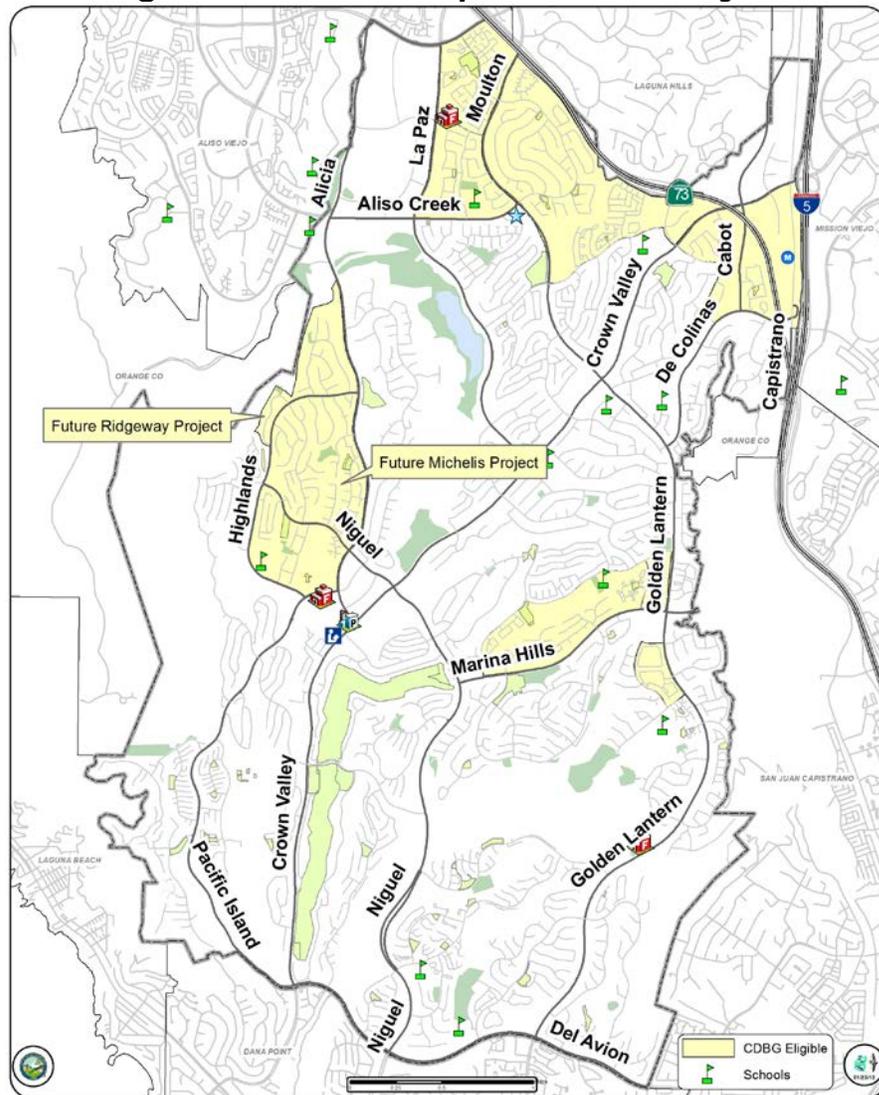
During FY 2011-12, the City of Laguna Niguel received \$306,862 in federal Community Development Block Grant (CDBG) entitlement funds from the US Department of Housing and Urban Development (HUD) to further the objectives of the FY 2010-2015 Consolidated Plan.

The total amount of funds committed and expended during the reporting period can be found in Table 1 within this CAPER narrative, and in the Appendix A– IDIS PR03.

Geographic distribution of funds utilized in FY 2011-12 were as follows:

- **Street Improvement Project-** located in Niguel Woods; Census Tracts: 0423.26 Block Group (BG) 1 and 2; 0423.34 BG 1-3; 0423.35 BG 1; 0423.30 BG 2 and 3; 0423.31 BG 3; 0423.15 BG 1. See Figure 1 below.
- **ADA Pedestrian Pushbutton Project-** will occur in various low- and moderate-income areas.
- **Public Service Projects-** are provided to low- and moderate-income residents on a community-wide basis.

Figure 1: Street Improvement Project



Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Program Year 2 CAPER Institutional Structure response:

1. Actions Taken to Overcome Gaps in the Institutional Structure and Enhance Coordination

FY 2011-12 was the second year of the Consolidated Plan. The City relied on various methods to overcome gaps in the City's institutional structure and enhance coordination of service delivery including:

- The Planning Department, acting as the lead agency, met with various City departments and with outside agencies to gain input on the annual needs, enhance coordination of

services, prevent duplication of service, and resolve issues within the interagency institutional structure.

- Trainings developed by the Department of Housing and Urban Development were attended to ensure that the City was in full compliance with the program and to ensure the maximum use and availability of CDBG funds.
- Monitoring of sub-recipients was conducted to ensure that there was accountability and productivity, and adjustments were made as necessary.
- The City continues to utilize an Advisory (Ad-Hoc) Committee to review and make recommendations on public service grants.
- The City coordinated with the County Housing Authority on housing activities administered by this organization, primarily Section 8, which involves continued participation as a member of the Orange County Housing Authority Advisory Committee.
- To strengthen the housing delivery system, the City is considering working with local non-profit housing organizations, to evaluate their qualification for CHDO or CBDO certification. A locally certified CHDO or CBDO is eligible to apply for direct funding from HUD.

Monitoring

1. Describe how and the frequency with which you monitored your activities.
2. Describe the results of your monitoring including any improvements.
3. Self Evaluation
 - a. Describe the effect programs have in solving neighborhood and community problems.
 - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
 - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low- and moderate-income persons.
 - d. Indicate any activities falling behind schedule.
 - e. Describe how activities and strategies made an impact on identified needs.
 - f. Identify indicators that would best describe the results.
 - g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
 - h. Identify whether major goals are on target and discuss reasons for those that are not on target.
 - i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Program Year 2 CAPER Monitoring response:

1. Frequency of Annual Monitoring Site Visits and How Conducted

Annual monitoring site visits were conducted with each sub-recipient in June and July of 2012. The monitoring visits were conducted on-site at each service provider location. Items reviewed included record keeping, reporting, financial management, service intake, program implementation, and regulatory compliance.

2. Results and Improvements

As a result of the annual site visits conducted with each sub-recipient in FY 2011-12, several agencies improved the quality of reporting quarterly accomplishments and the City maintained compliance with the monitoring requirements of the CDBG program. Results of the monitoring include working with Age Well Senior Services and 211 Orange County to reclassify these agencies to other funding sources. The City will also be working more collaboratively with the Fair Housing Council of Orange County to improve accomplishment results in the area of outreach and education within the City limits.

3. Self Evaluation

- a. The City's CDBG funded programs have aided in solving neighborhood and community problems by provided a funding source for underserved needs. Specifically, the Niguel Woods Street Improvement Project has given a low-income neighborhood an improved street infrastructure system.
- b. The activities funded in FY 2011-12 have allowed the City to effectively address its progress in meeting the Consolidated Plan priorities and objectives. Specifically, the Niguel Woods Street Improvement Project has allowed the City to address infrastructure needs, goals, and priorities.
- c. Coordination with the County of Orange has allowed the City to address affordable housing needs and has helped the City provide decent housing. The City provided for a suitable living environment through various public service agencies and expanded economic opportunity principally for low- and moderate-income persons through its Economic Development Department. In November 2011, the City adopted the Gateway Specific Plan, primarily to allow multi-family residential development in the area and to accommodate the provision of affordable housing units.
- d. There are no activities falling behind schedule.
- e. Activities and strategies made an impact on identified needs by providing a funding source to pay for improvements that address the City's needs.
- f. Indicators that would best describe the results of this program year include 1,755 people were provided with increased Availability/accessibility of a suitable living environment through various public service activities; 102 people were provided increased Availability/accessibility to decent housing through the Fair Housing Council of Orange County, and approximately 1,567 people in the Niguel Woods area were provided with the Availability/accessibility of a suitable living environment through street infrastructure improvements.
- g. Lack of funding is one barrier that had a negative impact on fulfilling the strategies and overall vision.
- h. As this is the second of five years to meet the Consolidated Plan goals and objectives, it appears that all major goals are on target to be met.
- i. As this is the second of five years to meet the Consolidated Plan goals and objectives, there are no adjustments or improvements needed to strategies and activities to meet needs more effectively at this time.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 2 CAPER Lead-based Paint response:

1. Actions Taken to Evaluate and Reduce Lead-Based Paint Hazards

The majority of Laguna Niguel's housing stock was built after 1985. Consequently, the housing stock does not contain a significant number of units with potential lead-based paint (LBP) hazards. According to HUD CHAS data, approximately 161 pre-1970 units may be occupied by low- and moderate-income households that may potentially contain LBP. The City supports the HUD and EPA efforts to disseminate public information on the health hazards of LBP. LBP brochures are available at the City counter.

HOUSING

Housing Needs

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Program Year 2 CAPER Housing Needs response:

1. Actions Taken to Foster and Maintain Affordable Housing

The City has made maintaining, improving, and increasing its affordable housing one of its top priorities in the Consolidated Plan. The current Housing Element update contains a number of housing programs to facilitate the development and conservation of affordable housing. The City also continues to explore opportunities for affordable housing development and the potential use of CDBG funds for housing assistance. Options considered by City staff included transitional housing facilities, rental subsidies, and minor repair grants.

Due to limited resources, and a relatively newer housing stock, the City does not currently use CDBG funds for the development or rehabilitation of affordable housing. An impediment to providing these programs is the high price of real estate in Laguna Niguel. Programs such as housing acquisition and rehabilitation would be particularly expensive for the City given the area's real estate market. Furthermore, the high price of housing in Laguna Niguel would make it difficult to assist more low- and moderate-income individuals and families with their housing needs.

To overcome this obstacle, the City coordinates with other public and private agencies to provide affordable housing opportunities. The City also maintains a log of inquiries for affordable housing issues. In FY 2011-12, there were 10 inquiries received that the City responded to. In FY 2010-11, there were 24 inquiries received that the City responded to. The City has also recently upgraded its website to include a page for Housing Agencies and Organizations.

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.
2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.

Program Year 2 CAPER Specific Housing Objectives response:

1. Progress in Meeting Specific Objectives and Priorities of Affordable Housing

Housing needs identified in the City’s FY 2010-2015 Consolidated Plan include: Promoting, preserving, and assisting in the development of affordable housing for low- and moderate-income residents, special needs groups, those at- risk of homelessness, and disproportionately impacted residents. Additionally, the Housing Needs Table 2A (also found in the FY 2010-2015 Consolidated Plan) identified the following Needs and Goals by housing type and priority level as follows:

Table 2A: Priority Needs Summary Table

PRIORITY HOUSING NEEDS		Priority Need Level High, Medium, Low		Unmet Need	Goals
Renter	Small Related	0-30%	High	207	15
		31-50%	Medium	233	15
		51-80%	High	508	25
	Large Related	0-30%	Medium	37	5
		31-50%	Medium	43	5
		51-80%	Medium	138	10
	Elderly	0-30%	Medium	50	5
		31-50%	Medium	50	5
		51-80%	Low	78	5
	All Other	0-30%	Medium	185	10
		31-50%	Medium	194	10
		51-80%	Medium	322	20
Owner	0-30%	High	442	20	
	31-50%	High	427	20	
	51-80%	High	1,028	50	
Special Needs	0-80%	High	-	100	
Total Goals					320
Total 215 Goals					110
Total 215 Renter Goals					70
Total 215 Owner Goals					40

Priority 1: Affordable Housing (High)

Promote, preserve, and assist in the development of affordable housing for low- and moderate-income residents, special needs groups, those at-risk of homelessness, and disproportionately impacted residents. The intended outcomes are the provision of Decent Housing (DH) and expansion of Availability/Accessibility (1) and Affordability (2).

The City’s overall housing objectives established in the Consolidated Plan are as follows:

Overall Housing Objectives:	
Five-Year Goals /Objectives:	Fair Housing: 500 households (100 annually) Section 8 Rental Assistance: 500 households (100 annually) Preservation of At-Risk Units: 256 housing units
Performance Indicators:	Decent Housing - Availability/accessibility (DH-1) Decent Housing - Affordability (DH-2)
Performance Measure:	Increased proportion of low- and moderate- income households in Laguna Niguel with decent housing opportunities
Sources of Funds:	CDBG; County HOME funds; Section 8 funds; others as available
Geographic Distribution:	All housing programs are offered for the benefit of low- and moderate- income persons/households on a communitywide basis.

The City does not specifically fund housing projects/programs. The City’s progress in meeting the specific objective of providing affordable housing as established in the Consolidated Plan: including the number of extremely low-income, low-income, and moderate-income renter- and owner-households comparing actual accomplishments with proposed goals during the reporting period are as follows:

Implementing Programs: The following are programs implemented by the City and County to assist low- and moderate- income renter- and owner- households in the City of Laguna Niguel

- Fair Housing (DH-2):** The City provided for fair housing services, through contract with the Fair Housing Council of Orange County which included: discrimination complaint intake and enforcement, landlord-tenant services, audit services, and education/outreach efforts. *In FY 2011-12, the City allocated and expended \$9,540 of CDBG funds to assist approximately 102 renter-households (56 extremely low-income, 28 low-income, 17 moderate-income, and 0 above moderate-income). An additional 15 people benefitted from education and outreach efforts in a nearby City which may have included some Laguna Niguel residents. In FY 2010-11, the City allocated and expended \$12,756 of CDBG funds to assist approximately 99 renter households (54 extremely low-income, 25 low-income, 12 moderate-income, and 8 above moderate income). An additional 400+ people benefitted from education and outreach efforts.*
- Section 8 Rental Assistance (DH-2):** Administered by the Orange County Housing Authority (OCHA), the Section 8 rental assistance program extends rental subsidies to low-income (50 percent MFI) families and elderly who spend more than 50 percent of their income on rent, live in substandard housing, or have been displaced. *In FY 2011-12, approximately 130 low-income (0-50 percent MFI) renter-households received Section 8 rental assistance. These households included 19 family households, 27 disabled households, and 84 elderly households. In FY 2010-11, approximately 122 low-income (0-50 percent MFI) renter-households received Section 8 rental assistance. These households included 17 family households, 30 disabled households, and 75 elderly households.*

3. **Preservation of At-Risk Units (DH-2):** The City will continue to explore ways to preserve the existing affordable housing units that are at-risk of conversion during the five-year planning period. ***During FY 2011-12, no units converted to market rate. During FY 2010-11, three affordable apartment complexes converted to market rate on Jan 1, 2011. Staff contacted management to try to retain units; however, the owner was not interested. The units were at Hidden Hills (48 assisted units), Seaview Summit (16 assisted units), and Niguel Summit (27 assisted units). A total of 91 units were lost.***

Cumulative Accomplishments: *To date, 110 extremely low-income, 53 low-income, 29 moderate-income, and 8 above moderate-income renter households have been assisted through the Fair Housing Program and 252 low-income renter-households through the Section 8 Rental Assistance Program.*

- ✓ The annual goals for the Section 8 Rental Assistance Program and the Preservation of at-risk units were met. The annual goal as stated in the Annual Action plan of 402 people for the Fair Housing Program was not met; though it appears that goal was overstated, as approximately 100 people per year is all that is needed to reach the City's Five-Year goal. The City will be working with the Fair Housing Council during the next fiscal year to ensure goals stated are realistic and are met.

2. Progress in Providing Affordable Housing that Meets the Section 215 Definition

Affordable housing is generally defined as housing where the occupant is paying no more than 30 percent of gross income for gross housing costs, including utility costs. The Section 215 definition of affordable housing is defined in 24 CFR 92.252 for rental housing and 24 CFR 254 for homeownership which is as follows:

- Rental Housing: A rental housing unit is considered to be an affordable housing unit if it is occupied by a low-income family or individual and bears a rent that is the lesser of (1) the Existing Section 8 Fair Market Rent (FMR) for comparable units in the area or, (2) 30 percent of the adjusted income of a family whose income equals 65 percent of the median income for the area. An exception is for those cases where, depending on the prevailing market conditions, HUD specifically establishes higher or lower FMR's for a jurisdiction.
- Home ownership: Principal residence; and (2) has a sale price which does not exceed the mortgage limit for the type of single family housing for the area under HUD's single family insuring authority under the National Housing Act.
- Housing that is to be rehabilitated, but is already owned by a family when assistance is provided qualifies as affordable if the housing (1) is occupied by a low-income family which uses the housing as its principal residence and (2) has a value, after rehabilitation, that does not exceed the mortgage limit for the type of single family housing for the area, as described in 2(a) above.

Cumulative Accomplishments: *The number of households assisted with housing that met the Section 215 definition of affordable housing for rental and homeownership to date are as follows: 130 low-income renter-households have been assisted through the Section 8 Program. The Five-Year renter goal has been exceeded and there are still two years left to meet the owner goal.*

3. Worst-Case Housing Needs and Housing Needs of Disabled Persons

Worst-case housing needs are defined as low-income renter-households who pay more than half their income for rent, live in seriously substandard housing (which includes homeless people) or have been involuntarily displaced. Actions the City has taken to meet the worst-case housing needs include funding: Laura's House, which helps provide shelter for victims of domestic violence and their children; Age Well Senior Services which helps the elderly; Families Forward, and South County Outreach, which assist the homeless and those at-risk of homelessness. In order to meet the housing needs of the disabled population, the City complies with all state and federal requirements for accessibility in housing. Furthermore, the City contracts with the Fair Housing Council of Orange County to provide fair housing and landlord/tenant services, which benefit many disabled people that are denied a reasonable accommodation. The City will also be adopting a reasonable accommodation ordinance as part of the newly adopted Housing Element strategy.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Program Year 2 CAPER Public Housing Strategy response:

1. Actions Taken to Improve Public Housing and Resident Initiatives

There are no public housing units in Laguna Niguel; therefore, there were no planned actions to foster public housing improvements and resident initiatives.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Program Year 2 CAPER Barriers to Affordable Housing response:

1. Actions Taken to Eliminate Barriers to Affordable Housing

Constraints to the provision of adequate and affordable housing are posed by factors such as market and governmental factors. These constraints may result in housing that is not affordable to low- and moderate-income households, or may render residential construction economically infeasible for developers. Constraints to housing production significantly impact households with low- and moderate-incomes and special needs. The City of Laguna Niguel reviews proposed fee increases for permits and application for impact on the production of new housing units and/or rehabilitation of existing units. Fee waivers may be considered for projects that promote housing priorities identified in the Consolidated Plan. The City continued to work with developers and inform them of the City's policies. To address the needs of renters, the City continued to support fair housing services. The City contracts with Fair Housing Council of Orange County for fair housing services including tenant/landlord counseling, educational events, and response to discrimination complaints. The City also continued to coordinate with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households.

HOME/American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives
 - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. HOME Match Report
 - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. HOME MBE and WBE Report
 - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).
4. Assessments
 - a. Detail results of on-site inspections of rental housing.
 - b. Describe the HOME jurisdiction's affirmative marketing actions.
 - c. Describe outreach to minority and women owned businesses.

Program Year 2 CAPER HOME/ADDI response:

The City does not receive these funds; rather they coordinate with the County of Orange, who administers various programs using these funds.

HOMELESS

Homeless Needs

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.
2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
3. Identify new Federal resources obtained from Homeless SuperNOFA.

Program Year 2 CAPER Homeless Needs response:

1. Actions Taken to Address the Needs of Homeless Persons

The FY 2010-2015 Consolidated Plan established a Medium priority for homeless needs in Laguna Niguel. The City continued its efforts in the prevention of homelessness by supporting the County and its outreach programs, supporting the operation of homeless shelters through CDBG funding, providing CDBG funding for homeless support services, and providing referrals to public assistance programs offered by the County. The intended outcomes are the provision of a Suitable Living Environment (SL) and expansion of Availability/Accessibility (1). Below is HUD Table 1C, which summarizes the City's Homeless programs, goals and objectives.

Table 1C: Summary of Specific Homeless/Special Needs Objectives

Objective #	Specific Objectives	Performance Measure	Expected Units	Outcome/Objective
Homeless Objectives				
1	Participation in the Orange County Continuum of Care	number of homeless people served	30 Homeless People (Cumulative for all service providers)	SL-1
2	Laura's House	number of domestic violence victims served		SL-1
3	South County Outreach	number of homeless people served	250 People with Special Needs (Cumulative for all service providers)	SL-1
4	Women's Transitional Living Center	number of abused and neglected children served		SL-1

The City's overall homeless objectives established in the Consolidated Plan are as follows:

Overall Homeless Objectives	
Five-Year Goals/Objectives:	Assist homeless 250 persons (50 annually)
Performance Indicators	Suitable Living Environment (Availability/accessibility)
Performance Measure:	Homeless persons
Sources of Funds:	CDBG; HOME; County funds; among others
Geographic Distribution:	Homeless services are available to persons in need communitywide.

The City coordinates with the County on strategies to end homelessness, including chronic homelessness. The City also provides funding to various public service agencies to administer programs that address the needs of the homeless. Accomplishments related to addressing homeless needs are as follows:

Implementing Programs:

- 1. Participation in the County Continuum of Care (SL-1):** The City participated in regional efforts to address the needs for homeless services and facilities and support prevention of homelessness by coordinating with the County and its outreach programs.
- 2. Families Forward (Goal of 310 people):** Provides a homeless prevention program designed to shift income eligible families residing in South Orange County from financial crisis to self-sufficiency. *The City allocated and expended \$4,567 of CDBG funds to assist 224 people with homeless prevention in FY 2011-12, of which none were identified as homeless. The City allocated and expended \$5,000 of CDBG funds to assist 341 people of which 77 were identified as homeless in FY 2010-11.*
- 3. Laura's House (Goal of 135 people):** Laura's House assists victims of domestic violence with services, including shelter, 24-hour crisis intervention and referral, counseling and legal services, clothing and food, support groups, and other emergency services. *The City allocated and expended \$3,460 of CDBG funds to assist 124 people of which 10 were identified as homeless and all of which were victims of domestic violence in FY 2011-12. The City allocated and expended \$4,000 of CDBG funds to assist 143 people of which 17 were identified as homeless and all of which were victims of domestic violence in FY 2010-11.*
- 4. South County Outreach (Goal of 525 people):** Provides comprehensive social services to low-income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing,

utility payment assistance, food distribution and transportation/ relocation/medical assistance. **The City allocated and expended \$10,508 of CDBG funds to assist 572 in FY 2011-12, of which none were identified as homeless.** The City allocated and expended \$11,508 of CDBG funds to assist 653 people of which 38 were identified as homeless in FY 2010-11.

5. **Women's Transitional Living Center:** Provides shelter and supportive services program designed to help victims of abuse overcome dependence [on abusers, substances, and/or government welfare]. This Program was not funded in FY 2010-11 or FY 2011-12.

In FY 2010-11, the Fair Housing Council of Orange County and 2-1-1 Orange County indicated that 35 and 29 of the people they served, respectively, were homeless. However, in FY 2011-12 they indicated zero homeless served.

Cumulative Accomplishments: *To date, the City has continued to participate in the Orange County Continuum of Care for the Homeless and continued to monitor agenda items pertinent to the City's needs. Combined non-profit service providers have assisted 206 homeless people.*

- ✓ The annual goal for each program was exceeded by one agency; while the other providers either over-anticipated the number to be served during their application for funding process or missed the goal by a few persons. As there are still three years left of the Five-Year Plan, the City should still be on target to meet all of its goals.

2. **Actions to Help Homeless Persons Transition to Permanent Housing and Independent Living**

The City seeks to fund agencies that assist clients in locating permanent affordable housing in the area, provides job placement and referrals, and provides counseling in order to address the issue of chronic homelessness.

During FY 2011-2012, the City utilized the following programs to assist homeless persons transition to permanent housing and independent living:

- **Families Forward** has a homeless prevention program that is designed to shift income-eligible families residing in South Orange County from financial crisis to self-sufficiency. The goal is to help families regain self-sufficiency by providing a variety of services, including: financial counseling, career coaching, life skills education and case management to at-risk and homeless families with children.
- **Laura's House** provides direct services to Laguna Niguel residents experiencing domestic violence. Services include a Shelter Program, 24-hour crisis intervention and referral, counseling services, legal services, clothing and food, support groups, and emergency transportation to shelter. The agency is currently undergoing construction of a transitional housing shelter.
- **South County Outreach** provides comprehensive social services to low- income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/relocation/medical assistance.
- **2-1-1 Orange County** is a resource referral program administered by one of three partners in charge of administering the County's Continuum of Care, of which referrals to transitional housing and related services are provided.
- **Reference and Referral Services:** The City provides an inventory of homeless services and facilities along with telephone numbers and agency contacts to City staff interface

with the public and offer referrals to individuals seeking assistance. The City coordinates closely with the County Sheriff's Department to ensure homeless persons and persons threatened with homelessness are referred to shelters and social service agencies.

- **Sites for Homeless Transitional Housing and Emergency Shelters:** The City continued implementing its Zoning Ordinance, which allows for emergency shelters and transitional housing, with or without discretionary approval in specified non-residential zones.
- **Homeless Facilities and Supportive Services:** The City assists in addressing the gaps identified in the Orange County Continuum of Care for the Homeless. Annually, the City uses 15 percent of the CDBG allocation to provide public and supportive services for the homeless, low- and moderate-income residents, as well as those with special needs. Homeless supportive services may include emergency rent relief and utility subsidies and emergency food distribution.

3. New Federal Resources Obtained From Homeless SuperNOFA

No new Federal Funding was received or obtained for homeless activities or from a Homeless SuperNOFA.

<h3>Specific Homeless Prevention Elements</h3>

- | |
|--|
| <ol style="list-style-type: none">1. Identify actions taken to prevent homelessness. |
|--|

Program Year 2 CAPER Specific Housing Prevention Elements response:

1. Actions Taken To Prevent Homelessness

The City of Laguna Niguel follows a comprehensive strategy to address and prevent homelessness. This strategy is comprised of activities to address the following three priorities:

- Emergency and Transitional Housing
- Persons At-Risk of Becoming Homeless
- Persons in Transition from Homelessness to Permanent Housing

Laguna Niguel provides funding to public service providers to help mitigate homelessness by serving both the homeless and the at-risk of homelessness populations. During FY 2011-12, the City provided funding for Families Forward (\$4,567), Laura's House (\$3,460), and South County Outreach (\$10,508) to provide services for the homeless, victims of domestic violence, and those at-risk of homelessness. In addition, the City coordinated with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households. The City also provided funds for 2-1-1 Orange County (\$6,000), which is a resource referral program and one of the three partners in charge of administering the County's Continuum of Care, as well as Fair Housing Council of Orange County (\$9,540) to provide landlord/tenant services which often help those facing eviction.

Emergency Shelter Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
 - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
 - b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.
3. Matching Resources
 - a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.
4. State Method of Distribution
 - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.
5. Activity and Beneficiary Data
 - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
 - b. Homeless Discharge Coordination
 - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
 - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

Program Year 2 CAPER ESG response:

Not applicable; the City does not receive or administer ESG funds.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
 - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.
 - b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
 - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.
2. Changes in Program Objectives
 - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
3. Assessment of Efforts in Carrying Out Planned Actions
 - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
 - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
 - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.
4. For Funds Not Used for National Objectives
 - a. Indicate how use of CDBG funds did not meet national objectives.
 - b. Indicate how did not comply with overall benefit certification.
5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
 - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
 - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
 - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
 - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
 - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
 - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
 - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.
8. Program income received
 - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.

- b. Detail the amount repaid on each float-funded activity.
- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
- d. Detail the amount of income received from the sale of property by parcel.
9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
 - a. The activity name and number as shown in IDIS;
 - b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
 - c. The amount returned to line-of-credit or program account; and
 - d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
10. Loans and other receivables
 - a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
 - b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
 - c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
 - d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
 - e. Provide a List of the parcels of property owned by the grantee or its sub-recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.
11. Lump sum agreements
 - a. Provide the name of the financial institution.
 - b. Provide the date the funds were deposited.
 - c. Provide the date the use of funds commenced.
 - d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.
12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
 - a. Identify the type of program and number of projects/units completed for each program.
 - b. Provide the total CDBG funds involved in the program.
 - c. Detail other public and private funds involved in the project.
13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
 - a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

Program Year 2 CAPER Community Development response:

The FY 2010-2015 Consolidated Plan established a High priority for public facility needs. The intended outcomes are the provision of a Suitable Living Environment (SL) and Availability/Accessibility (1). Table 2B summarizes the community needs and general priority for funding.

Table 2B: Community Development Needs

PRIORITY COMMUNITY DEVELOPMENT NEEDS	Priority Need Level High, Medium, Low, No Such Need	Dollars to Address Unmet Priority Need	Goals
PUBLIC FACILITY NEEDS (projects)	Medium	\$0	Zero facilities
Senior Centers	Medium	\$0	
Handicapped Centers	Medium	\$0	
Homeless Facilities	Low	\$0	
Youth Centers	Medium	\$0	
Child Care Centers	Medium	\$0	
Health Facilities	Medium	\$0	
Neighborhood Facilities	Medium	\$0	
Parks and/or Recreation Facilities	Medium	\$0	
Parking Facilities	Low	\$0	
Non-Residential Historic Preservation	Low	\$0	
Other Public Facility Needs	Low	\$0	
INFRASTRUCTURE (projects)	Medium	\$28,320,000	5 projects (1 annually)
Water/Sewer Improvements	Medium	\$0	
Street Improvements	Medium	\$28,000,000	
Sidewalks	Medium	\$320,000	
Solid Waste Disposal Improvements	Low	\$0	
Flood Drain Improvements	Medium	\$350,00	
Other Infrastructure Needs	Medium	\$0	<i>Youth:</i> 100 (25 annually) <i>Seniors:</i> 500 (100 annually) <i>Special Needs:</i> 500 (100 annually) <i>General:</i> 250 (50 annually)
PUBLIC SERVICE NEEDS (people)	High	\$190,000	
Senior Services	Medium	\$25,000	
Handicapped Services	Medium	\$0	
Youth Services	High	\$25,000	
Child Care Services	Medium	\$80,000	
Transportation Services	Medium	\$55,000	
Substance Abuse Services	Medium	\$0	
Employment Training	Medium	\$0	
Health Services	Medium	\$0	
Lead Hazard Screening	Low	\$0	
Crime Awareness	Medium	\$0	
Other Public Service Needs	Medium	\$5,000	5 jobs (1 annually)
ECONOMIC DEVELOPMENT	High	\$30,000	
ED Assistance to For-Profits (businesses)	No Such Need	\$0	
ED Technical Assistance (businesses)	No Such Need	\$0	
Micro-Enterprise Assistance (businesses)	Medium	\$5,000	
Rehab; Publicly- or Privately-Owned Commercial/Industrial (projects)	Medium	\$5,000	
C/I* Infrastructure Development (projects)	Medium	\$5,000	
Other C/I* Improvements (projects)	Medium	\$15,000	N/A
PLANNING	High	\$50,000	
Planning	High	\$50,000	
TOTAL ESTIMATED DOLLARS NEEDED:		\$28,590,000	

*Commercial or Industrial Improvements by Grantee or Non-profit

Priority 2: Infrastructure and Facilities (Low to High depending on type)

Improve and expand infrastructure and facilities that benefit low- and moderate-income neighborhoods and residents.

The City's overall public facility and infrastructure community development needs established in the Consolidated Plan are as follows:

Overall Public Facility and Infrastructure Objectives:	
Five-Year Goals/Objectives:	5 Infrastructure Improvement Projects
Performance Indicators	Suitable Living Environment (Availability/accessibility)
Performance Measure:	Improved facilities in target areas
Sources of Funds:	CDBG; County funds; among others
Geographic Distribution:	Public improvements occur in the low- and moderate- income census tracts and block groups of primarily residential neighborhoods for the benefit of those residents.

Implementing Programs:

1. **Infrastructure Improvements-** This program provides needed infrastructure improvements in the low-and moderate-income areas, including storm drains, catch basins, curbs, gutters, and streets. Funding for this project will be offset by the General Fund, previous year CDBG funds, and other local resources.
 - a. **Street Improvement Project (Goal of 1 Public Facility/1,567 people):** is located in Niguel Woods; Census Tracts: 0423.26 Block Group (BG) 1 and 2; 0423.34 BG 1-3; 0423.35 BG 1; 0423.30 BG 2 and 3; 0423.31 BG 3; 0423.15 BG 1. This project will reconstruct approximately 1,200 linear feet of street as part of a broader street repair program by the Public Works Department. **In FY 2011-12, the Street Improvement Project did not expend funds; however, \$228,960 of CDBG funds was allocated. The project is out to bid and will be implemented in FY 2012-13.** In FY 2010-11, the City expended \$448,971 of CDBG funds from FY 2009-10 and FY 2010-11 plus \$91,104 of CDBG-R funds to improve the streets within the Niguel Woods LMA.
 - b. **ADA Pushbuttons (Goal of 1 Public Facility/2,046 people):** Many of the pedestrian pushbuttons in use at City intersections are an older style that can be difficult for people with disabilities to use. These older pushbuttons will be retrofitted with a larger pushbutton that is in compliance with ADA guidelines. Funds will be used to provide approximately 420 pedestrian pushbuttons that will benefit persons with disabilities. **In FY 2011-12, the City went out to bid on this project, which was allocated \$47,541.56 of FY 2010-11 CDBG funds for the installation of approximately 420 ADA pushbuttons to be installed in the low- and moderate-income areas. Since the project was delayed for two years, it will be implemented in FY 2012-13.**

2. **Gateway Area-** This project involves improvements in the Gateway Area. **In FY 2011-12, the City approved two housing projects, one of which included a mixed use component in the Gateway Specific Plan area. In November 2011, the City updated the Gateway Specific Plan to allow mixed use residential development.** In FY 2010-11, the City held workshops for the Gateway Specific Plan draft which was available for public review.

Cumulative Accomplishments: *To date, the City has undertaken one infrastructure project that has benefitted 1,567 low- and moderate income- people of low- and moderate-income living in the eligible areas and two are underway.*

- ✓ The annual goals were not quite met, because the projects are still underway, but the five year goal should be met as there are still three years of the Consolidated Plan remaining.

Priority 4: Economic Development (High)

Provide for the economic development needs of low- and moderate- income persons and neighborhood target areas.

Economic development needs were identified by residents, businesses, and community groups in the Community Development Needs Survey as a High priority. Specifically, job creation received the highest ranking in the survey the City of Laguna Niguel. The intended outcomes are the provision of Economic Opportunity (EO) and Availability/Accessibility (1).

The City’s overall economic development objectives established in the Consolidated Plan are as follows:

Overall Economic Development Objectives:	
Five-Year Goals/Objectives:	5 jobs (1 annually)
Performance Indicators	Economic Opportunity (Availability/accessibility)
Performance Measure:	Number of jobs made available to low- and moderate- income persons either through creation or retention
Sources of Funds:	CDBG; Economic Development Initiatives; Tax Increment; among others
Geographic Distribution:	Economic development and commercial rehabilitation efforts will be focused in the low to moderate- income target areas.

Economic Development activities are designed to attract consumers and business, eliminate slum and blight characteristics, and rehabilitate existing commercial buildings.

Implementing Programs:

1. **Economic Development Department Programs:** The City provides a variety of economic development activities to attract businesses and create jobs. Due to eligibility and funding limitations, use of CDBG funds for economic development activities has not been identified as a high priority. Non-CDBG funded economic development programs are available, which include the Orange County Workforce Investment Board programs which assist individuals who are employed, unemployed and underemployed, to increase their self-sufficiency and improve their ability to meet the demands of Orange County businesses and employers; and the CalWORKS program, coordinated by the County, which is designed to move welfare recipients from dependency to self sufficiency through employment, and to divert potential recipients from dependency. The City continues to improve the business environment in the City. A *Starting a Business* pamphlet and list of available commercial suites are available to assist new businesses with the goal of providing new employment opportunities for the City. Jobs were also created through the Street Improvement Project.

Cumulative Accomplishments: To date, the City has several economic development projects that created jobs for low- and moderate income- people. In addition, the City allocated CDBG funds for a micro-assistance development program in FY 2012-13.

- ✓ The annual goal was met and the five year goal is on target to be met as well.

Priority 5: Administration and Planning (High)

Provide for administration and planning activities to develop housing and community development strategies and programs needed to carry out actions to address identified needs in the Consolidated Plan.

CDBG program funds may be used to cover costs for salaries, consultant services, supplies, and general overhead. The CDBG program for Laguna Niguel is delivered by the Community Development Department. The City's overall administration objectives established in the Consolidated Plan are as follows:

Overall Administration Objectives:	
Five-Year Goals and Objectives:	N/A
Performance Indicators	N/A
Performance Measure:	Compliance with CDBG regulations
Sources of Funds:	CDBG
Geographic Distribution:	Communitywide

Implementing Programs:

1. **Planning and Administration Program (Goal N/A):** Up to 20 percent of the CDBG funds can be used to support the general administration of the CDBG program by the Community Development Department. ***In FY 2011-12, the City allocated \$22,950 and expended \$22,459.20 for administration of the CDBG program. This amount included costs for a consultant to assist in CDBG administration, including preparation of the Annual Action Plan, Annual CAPER, and environmental review records for exemption and categorical exclusion projects, as well as maintenance of the IDIS system.*** In FY 2010-11, the City allocated \$20,000 and expended \$16,567.
2. **Fair Housing (Goal of 402 People):** The City provided for fair housing services, through contract with the Fair Housing Council of Orange County which included: discrimination complaint intake and enforcement, landlord-tenant services, audit services, and education/outreach efforts. ***In FY 2011-12, the City allocated and expended \$9,540 of CDBG funds to assist approximately 102 renter-households (56 extremely low-income, 28 low-income, 17 moderate-income, and 0 above moderate income). An additional 15+ people may have benefitted from education and outreach efforts held in Laguna Woods.*** In FY 2010-11, the City allocated and expended \$12,756 of CDBG funds to assist approximately 99 renter households (54 extremely low-income, 25 low-income, 12 moderate-income, and 8 above moderate income). An additional 400+ people benefitted from education and outreach efforts.
3. **2-1-1 Orange County (Goal of 800 People):** CDBG funds were used for the provision of a resource referral program. ***In FY 2011-12, the City allocated and expended \$6,000 of CDBG funds to assist approximately 591 people.*** In FY 2010-11, the City allocated and expended \$7,056 to assist approximately 772 people.

Cumulative Accomplishments: *To date, the City has administered the CDBG program in accordance with HUD regulations, 201 people have been assisted with fair housing services plus an additional 415+ with education and outreach services, and 1,363 people have been assisted with referral resources.*

- ✓ The annual goal was met for administration; however fair housing and 2-1-1 Orange County fell short of their goals as they over-estimated the number to be served during the application for funding process. The City has worked with each service provider to address goal meeting issues during the annual monitoring visits.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives

HUD Table 2C (in Appendix C) illustrates the relationship of CDBG funds to the goals and objectives of the Consolidated Plan, as does Table 1 at the beginning of this section and narratives throughout the document.

2. Changes in Program Objectives

The City made a substantial amendment to the FY 2008-09 and FY 2010-11 Annual Action Plans to re-allocate \$91,104 of CDBG-R funds from the Sea Country Senior Center Expansion to the Niguel Woods Street Improvement Project. In addition, two administrative amendments were made as discussed previously to deal with budgeting and regulatory compliance issues.

3. Grantee Actions in Carrying Out Planned Actions in Its Action Plan

To ensure that the City meets its objectives, the Action Plan activities were monitored frequently. The City was able to successfully implement the administrative requirements for CDBG and stay within the 20% administrative cap for program administration. Public Service Programs were also kept within the 15% public service programs cap per federal regulation. The Planning Department and the Finance Department continued to improve documentation procedures to meet compliance requirements. The City continued training and improving performance, including IDIS and sub-recipient monitoring.

The City did not hinder Consolidated Plan implementation by action or willful inaction. All efforts were made to implement quality programs and complete projects within estimated time frames. The City was timely in drawing down funds, and it met the drawdown expenditure benchmark as required by HUD before the completion of this report.

4. Meeting National Objectives

Under HUD regulations, CDBG-funded activities must meet at least one of the following three national objectives:

- activities benefiting low- and moderate-income persons (either area benefit or limited clientele);
- activities which aid in the prevention or elimination of slums or blight; and/or
- activities designed to meet community development needs having a particular urgency.

In FY 2011-12, all CDBG-funded activities complied with the national objective requirements under benefits to low- and moderate-income person's criteria. All public service activities funded met the Low/Mod Clientele (LMC) objective, while the Niguel Woods Street Improvement Project met the Low/Mod Area (LMA) national objective.

5. Activities Involving Occupied Real Property

The Uniform Relocation Act and the Community Development Act of 1974 require agencies using federal funds for acquisition, rehabilitation, demolition, etc. to compensate tenants and homeowners who are displaced (involuntary relocated). The City did not purchase, rehabilitate, or demolish occupied real property with CDBG funds during FY 2010-11; thus, no displacement or relocation activities took place.

6. Low/Mod Job Activities/Economic Development Activities

There were no CDBG-funded economic development activities during FY 2011-12 or FY 2010-11 that would create jobs for low- and moderate-income persons. Economic Development objectives for the Consolidated Plan are being leveraged through other sources and are described under the Community Development section of this report. The Street Improvement Project utilized \$91,104 of CDBG-R funds through President Obama's Recovery Act

7. Activities that Serve Limited Clientele

Activities funded in FY 2011-12 and FY 2010-11 qualified under the Limited Clientele criteria (served a limited clientele at least 51 percent of whom are low- and moderate-income/income qualified by each agency) included:

- Families Forward - homeless prevention program
- Laura's House - transitional housing of victims of domestic violence
- South Coast YMCA - child care/camp scholarships
- South County Outreach (formerly Saddleback Community Outreach) - comprehensive social services to low-income, homeless and those at-risk of homelessness
- Age Well Senior Services (formerly South County Senior Services) - Para-transit services for seniors and disabled

Age Well Senior Services and Laura's House use the presumed benefit criteria, as those served are elderly and disabled persons and victims of domestic violence. All other agencies that benefit those of low-income, but not presumed low-income, serve recipients that are income qualified (under HUD's established Income Guidelines) before they receive any benefits.

8. Program Income

Neither the City nor its sub-recipients realized any program income during FY 2010-11 as a result of the CDBG activities and funds allocated.

9. Prior Period Adjustments

There were no reimbursements made this reporting period for expenditures (made in previous reporting periods) that were disallowed.

10. Loans and Other Receivables

The City does not have any loans or other receivables.

11. Lump Sum Agreements

The City does not have any lump sum agreements.

12. Housing Rehabilitation

The City does not have housing rehabilitation programs.

13. Neighborhood Revitalization Strategies

The City does not have any HUD-approved neighborhood revitalization strategies.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

Program Year 2 CAPER Antipoverty Strategy response:

1. Actions Taken to Reduce the Number of Persons Living Below the Poverty Level

The City implements its anti-poverty strategy through economic development and job training programs. Specifically, the City will assist service providers that provide employment training for low- and moderate-income residents. In addition, the city supports various other programs that have overlapping benefits, which seek to aid the anti-poverty strategy, such as CalWorks and Orange County Workforce Investment Board programs provided by the County of Orange.

The City has also developed a strategy to revitalize the City's Gateway area to increase economic development within the area and the YMCA provided daycare services for low-income families.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Program Year 2 CAPER Non-homeless Special Needs response:

The FY 2010-2015 Consolidated Plan identified a High priority for most public service categories. The intended outcomes are the provision of a Suitable Living Environment (SL) and Availability/Accessibility (1). Table 1B summarizes the special needs populations and general priority for funding.

Table 1B: Special Needs (Non-Homeless) Populations

SPECIAL NEEDS SUBPOPULATIONS	Priority Need Level High, Medium, Low, No Such Need	Unmet Need	Dollars to Address Unmet Need	Goals
Large Households	Medium	2,209 households	\$20,000	300 people
Single-Parents	Medium	1,667 households	\$20,000	200 people
Disabled	Medium	1,849 households	\$20,000	200 people
Elderly/ Frail Elderly	High	5,495 people/3,157 people	\$40,000	500 people
Victims of Domestic Violence	Medium	345 people	\$30,000	300 people
Persons w/ Substance Abuse	Medium	4,219 to 4,822 men/ 1,905 women	\$40,000	500 men/ 200 women
Foster Youth	Medium	12 people	\$5,000	10 people
Persons w/HIV/AIDS	Medium	102 people	\$5,000	10 people
Homeless	Medium	361 people	\$30,000	30 people
TOTAL		15,826 to 16,429 people	\$210,000	2,250 people

Priority 3: Public Services (Medium to High depending on type)

Provide and improve access to public services for low- and moderate-income persons and those with special needs.

The City's overall public service and special needs objectives established in the Consolidated Plan are as follows:

Overall Public Service Objectives:	
Five-Year Goals/Objectives:	1,350 people cumulative for all services
Performance Indicators	Suitable Living Environment (Availability/accessibility)
Performance Measure:	As a proportion of people with special needs in the City
Sources of Funds:	CDBG; HOME; County funds; among others
Geographic Distribution:	Public services are available to income qualified persons in need communitywide

Implementing Programs: Public services provided with CDBG funds (capped at 15% of the annual grant) provided by the City of Laguna Niguel include:

1. **Youth Services:** Provides services for low- and moderate-income youth. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
2. **Senior Services:** Provides services for low- and moderate-income seniors. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
3. **Disabled Services Program:** Provides services for persons with disabilities. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.
4. **General Public Services Program:** Provides general public services to low and moderate-income residents, including but not limited to legal assistance, literacy programs, substance abuse services, and employment training and placement, among others. Various public service agencies will be funded annually under this program on a competitive basis through application review and approval.

1. Actions Taken to Address Special Needs of Persons that are not Homeless

The “non-homeless special needs” category is assigned a High Priority need level in the City’s 2010-2015 Consolidated Plan. This category includes persons in various subpopulations that are not homeless but may require housing or supportive services, including the elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with HIV/AIDS and their families), persons with alcohol or other drug addictions, abused and neglected children, and victims of domestic violence. For FY 2010-11, the City funded the following programs to address these needs:

Youth Services: The City uses CDBG funds to provide a variety of needed services to low- and moderate-income children, youth, and their families. Youth services, particularly those for at-risk youth, are needed to provide youth with a positive direction in life. In FY 2011-12 the following agency was funded that serves youth:

1. **South Coast YMCA Child Care/Camp Scholarships (Goal of 115 People):** The South Coast YMCA offers before and after school care and summer camps. The South Coast YMCA offers child care programs at eight locations within Laguna Niguel. **The City allocated and expended \$20,877 of CDBG funds to assist approximately 142 youth and their families.** In FY 2010-11, the City allocated and expended \$22,859 of CDBG funds to assist approximately 153 youth and their families.

Cumulative Accomplishments: To date, 295 youth and their families have been assisted.

- ✓ This agency exceeded its annual goal.

Senior Services: The City uses CDBG funds to provide needed senior services and facilities in the City. Needed services include: housing, in-home services, health services, meals-on-wheels, adult day care, information and referral, and transportation.

1. **Age Well Senior Services (Goal of 26 People/960 one-way trips):** The Para-Transit Program provides transportation services for low-income, transit-dependent elderly, and disabled residents within Laguna Niguel. **In FY 2011-12, this project was cancelled and the original allocation of \$3,000 of CDBG funds was reallocated to 211 Orange County.** In FY 2010-11, the City allocated and expended \$11,200 of CDBG funds to assist 10 people with 1,606 one-way trips.

Cumulative Accomplishments: To date, 10 seniors have been assisted with transportation services.

Disabled and General Public Services for those with Special Need Services: CDBG funds are used to provide a variety of community services including, but not limited to, employment training, support services for the disabled, substance abusers, and victims of domestic violence. In FY 2011-12, the City provided funding to agencies addressing these needs as follows:

1. **Families Forward (Goal of 310 people):** Provides a homeless prevention program designed to shift income eligible families residing in South Orange County from financial crisis to self-sufficiency. **The City allocated and expended \$4,567 of CDBG funds to assist 224 people with homeless prevention in FY 2011-12.** The City allocated and expended \$5,000 of CDBG funds to assist 341 people in FY 2010-11.

2. **Laura's House (Goal of 135 people):** Laura's House assists victims of domestic violence with services, including shelter, 24-hour crisis intervention and referral, counseling and legal services, clothing and food, support groups, and other emergency services. ***The City allocated and expended \$3,460 of CDBG funds to assist 124 victims of domestic violence in FY 2011-12. The City allocated and expended \$4,000 of CDBG funds to assist 143 victims of domestic violence in FY 2010-11.***
3. **South County Outreach (Goal of 525 people):** Provides comprehensive social services to low-income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/ relocation/medical assistance. ***The City allocated and expended \$10,508 of CDBG funds to assist 572 people in FY 2011-12. The City allocated and expended \$11,508 of CDBG funds to assist 653 people in FY 2010-11.***
4. **2-1-1 Orange County (Goal of 800 People):** CDBG funds were used for the provision of a resource referral program. ***In FY 2011-12, the City allocated and expended \$6,000 of CDBG funds to assist approximately 591 people. In FY 2010-11, the City allocated and expended \$7,056 to assist approximately 772 people.***

Cumulative Accomplishments: *To date, Families Forward has assisted 565 people, Laura's House has assisted 267 people, South County Outreach has assisted 1,225 people, and 211 Orange County assisted 1,363 people. Thus a total of 3,420 people have been provided with public services, of which 267 were victims of domestic violence (in the special needs category).*

Specific HOPWA Objectives

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
 - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
 - b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
 - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
 - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
 - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
 - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
1. This should be accomplished by providing an executive summary (1-5 pages) that includes:
 - a. Grantee Narrative
 - i. Grantee and Community Overview
 - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
 - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
 - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
 - (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
 - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
 - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

- ii. Project Accomplishment Overview
 - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
 - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
 - (3) A brief description of any unique supportive service or other service delivery models or efforts
- iii. Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
 - Barriers or Trends Overview
 - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
 - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
 - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
- b. Accomplishment Data
 - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
 - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Program Year 2 CAPER Specific HOPWA Objectives response:

Not applicable; the City does not receive or administer HOPWA funds.

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

Program Year 2 CAPER Other Narrative response:

1. Certifications of Consistency

No Certifications of Consistency with the Consolidated Plan were provided in FY 2011-12. The City provided two Certifications of Consistency for South County Outreach and Orange County's Continuum of Care during FY 2010-11.

2. IDIS Reports

HUD requires that six separate integrated Disbursement Information System (IDIS) Reports be submitted with the annual CAPER report for CDBG. These reports are:

- Summary of Activities (C04PR03): This report provides program and financial information on projects that have been funded with CDBG funds. A description of the program and accomplishment narrative and/or project status is provided.

- Summary of Consolidated Plan Projects Report (C04PR06): This report displays all projects funded during the project year including funding commitments and draw downs during the Program Year.
- Grantee Summary Activity Report (C04PR08): This report uses the grantee activity number to list activities, the status, the committed and disbursed amounts and the date of the last drawn.
- Summary of Accomplishments (C04PR23): This report summarizes housing data for CDBG. The report includes the number of persons served for public services and the number of public facilities and improvement projects assisted and completed.
- CDBG Financial Summary Report (C04PR26): This report tracks CDBG financial activities during the program year. CDBG resources and expenditures are included.
- CDBG Performance Measures Report (C04PR83): This report tracks CDBG performance measures during the program year.

These reports are contained in Appendix A of this CAPER.

3. Summary of Specific Housing/Community Development Objectives

The following HUD Table 2C outlines the City's specific housing and community development objectives as stated in the FY 2010-2015 Consolidated Plan and the cumulative total towards meeting the 5-Year Goals.

Table 2C: Summary of Specific Housing/Community Development Objectives

Objective #	Specific Objectives	Performance Measure	Expected Units	Actual Units	Outcome/ Objective
1	Rental Housing Objectives				
1	Section 8 Vouchers	# of households assisted	560	252	DH-2
1	Owner Housing Objectives				
1	Fair Housing Services	# of people assisted	705	201	DH-1
1	Mortgage Credit Certificates	# of households assisted	10	0	DH-1
2, 3 and 4	Community Development Objectives				
N/A	N/A	N/A	N/A	N/A	N/A
2	Infrastructure Objectives				
2	Street Improvements	# of facilities # of people	1 1,567	1 1,567	SL-1
2	ADA Push Buttons	# of facilities # of people	1 2,046	1 2,046	SL-1
2	Public Facilities Objectives				
N/A	N/A	N/A	N/A	N/A	N/A
3	Public Services Objectives				
3	Youth Services	# of people assisted	100	295	SL-1
3	Senior Services	# of people assisted	500	10	SL-1
3	Special Needs Services	# of people assisted	500	267	SL-1
3	General Public Services	# of people assisted	250	3,420	SL-1
4	Economic Development Objectives				
4	Private funds and development	# of jobs created/ businesses assisted	5 jobs	0	EO-1

Appendix A IDIS Reports

*Pages 1-11 contain data from previous fiscal years that have already been reported and do not apply to this report.

Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0							
<i>Income Category:</i>								
	Owner	Renter	Total	Person				
Extremely Low			0					
Low Mod			0					
Moderate			0					
Non Low Moderate			0					
Total	0	0	0	0				
Percent Low/Mod								

Annual Accomplishments **Accomplishment Narrative**
 Year # Benefiting

PGM Year: 2011
 Project: 0008 - 2-1-1 Orange County
 IDIS Activity: 159 - 2-1-1 Orange County

Status: Completed 8/15/2012 9:23:14 PM Objective: Create suitable living environments
 Location: 18012 Mitchell S Irvine, CA 92614-6008 Outcome: Availability/accessibility
 Matrix Code: Public Services (General) (05) National Objective: LMC

Initial Funding Date: 12/19/2011

Financing

Funded Amount: 6,000.00
 Drawn Thru Program Year: 6,000.00
 Drawn In Program Year: 6,000.00

Description:

Provides funds for 2-1-1 Orange County to deliver referral services to those in need of emergency and supportive service information.

Proposed Accomplishments

People (General): 800

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	290	59
Black/African American:	0	0	0	0	0	0	23	0
Asian:	0	0	0	0	0	0	10	0
American Indian/Alaskan Native:	0	0	0	0	0	0	1	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	266	0

Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	591	59

Female-headed Households: 0 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	520
Moderate	0	0	0	0
Non Low Moderate	0	0	0	71
Total	0	0	0	591
Percent Low/Mod	88.0%			

Annual Accomplishments

Accomplishment Narrative

Year	# Benefiting	
2011	591	In quarter 1, 124 people were served. In quarter 2, 150 were served. In quarter 3, 179 people were served. In quarter 4 138 people were served. In FY 2011-12, 591 people were provided with information and referral services for basic needs that included shelter, emergency food, utility assistance, and related.

PGM Year: 2011
 Project: 0007 - SOUTH COAST YMCA
 IDIS Activity: 160 - South Coast YMCA

Status:	Completed 8/15/2012 9:02:35 PM	Objective:	Create suitable living environments
Location:	29831 Crown Valley Pkwy Laguna Niguel, CA 92677-1944	Outcome:	Availability/accessibility
		Matrix Code:	Child Care Services (05L) National Objective: LMC
Initial Funding Date:	12/19/2011	Description:	PROVIDES SCHOLARSHIPS FOR YEAR-ROUND CHILD CARE AT EIGHT FACILITIES WITHIN LAGUNA NIGUEL TO LOW- AND MODERATE-INCOME PARENTS.
Financing			
Funded Amount:	20,877.00		
Drawn Thru Program Year:	20,877.00		
Drawn In Program Year:	20,877.00		

Proposed Accomplishments

People (General) : 115

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	69	28
Black/African American:	0	0	0	0	0	0	6	0
Asian:	0	0	0	0	0	0	3	0
American Indian/Alaskan Native:	0	0	0	0	0	0	1	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0

American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	2	0
Black/African American & White:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	2	0
Other multi-racial:	0	0	0	0	0	0	58	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	142	28

Female-headed Households: 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	30
Low Mod	0	0	0	80
Moderate	0	0	0	32
Non Low Moderate	0	0	0	0
Total	0	0	0	142
Percent Low/Mod				100.0%

Annual Accomplishments

Accomplishment Narrative

Year # Benefiting
2011 142

In quarter 1, 115 people were assisted. In quarter 2, 15 people were assisted. In quarter 3, 10 people were assisted. In quarter 4, 2 people were assisted. In FY 2011-12, 142 people were assisted with childcare services.

PGM Year: 2011
Project: 0005 - SOUTH COUNTY OUTREACH
IDIS Activity: 182 - South County Outreach
Status: Completed 8/15/2012 8:57:52 PM
Location: 26776 Vista Ter Lake Forest, CA 92630-8110

Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Public Services (General) (05) National Objective: LMC

Initial Funding Date: 12/19/2011

Financing

Funded Amount: 10,508.00
Drawn Thru Program Year: 10,508.00
Drawn In Program Year: 10,508.00

Description:

PROGRAM WILL CONTINUE TO MINIMIZE HUNGER AND MALNUTRITION BY PROVIDING FREE FOOD TO NEEDY PEOPLE AND PREVENT HOMELESSNESS BY ASSISTING WITH RENTAL AND UTILITY BILLS.

Proposed Accomplishments

People (General) : 525

Actual Accomplishments

Number assisted:

Owner		Renter		Total		Person	
Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic

White:	0	0	0	0	0	0	490	203
Black/African American:	0	0	0	0	0	0	5	0
Asian:	0	0	0	0	0	0	6	0
American Indian/Alaskan Native:	0	0	0	0	0	0	8	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	3	0
Black/African American & White:	0	0	0	0	0	0	5	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	1	0
Other multi-racial:	0	0	0	0	0	0	52	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	572	203
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	467
Low Mod	0	0	0	93
Moderate	0	0	0	2
Non Low Moderate	0	0	0	10
Total	0	0	0	572
Percent Low/Mod				98.3%

Annual Accomplishments

Accomplishment Narrative

Year # Benefiting
2011 572

In quarter 1, 349 people were assisted. In quarter 2, 136 people were assisted. In quarter 3, 49 people were assisted. In quarter 4, 38 people were assisted. In FY 2011-12, 572 people were assisted with homeless prevention services.

PGM Year:	2011
Project:	0004 - LAURA'S HOUSE
IDIS Activity:	163 - Laura's House
Status:	Completed 8/15/2012 8:49:15 PM
Location:	Address Suppressed
Objective:	Create suitable living environments
Outcome:	Availability/accessibility
Matrix Code:	Battered and Abused Spouses (05G)
National Objective:	LMC
Initial Funding Date:	12/19/2011
Financing	
Funded Amount:	3,460.00
Drawn Thru Program Year:	3,460.00
Drawn In Program Year:	3,460.00
Description:	PROVIDES SHELTER AND OTHER DIRECT SERVICES TO LAGUNA NIGUEL RESIDENTS EXPERIENCING DOMESTIC VIOLENCE.
Proposed Accomplishments	

People (General) : 135

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	115	88
Black/African American:	0	0	0	0	0	0	6	0
Asian:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	2	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	124	88
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	89
Low Mod	0	0	0	20
Moderate	0	0	0	10
Non Low Moderate	0	0	0	5
Total	0	0	0	124
Percent Low/Mod				96.0%

Annual Accomplishments

Accomplishment Narrative

Year	# Benefitting	Accomplishment Narrative
2011	124	In quarter 1, 42 people were assisted. In quarter 2, 19 people were assisted. In quarter 3, 38 people were assisted. In quarter 4, 25 people were assisted. In FY 2011-12, 124 people were assisted with domestic violence shelter and supportive services.

PGM Year:	2011	Objective:	Create suitable living environments
Project:	0003 - FAMILIES FORWARD	Outcome:	Availability/accessibility
IDIS Activity:	164 - Families Forward	Matrix Code:	Public Services (General) (05)
Status:	Completed 8/15/2012 8:44:21 PM	National Objective:	LMC
Location:	9221 Irvine Blvd Irvine, CA 92618-1645	Description:	HOMELESS PREVENTION PROGRAM DESIGNED TO SHIFT INCOME-ELIGIBLE FAMILIES RESIDING IN SOUTH ORANGE COUNTY FROM FINANCIAL CRISIS TO SELF-SUFFICIENCY.
Initial Funding Date:	12/19/2011		
Financing			
Funded Amount:	4,567.00		

PR03 - LAGUNA NIGUEL

Drawn Thru Program Year: 4,567.00
 Drawn In Program Year: 4,567.00

Proposed Accomplishments

People (General) : 310

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	209	121
Black/African American:	0	0	0	0	0	0	3	0
Asian:	0	0	0	0	0	0	5	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	2	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	15	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	234	121
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	210
Low Mod	0	0	0	24
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	234
Percent Low/Mod				100.0%

Annual Accomplishments

Accomplishment Narrative

Year	# Benefitting	Accomplishment Narrative
2011	234	In quarter 1, 116 people were assisted. In quarter 2, 83 people were assisted. In quarter 3, 33 people were assisted. In quarter 4, 2 people were assisted. In FY 2011-12, 234 people were assisted with homeless prevention services.

PGM Year:	2011
Project:	0002 - FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY
IDIS Activity:	165 - Fair Housing Services
Status:	Completed 8/15/2012 8:37:51 PM
Location:	.
Objective:	
Outcome:	

Matrix Code: Fair Housing Activities (subject to 20% Admin Cap) (21D)

National Objective:

Initial Funding Date: 12/19/2011

Financing

Funded Amount: 9,540.00
 Drawn Thru Program Year: 9,540.00
 Drawn In Program Year: 9,540.00

Description:

PROVIDES FUNDS FOR FAIR HOUSING SERVICES INCLUDING: TENANT/LANDLORD SERVICES, OUTREACH AND EDUCATION FOR LOW- AND MODERATE-INCOME RESIDENTS. IN FY 2011-12, 102 households were assisted that had beneficiaries as follows: 15 female headed households, 10 seniors, 21 disabled, 54 extremely low-income, 28 very low-income, and 17 low-income, 86% White, 17% Hispanic, 6% Black African American, and 3% Asian.

Proposed Accomplishments

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0							

Female-headed Households:

0

Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Accomplishment Narrative

Year # Benefiting

PGM Year: 2011
 Project: 0001 - CDBG Administration
 IDIS Activity: 166 - CDBG Administration
 Status: Completed 8/15/2012 9:24:34 PM

Objective:

Location: .

Outcome:

Matrix Code: General Program Administration (21A)

National Objective:

Initial Funding Date: 12/19/2011

Description:

Financing

Funded Amount: 22,450.20
 Drawn Thru Program Year: 22,450.20
 Drawn In Program Year: 22,450.20

PROVIDES FOR PERSONNEL AND OPERATING COSTS RELATED TO OVERALL CDBG PROGRAM ADMINISTRATION.

Proposed Accomplishments

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0							

Female-headed Households:

0

Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Accomplishment Narrative

Year # Benefitting

PGM Year:	2011
Project:	0010 - STREET IMPROVEMENT PROJECT
IDIS Activity:	167 - Street Improvement Project

Status: Open
 Location: 27781 La Paz Rd Laguna Niguel, CA 92677-3919

Objective: Create suitable living environments
 Outcome: Availability/accessibility
 Matrix Code: Street Improvements (03K) National Objective: LMA

Initial Funding Date: 12/19/2011
Financing
 Funded Amount: 228,279.50
 Drawn Thru Program Year: 0.00
 Drawn In Program Year: 0.00

Description:
 FUNDS WILL BE USED TO IMPROVE STREETS WITHIN THE CITY'S LOW-AND MODERATE-INCOME AREAS.

Proposed Accomplishments
 People (General): 1,567
 Total Population in Service Area: 1,567
 Census Tract Percent Low / Mod: 41.20

Annual Accomplishments		Accomplishment Narrative	
Year	# Benefitting		
		Total Funded Amount:	\$893,149.76
		Total Drawn Thru Program Year:	\$617,328.70
		Total Drawn In Program Year:	\$463,585.91

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

DATE: 8/16/2012

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

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PR06 - Summary of Consolidated Plan Projects for Report Year

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Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn In Report Year
2011 1	CDBG Administration	Provides funds for the Administration of the CDBG program.	\$22,950.00	\$22,459.20	\$22,459.20	\$0.00	\$22,459.20
2	FAIR HOUSING SERVICES-FAIR HOUSING COUNCIL OF ORANGE COUNTY	PROVIDES FAIR HOUSING SERVICES, INCLUDING TENTANT/LANDLORD SERVICES, FOR VERY LOW-, LOW- AND MODERATE-INCOME RESIDENTS.	\$9,540.00	\$9,540.00	\$9,540.00	\$0.00	\$9,540.00
3	FAMILIES FORWARD	THIS PROGRAM IS DESIGNED TO SHIFT INCOME-ELIGIBLE FAMILIES RESIDING IN SOUTH ORANGE COUNTY FROM FINANCIAL CRISIS TO SELF-SUFFICIENCY. THE GOAL IS TO HELP FAMILIES REGAIN SELF-SUFFICIENCY BY PROVIDING A VARIETY OF SERVICES, INCLUDING: FINANCIAL COUNSELING, CAREER COACHING, LIFE SKILLS EDUCATION AND CASE MANAGEMENT TO AT-RISK AND HOMELESS FAMILIES WITH CHILDREN.	\$4,567.00	\$4,567.00	\$4,567.00	\$0.00	\$4,567.00
4	LAURA'S HOUSE	THIS PROGRAM PROVIDES DIRECT SERVICE TO LAGUNA NIGUEL RESIDENTS EXPERIENCING DOMESTIC VIOLENCE. SERVICES INCLUDE: A SHELTER PROGRAM, 24-HOUR CRISIS INTERVENTION AND REFERRAL, COUNSELING SERVICES, LEGAL SERVICES, CLITHING AND FOOD, SUPPORT GROUPS AND EMERGENCY TRANSPORTATION TO SHELTER, COURT APPOINTMENTS AND OTHERS.	\$3,460.00	\$3,460.00	\$3,460.00	\$0.00	\$3,460.00
5	SOUTH COUNTY OUTREACH	THIS PROGRAM WILL CONTINUE TO MINIMIZE HUNGER AND MALNUTRITION BY PROVIDING FREE FOOD TO NEEDY PEOPLE AND PREVENT HOMELESSNESS BY ASSISTING WITH RENTAL AND UTILITY BILLS. ITS TRANSITIONAL HOUSING PROGRAM WILL HELP HOMELESS FAMILIES REACH SELF-SUFFICIENCY AND IS COMPUTER-TRAINING PROGRAM WILL HELP PEOPLE GET JOBS.	\$10,508.00	\$10,508.00	\$10,508.00	\$0.00	\$10,508.00
6	AGE WELL SENIOR SERVICES	PROVIDES SPECIALIZED TRANSPORTATION SERVICES FOR LOW-INCOME, TRANSIT-DEPENDENT, ELDERLY AND DISABLED RESIDENTS WITHIN THE CITY OF LAGUNA NIGUEL.	\$3,000.00	\$0.00	\$0.00	\$0.00	\$0.00
7	SOUTH COAST YMCA	PROVIDES SCHOLARSHIPS FOR YEAR-ROUND CHILD CARE AT EIGHT FACILITIES WITHIN LAGUNA NIGUEL TO PARENTS WITH LOW- TO MODERATE-INCOME.	\$20,877.00	\$20,877.00	\$20,877.00	\$0.00	\$20,877.00
8	2-1-1 Orange County	Provides funds for referral services.	\$7,056.00	\$6,000.00	\$6,000.00	\$0.00	\$6,000.00
9	LifeStyles in Motion	Provides driving safety classes to elderly residents in order to improve their quality of life and maintain their independence.	\$3,000.00	\$0.00	\$0.00	\$0.00	\$0.00
10	STREET IMPROVEMENT PROJECT	FUNDS WILL BE USED TO IMPROVE STREETS WITHIN THE CITY'S LOW- AND MODERATE-INCOME AREAS. THIS PROJECT WILL RECONSTRUCT APPROXIMATELY 1,200 LINEAR FEET OF STREET AS PART OF A BROADER STREET REPAIR PROGRAM BY THE PUBLIC WORKS DEPARTMENT.	\$242,791.00	\$228,279.50	\$0.00	\$228,279.50	\$0.00



U.S. Department of Housing and Urban Development
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Integrated Disbursement and Information System
CDBG Summary of Accomplishments
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LAGUNA NIGUEL

Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	1	\$0.00	0	\$0.00	1	\$0.00
	Street Improvements (03K)	1	\$0.00	1	\$385,453.21	2	\$385,453.21
	Total Public Facilities and Improvements	2	\$0.00	1	\$385,453.21	3	\$385,453.21
Public Services	Public Services (General) (05)	0	\$0.00	5	\$21,075.00	5	\$21,075.00
	Senior Services (05A)	0	\$0.00	1	\$0.00	1	\$0.00
	Battered and Abused Spouses (05G)	0	\$0.00	2	\$3,460.00	2	\$3,460.00
	Child Care Services (05L)	0	\$0.00	2	\$20,877.00	2	\$20,877.00
	Total Public Services	0	\$0.00	10	\$45,412.00	10	\$45,412.00
General Administration and Planning	General Program Administration (21A)	0	\$0.00	2	\$23,139.70	2	\$23,139.70
	Public Information (21C)	0	\$0.00	1	\$41.00	1	\$41.00
	Fair Housing Activities (subject to 20% Admin Cap) (21D)	0	\$0.00	2	\$9,540.00	2	\$9,540.00
	Total General Administration and Planning	0	\$0.00	5	\$32,720.70	5	\$32,720.70
Grand Total		2	\$0.00	16	\$463,585.91	18	\$463,585.91



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LAGUNA NIGUEL

CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Persons	2,046	0	2,046
	Street Improvements (03K)	Persons	1,567	17,797	19,364
Total Public Facilities and Improvements			3,613	17,797	21,410
Public Services	Public Services (General) (05)	Persons	0	2,391	2,391
	Senior Services (05A)	Persons	0	10	10
	Battered and Abused Spouses (05G)	Persons	0	267	267
	Child Care Services (05L)	Persons	0	295	295
Total Public Services			0	2,963	2,963
Grand Total			3,613	20,760	24,373



U.S. Department of Housing and Urban Development
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CDBG Summary of Accomplishments
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LAGUNA NIGUEL

CDBG Beneficiaries by Racial / Ethnic Category

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Households	Total Hispanic Households
Non Housing	White	4,254	1,095	0	0
	Black/African American	108	0	0	0
	Asian	72	0	0	0
	American Indian/Alaskan Native	23	0	0	0
	Native Hawaiian/Other Pacific Islander	8	0	0	0
	American Indian/Alaskan Native & White	1	0	0	0
	Asian & White	16	0	0	0
	Black/African American & White	9	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	8	0	0	0
	Other multi-racial	510	0	0	0
	Total Non Housing		5,009	1,095	0
Grand Total	White	4,254	1,095	0	0
	Black/African American	108	0	0	0
	Asian	72	0	0	0
	American Indian/Alaskan Native	23	0	0	0
	Native Hawaiian/Other Pacific Islander	8	0	0	0
	American Indian/Alaskan Native & White	1	0	0	0
	Asian & White	16	0	0	0
	Black/African American & White	9	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	8	0	0	0
	Other multi-racial	510	0	0	0
	Total Grand Total		5,009	1,095	0



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 CDBG Summary of Accomplishments
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LAGUNA NIGUEL

CDBG Beneficiaries by Income Category

	Income Levels	Owner Occupied	Renter Occupied	Persons
Non Housing	Extremely Low (<=30%)	0	0	796
	Low (>30% and <=50%)	0	0	737
	Mod (>50% and <=80%)	0	0	44
	Total Low-Mod	0	0	1,577
	Non Low-Mod (>80%)	0	0	86
	Total Beneficiaries		0	0



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 PR26 - CDBG Financial Summary Report
 Program Year 2011
 LAGUNA NIGUEL , CA

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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	703,963.42
02 ENTITLEMENT GRANT	302,749.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	1,006,712.42

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	430,865.21
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	430,865.21
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	32,720.70
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	463,585.91
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	543,126.51

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	430,865.21
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	430,865.21
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2011 PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	45,412.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	45,412.00
32 ENTITLEMENT GRANT	302,749.00
33 PRIOR YEAR PROGRAM INCOME	0.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	302,749.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	15.00%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	32,720.70
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	32,720.70
42 ENTITLEMENT GRANT	302,749.00
43 CURRENT YEAR PROGRAM INCOME	0.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	302,749.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	10.81%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17
 Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18
 Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2009	9	148	5305172	STREET IMPROVEMENT PROJECT	03K	LMA	\$385,453.21
2011	3	164	5366085	Families Forward	05	LMC	\$1,207.00
2011	3	164	5390087	Families Forward	05	LMC	\$2,583.00
2011	3	164	5458030	Families Forward	05	LMC	\$777.00
2011	4	163	5366085	Laura's House	05G	LMC	\$865.00
2011	4	163	5390087	Laura's House	05G	LMC	\$865.00
2011	4	163	5428791	Laura's House	05G	LMC	\$865.00
2011	4	163	5458030	Laura's House	05G	LMC	\$865.00
2011	5	162	5366085	South County Outreach	05	LMC	\$2,627.00
2011	5	162	5390087	South County Outreach	05	LMC	\$2,627.00
2011	5	162	5428791	South County Outreach	05	LMC	\$2,627.00
2011	5	162	5458030	South County Outreach	05	LMC	\$2,627.00
2011	7	160	5366085	South Coast YMCA	05L	LMC	\$20,877.00
2011	8	159	5366085	2-1-1 Orange County	05	LMC	\$1,541.98
2011	8	159	5390087	2-1-1 Orange County	05	LMC	\$1,789.92
2011	8	159	5428791	2-1-1 Orange County	05	LMC	\$2,187.68
2011	8	159	5458030	2-1-1 Orange County	05	LMC	\$480.42
Total							\$430,865.21

Matrix Code	Activity Group	Matrix Code Name	Disbursements	Percent of Total
03K	PI	Street Improvements	385,453.21	83.15%
Subtotal for : Public Facilities and Improvements			385,453.21	83.15%
05	PS	Public Services (General)	21,075.00	4.55%
05G	PS	Battered and Abused Spouses	3,460.00	0.75%
05L	PS	Child Care Services	20,877.00	4.50%
Subtotal for : Public Services			45,412.00	9.80%
21A	AP	General Program Administration	23,139.70	4.99%
21C	AP	Public Information	41.00	0.01%
21D	AP	Fair Housing Activities (subject to 20% Admin Cap)	9,540.00	2.06%
Subtotal for : General Administration and Planning			32,720.70	7.06%
Total Disbursements			463,585.91	100.00%

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Public Facilities and Infrastructure

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of Persons Assisted										
with new access to a facility	0	0	0	0	0	0	0	0	0	0
with improved access to a facility	0	0	0	0	0	0	0	0	0	0
with access to a facility that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Number of Households Assisted

with new access to a facility	0	0	0	0	0	0	0	0	0	0
with improved access to a facility	0	0	0	0	0	0	0	0	0	0
with access to a facility that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Public Services

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of Persons Assisted										
with new (or continuing) access to a service	1,663	0	0	0	0	0	0	0	0	1,663
with improved (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with new access to a service that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	1,663	0	0	0	0	0	0	0	0	1,663

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Public Services (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of Households Assisted										
with new (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with improved (or continuing) access to a service	0	0	0	0	0	0	0	0	0	0
with new access to a service that is no longer substandard	0	0	0	0	0	0	0	0	0	0
Totals :	0	0	0	0	0	0	0	0	0	0

Economic Development

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total Number of Businesses Assisted	0	0	0	0	0	0	0	0	0	0
Of Total										
New businesses assisted	0	0	0	0	0	0	0	0	0	0
Existing businesses assisted	0	0	0	0	0	0	0	0	0	0
Number of business facades/buildings rehabilitated	0	0	0	0	0	0	0	0	0	0
Assisted businesses that provide a good or service to service area/neighborhood/community	0	0	0	0	0	0	0	0	0	0
Total Number of Jobs Created	0	0	0	0	0	0	0	0	0	0
Types of Jobs Created										
Officials and Managers	0	0	0	0	0	0	0	0	0	0

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Economic Development (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Professional	0	0	0	0	0	0	0	0	0	0
Technicians	0	0	0	0	0	0	0	0	0	0
Sales	0	0	0	0	0	0	0	0	0	0
Office and Clerical	0	0	0	0	0	0	0	0	0	0
Craft Workers (skilled)	0	0	0	0	0	0	0	0	0	0
Operatives (semi-skilled)	0	0	0	0	0	0	0	0	0	0
Laborers (unskilled)	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0
Of jobs created, number with employer sponsored health care benefits	0	0	0	0	0	0	0	0	0	0
Number unemployed prior to taking jobs	0	0	0	0	0	0	0	0	0	0
Total Number of Jobs Retained	0	0	0	0	0	0	0	0	0	0
Types of Jobs Retained										
Officials and Managers	0	0	0	0	0	0	0	0	0	0
Professional	0	0	0	0	0	0	0	0	0	0

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Economic Development (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Technicians	0	0	0	0	0	0	0	0	0	0
Sales	0	0	0	0	0	0	0	0	0	0
Office and Clerical	0	0	0	0	0	0	0	0	0	0
Craft Workers (skilled)	0	0	0	0	0	0	0	0	0	0
Operatives (semi-skilled)	0	0	0	0	0	0	0	0	0	0
Laborers (unskilled)	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0
Of jobs retained, number with employer sponsored health care benefits	0	0	0	0	0	0	0	0	0	0
Acres of Brownfields Remediated	0	0	0	0	0	0	0	0	0	0

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Rehabilitation of Rental Housing

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total LMH* units	0	0	0	0	0	0	0	0	0	0
Total SB*, URG units	0	0	0	0	0	0	0	0	0	0
Of Total, Number of Units Made 504 accessible	0	0	0	0	0	0	0	0	0	0
Brought from substandard to standard condition	0	0	0	0	0	0	0	0	0	0
Created through conversion of non-residential to residential buildings	0	0	0	0	0	0	0	0	0	0
Qualified as Energy Star	0	0	0	0	0	0	0	0	0	0
Brought to lead safety compliance	0	0	0	0	0	0	0	0	0	0
Affordable	0	0	0	0	0	0	0	0	0	0
Of Affordable Units										
Number subsidized by another federal, state, local program	0	0	0	0	0	0	0	0	0	0
Number occupied by elderly	0	0	0	0	0	0	0	0	0	0
Number of years of affordability	0	0	0	0	0	0	0	0	0	0
Average number of years of affordability per unit	0	0	0	0	0	0	0	0	0	0
Number designated for persons with HIV/AIDS	0	0	0	0	0	0	0	0	0	0

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Rehabilitation of Rental Housing (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Of those, number for the chronically homeless	0	0	0	0	0	0	0	0	0	0
Number of permanent housing units for homeless persons and families	0	0	0	0	0	0	0	0	0	0
Of those, number for the chronically homeless	0	0	0	0	0	0	0	0	0	0

Construction of Rental Housing

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total LMH* units	0	0	0	0	0	0	0	0	0	0
Total SB*, URG units	0	0	0	0	0	0	0	0	0	0
Of Total, Number of 504 accessible units	0	0	0	0	0	0	0	0	0	0
Units qualified as Energy Star	0	0	0	0	0	0	0	0	0	0
Affordable units	0	0	0	0	0	0	0	0	0	0
Of Affordable Units										
Number occupied by elderly	0	0	0	0	0	0	0	0	0	0
Years of affordability	0	0	0	0	0	0	0	0	0	0
Average number of years of affordability per unit	0	0	0	0	0	0	0	0	0	0

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Construction of Rental Housing (continued)

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number subsidized with project based rental assistance by another federal, state, or local program	0	0	0	0	0	0	0	0	0	0
Number designated for persons with HIV/AIDS	0	0	0	0	0	0	0	0	0	0
Of those, the number for the chronically homeless	0	0	0	0	0	0	0	0	0	0
Number of permanent housing units for homeless persons and families	0	0	0	0	0	0	0	0	0	0
Of those, the number for the chronically homeless	0	0	0	0	0	0	0	0	0	0

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Owner Occupied Housing Rehabilitation

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total LMH* units	0	0	0	0	0	0	0	0	0	0
Total SB*, URG units	0	0	0	0	0	0	0	0	0	0
Of Total, Number of Units Occupied by elderly	0	0	0	0	0	0	0	0	0	0
Brought from substandard to standard condition	0	0	0	0	0	0	0	0	0	0
Qualified as Energy Star	0	0	0	0	0	0	0	0	0	0
Brought to lead safety compliance	0	0	0	0	0	0	0	0	0	0
Made accessible	0	0	0	0	0	0	0	0	0	0

Homebuyer Assistance

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total Households Assisted	0	0	0	0	0	0	0	0	0	0
Of Total:										
Number of first-time homebuyers	0	0	0	0	0	0	0	0	0	0
Of those, number receiving housing counseling	0	0	0	0	0	0	0	0	0	0
Number of households receiving downpayment/closing costs assistance	0	0	0	0	0	0	0	0	0	0

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Development of Homeowner Housing

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total LMH* units	0	0	0	0	0	0	0	0	0	0
Total SB*, URG units	0	0	0	0	0	0	0	0	0	0
Of Total, Number of Affordable units	0	0	0	0	0	0	0	0	0	0
Years of affordability	0	0	0	0	0	0	0	0	0	0
Average number of years of affordability per unit	0	0	0	0	0	0	0	0	0	0
Units qualified as Energy Star	0	0	0	0	0	0	0	0	0	0
504 accessible units	0	0	0	0	0	0	0	0	0	0
Units occupied by households previously living in subsidized housing	0	0	0	0	0	0	0	0	0	0
Of Affordable Units										
Number occupied by elderly	0	0	0	0	0	0	0	0	0	0
Number designated for persons with HIV/AIDS	0	0	0	0	0	0	0	0	0	0
Of those, number for the chronically homeless	0	0	0	0	0	0	0	0	0	0
Number of housing units for homeless persons and families	0	0	0	0	0	0	0	0	0	0
Of those, number for the chronically homeless	0	0	0	0	0	0	0	0	0	0

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Housing Subsidies

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Total Number of Households	0	0	0	0	0	0	0	0	0	0
Of Total:										
Number of households receiving short-term rental assistance (< = 3 months)	0	0	0	0	0	0	0	0	0	0
Number of households assisted that were previously homeless	0	0	0	0	0	0	0	0	0	0
Of those, number of chronically homeless households	0	0	0	0	0	0	0	0	0	0

Shelter for Homeless Persons

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of beds created in overnight shelter/other emergency housing	0	0	0	0	0	0	0	0	0	0
Number of homeless persons given overnight shelter	0	0	0	0	0	0	0	0	0	0

Homeless Prevention

	Create Suitable Living			Provide Decent Housing			Create Economic Opportunities			Total
	Access	Afford	Sustain	Access	Afford	Sustain	Access	Afford	Sustain	
Number of Persons Assisted										
that received emergency financial assistance to prevent homelessness	0	0	0	0	0	0	0	0	0	0
that received emergency legal assistance to prevent homelessness	0	0	0	0	0	0	0	0	0	0

Appendix B Proof of Publication

AFFIDAVIT OF PUBLICATION

STATE OF CALIFORNIA,)
) ss.
County of Orange)

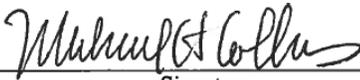
I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am the principal clerk of the **Laguna Niguel News**, a newspaper that has been adjudged to be a newspaper of general circulation by the Superior Court of the County of Orange, State of California, on February 17, 1998, Case No. A-190535 in and for the City of Laguna Niguel, County of Orange, State of California; that the notice, of which the annexed is a true printed copy, has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

August 30, 2012

"I certify (or declare) under the penalty of perjury under the laws of the State of California that the foregoing is true and correct":

Executed at Santa Ana, Orange County, California, on

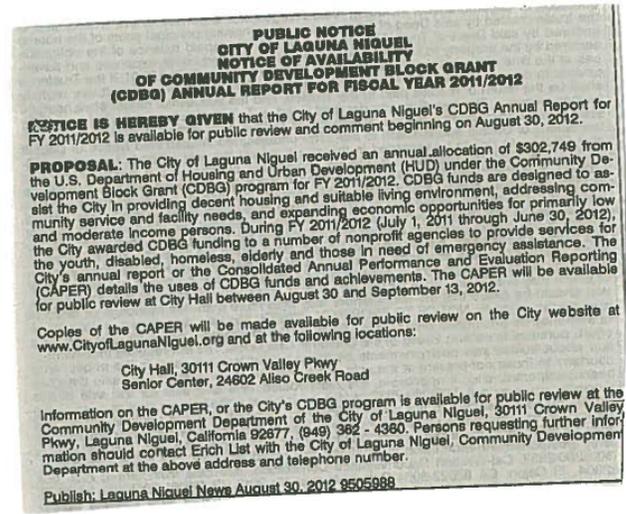
August 30, 2012



Signature

**Laguna Niguel News
625 N. Grand Ave.
Santa Ana, CA 92701
(714) 796-2209**

PROOF OF PUBLICATION



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AUG 30 2012 11:51

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Appendix C CAPER Checklist

2011 CAPER REVIEW CHECKLIST

Grantee: Laguna Niguel

THE SUBMISSION

The statutory submission deadline was met.

YES **(September 28, 2012)**

The Financial Summary (IDIS Report number C04PR26) was provided.

YES **Appendix A**

The report covers the appropriate program year.

YES **7/01/11 – 6/30/12 FY 2011-12 page 1**

Identifies CPD entitlement funds.

YES **CDBG pages 1-3 and 8**

Planning & Administration	\$22,950
Public Services	\$45,412
Capital improvement (Street Improvements)	\$224,847
(Pedestrian Push Button)	(plus \$4,113 carryover) \$47,541.46

B11MC0-60590	CDBG Entitlement	\$306,862
B10MC0-60590	CDBG Entitlement (Carryover)	\$4,113
B09MY0-60590	CDBG-R (Street Improvements)	\$91,104

Identifies all known Federal/HUD resources available to the grantee (including SNAPs).

YES **page 8 \$306,862 CDBG Entitlement Funds**

*Correct information noted and/or requested in PYR letter.

NARRATIVES - GENERAL

Three/Five Year Goals and Objectives assessment relates back to Strategic Consolidated Plan objectives;

YES CAPER Table 1 and 2C **pages 3 and 38**

High priority needs.

YES MEDIUM PRIORITY.

Affordable Housing Evaluation includes:

The number of extremely low, low, and moderate-income renter and owner households assisted during the reporting period;

YES **page 15-17** renters (130 Section 8 vouchers).

The number of households assisted with housing that meets the Section 215 definition of affordable housing for rental and home ownership;

YES **page 15-17** renters (130 Section 8 vouchers).

Section 215 Affordable Housing. 1. Rental Housing: A rental housing unit is considered to be an affordable housing unit if it is occupied by a low income household or individual and bears a rent that is the lesser of a) the existing section 8 fair market rent for comparable units in the area or b) 30 percent of the adjusted income of a household whose income equals 65 percent of the median income for the area, except that HUD may establish income ceilings higher or lower than 65 percent of the median because of prevailing level of construction costs or fair market rents, or unusually high or low family incomes. 2. Homeownership: a) housing that is for purchase, with or without rehab., qualifies as affordable housing if it 1) is purchased by a low income first time homebuyer who will make the housing his or her principal residence and 2) has a sale price which does not exceed the mortgage limit for the type of single family housing for the area under HUDs single family insuring authority under the National Housing Act. B) housing that is to be rehabilitated, but is already owned by a household when assistance is provided, qualifies as affordable if the housing 1) is occupied by a low income household which uses the housing as its principal residence, and 2) has a value, after rehabilitation, that does not exceed the mortgage limit for the type of single family housing for the area as described in 2a) above. Note: these definitions apply for the purposes of enumerating the number of households assisted with housing meeting the 215 affordable housing definition regardless of the Federal funding source used in support of that housing.

A comparison of actual accomplishments with proposed goals for the reporting period;

YES Table 1 and 2C **pages 3 and 38**

Efforts to address worse case needs; and

YES **page 18**

The needs of persons with disabilities

YES **page 18**

Continuum of Care Strategy

CAPER identifies actions taken at all points along the continuum from prevention and outreach through emergency, transitional, and permanent housing.

YES **pages 19-23**

Other Actions addressed, include:

Actions taken to address obstacles to meeting underserved needs;

YES **page 8**

Maintaining affordable housing;

YES **page 15**

Eliminating barriers to affordable housing;

YES **page 18**

Overcoming gaps in institutional structures and enhancing coordination;

YES **page 11**

Improving public housing and resident initiatives;

YES N/A no public housing **page 18**

Evaluating and reducing lead based paint hazards;

YES **page 14**

Ensuring compliance with program and comprehensive planning requirements; and

YES **page 9**

Reducing the number of persons living below the poverty level.

YES **page 32**

The submission included a description of the Leveraging of other public and private resources as indicated in the Plan, including how any matching requirements were satisfied.

YES **page 8**

A Summary of Citizen Comments was included in the submission.

YES **page 9-10**

The report included a Self-evaluation.

YES **page 13**

Affirmatively Furthering Fair Housing evaluated by FHEO Division, all grantees.

Pages 4-8

*Correct information noted and/or requested in PYR letter.

CDBG ENTITLEMENT NARRATIVES

The submission included an Assessment of the Relationship of CDBG Funds to the high priority needs/objectives in the plan, including an evaluation of the extent to which CDBG funds were used to benefit LMI persons.

YES **page 2 and 30**

Narratives also include:

An explanation of the nature of and reasons for any changes in program objectives, and an indication of how the jurisdiction would change its program as a result of its experience.

YES **page 2 and 30**

The report includes an evaluation of the extent to which CDBG funds were used to benefit LMI persons.

YES **page 31**

Assessment of Efforts Made in Carrying Out Planned Actions described in the Consolidated Plan includes a narrative or other information which indicates that:

The grantee pursued all resources indicated in the Consolidated Plan.

YES **page 30**

Certifications for consistency were provided for other HUD programs.

YES **page 37**

That the grantee did not hinder plan implementation by action or willful inaction.

YES **page 30**

Did the grantee indicate that it has carried out activities that involved acquisition, rehabilitation or demolition of occupied real property triggering the Uniform Relocation Act?

N/A (no activities) page 31

The grantee submitted narratives which identify:

The steps taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

N/A

Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Relocation Act; whether or not they were displaced, and; the nature of their needs and preferences.

N/A

Steps taken to ensure the timely issuance of information notices.

N/A

Did the grantee carry out Economic Development Activities during the reporting period?

N/A page 31

Job Creation/Retention

Economic development jobs as applicable were made available to low-or moderate-income persons.

N/A (job creation/retention objective not employed)

A narrative of actions taken by the grantees and the businesses to ensure first consideration was or will be given to low/mod persons has been supplied.

N/A

A listing by job title of all permanent jobs created/retained and those that were made available to low mod persons has been provided.

N/A

Were jobs claimed as being available to low/mod persons that require special skills, work experience, or education?

N/A

*Yes: Did the grantee include a description of the steps being taken or that will be taken to meet this requirement.

N/A

Did the grantee undertake activities that serve Limited Clientele not falling within one of the categories of presumed limited clientele low mod benefit?

NO ALL CLIENTS AND SERVICES QUALIFIED TO SERVE IN COMPLIANCE

WITH 570.208 (a) 2 (a) **pages 10 and 31**

The grantee provided a narrative description explaining how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of who are low and moderate-income.

YES **pages 10 and 31**

Did the grantee undertake activities during the program year which generated Program Income to revolving funds; from float funded activities; from the sale of real property; other loan repayments; prior period adjustments; loans outstanding or written off; parcels of CDBG-acquired property available for sale; or lump sum drawdown payments?

*YES N/A **page 31**

*Yes: narrative information provided:

a) the amount of program income which was returned to each revolving fund; b) the amount repaid on each float funded activity; c) all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other; and d) the amount of income received from the sale of property by parcel.

N/A **page 31**

Prior Period Adjustments: reimbursement was made this reporting period for expenditures that have been disallowed.

N/A **page 31**

*Yes: the grantee included narrative information that includes: a) the activity name and number as shown in IDIS; b) the amount returned to the line of credit or program account; and c) if the reimbursement is to be made over multi-year payments, the total amount to be reimbursed and the time period over which the reimbursement is to be made.

N/A **page 31**

Loans and Other Receivables

N/A **page 32**

The narrative for Loans and Other Receivables identified: a) Float Funded activities outstanding as of the end of the reporting period; b) the total amount of loans outstanding and the principal balance owed as of the end of the reporting period; c) parcels acquired or improved with CDBG funds that are available for sale as of the end of the reporting period; and d) the number and amount of loans in default for which the balance was forgiven or written off during the reporting period.

N/A page 32

lump sum agreements

N/A page 32

*n a : Information regarding: a) the name of the financial institution; b) date the funds were deposited; c) date the use of funds commenced; and d) the percentage of funds disbursed within 180 days of deposit in the institution was provided.

N/A page 32

Does the grantee have CDBG funded Rehabilitation Programs with completed projects or units?

N/A page 32

Yes: the submission includes: a) a narrative description that identifies the type of program and the number of properties/units completed for each; and b) the total CDBG and other public and private funds involved in the project.

N/A page 32

NRSA Does the grantee have an approved neighborhood revitalization strategy .

N/A page 32

a report of progress against benchmarks was included in the caper .

**Correct information noted and/or requested in PYR letter.

WORKSHEETS

Eligibility/national objective, primary objective, planning and administration, and public service worksheets are completed and attached.

HOME PJ Worksheet Attached: N/A NO – not a home PJ

ESG Worksheet Attached: N/A NO – Not a recipient of ESG funds

HOPWA Worksheet: N/A NO – Not a HOPWA grantee

CPD Representative/date

Program Manager/date

ELIGIBILITY/NATIONAL OBJECTIVE WORKSHEET

Review each activity listed on the Activity Summary and CAPER Report to determine if the activities are eligible and meet a national objective. Determine if appropriate matrix codes have been utilized and if all criteria for funding have been met.

Use this review sheet to list questionable activities for follow-up. After consulting with the grantee, enter the result here. Reclassify any misclassified activities, identify any ineligible activities, and take appropriate corrective or remedial action.

PRIMARY OBJECTIVE OVERALL BENEFIT CALCULATION

If national objective codes are incorrect on IDIS reports, please utilize this form to confirm overall benefit. Do the calculation shown below to determine whether the grantee met its certification that at least 70 percent of all CDBG funds expended during one, two, or three consecutive program years, as specified, were for activities benefiting L/M persons. Where the certification is not met, ask for further information and, when necessary, take corrective or remedial action.

To calculate the level of overall benefit this year: **Appendix A.**

- a. Figure the amount subject to program benefit:
- b. Enter the activity expenditures (on line 15 of IDIS Report number C04PR26)
\$ _____
- b. Subtract P&A expenditures (line 12 of IDIS Report number C04PR26)
\$ _____
- c. Equals expenditures subject to overall benefit calculation \$ _____

- 2. Figure the percent of expenditures benefiting L/M Persons:
 - a. Enter amount of expenditures benefiting L/M Persons (line 19 IDIS Report number C04PR26). \$ _____
 - b. Divide by amount subject to program benefit (enter line 1.c). \$ _____
 - c. Equal the percent of expenditures benefiting L/M Persons. % _____

- 3. Compare the percentage with the overall benefit standard:
The percentage should be greater than or equal to 70%, if the grantee chose a one year certification period. YES

For two or three year certification periods N/A

Total the cumulative expenditures subject to program benefit and divide by the cumulative expenditures directly benefiting L/M persons (low mod area, limited clientele, housing, and jobs). Ensure that progress is being made towards meeting the requirement within the certification period.

Certification period 1 year; and program year as identified in the 2010-11 Action Plan, Certifications, Appendix.

Certifications: _____, _____, _____

CAPER Certification period 1 year;

Program year Cumulative Program Expenditures	Direct Benefit Expenditures
_____	_____
cumulative totals: _____/	_____

Percentage na

PLANNING AND ADMINISTRATIVE COST CAP WORKSHEET

If IDIS matrix codes are incorrect on any planning and administrative activity, you must verify planning and administrative costs utilizing this form. Calculate the level of planning and administrative cost expenditures according to the steps below. The grantee is required to be within the 20 percent cap. If the grantee has exceeded the cap, ask for further information and, when necessary, take corrective or remedial action.

1. Figure the expenditures cap;
 - a. Enter the grant and program income amount (line 2, plus line 5 in IDIS Report number C04PR26) appendix a \$337,274.00 + 0.00
\$ _____
 - b. Multiply by 20 percent
_____ X _____ .20
 - c. Equals the cap \$ _____

2. Figure this year's P&A expenditures:
 - a. Enter total of expenditures for planning & administration (Part II line 12 of IDIS Report number C04PR26) \$ _____
 - b. Enter total of planning and administrative current year unliquidated obligations (Part V, line 38 of IDIS Report number C04PR26) \$ _____
 - c. add lines 2.a. and 2.b. \$ _____
 - d. Enter total of planning and administrative prior year unliquidated obligations (Part V, line 39 of IDIS Report number C04PR26) \$ _____
 - e. subtract lines 2.d. from 2.c. \$ _____

3. Compare cap (on line 1.c.) with P&A expenditures (on line 2.e.):
 - a. Cap exceeded. No
 - b. Divide line 2.e. by line 1.a.

P&A expenditures are less than the cap or equal to it _____ %

PUBLIC SERVICE COST CAP WORKSHEET

If matrix codes for public service activities are incorrect on IDIS activity reports, please utilize this form to verify the public service cap calculation. Calculate the level of public service obligations according to the steps below. The grantee is required to be within the 15 percent cap. If the grantee has exceeded the cap, ask for further information and, when necessary, taken corrective or remedial action.

1. Figure the obligations cap:
 - a. Enter the grant amount (on line 2 of IDIS Report number C04PR26)
\$ _____
 - b. Multiply by 15 percent (or by the alternative percentages, if applicable, as described in the note below) _____ X _____ .15
 - c. Amount \$ _____
 - d. Enter the amount of program income received in the preceding program year (line 33 of IDIS Report number C04PR26) \$ _____
 - e. Multiply by 15 percent _____ X _____ .15
 - f. Amount \$ _____
 - g. Total of lines 1.c. and 1.f. equals the cap \$ _____

2. Figure this year's public service obligations:
 - a. Enter total of public service expenditures (Part IV, line 27 of IDIS Report number C04PR26) \$ _____
 - b. Enter total of public service unliquidated obligations (Part IV, line 28 of IDIS Report number C04PR26) \$ _____
 - c. Add lines 2.a. and 2.b. \$ _____
 - d. Enter last year's public service unliquidated obligations (part IV, line 29 of IDIS Report number C04PR26) \$ _____
 - e. Subtract line 2.d. from line 2.c. \$ _____

3. Compare cap (on line 1.g.) with obligations (on line 2.e.):
 - a. Cap exceeded? No
 - b. Add lines 1.a. and 1.d. Divide line 2.e. by sum of line 1.a. and 1.d.
Obligations are less than the cap _____%

HOME PJ WORKSHEET

N/A

Narratives for HOME Jurisdictions include:

The results of on-site inspections of rental housing.

YES *NO

An assessment of affirmative marketing actions.

YES *NO

An assessment of outreach to women and minority owned businesses.

YES *NO

An analysis of the extent to which HOME funds were distributed among different categories of housing needs identified in the Consolidated Plan.

YES *NO

home match report (HUD form 40107A) included.

YES *NO

home MBE/WBE (HUD form 40107, Part III).

YES *NO

*Correct information noted and/or requested in PYR letter.

EMERGENCY SHELTER GRANT WORKSHEET N /A

Does the grantee receive emergency shelter grant funds *YES NO

*Yes: the submission included:

a) a description of the extent to which activities supported directly with ESG funds addressed homeless and homeless prevention goals, objectives, and priorities established in the Consolidated Plan; b) a description of the sources and amounts of funds used to meet the match requirements of the ESG program.

YES (a b)

*NO (a b)

*Correct information noted and/or requested in PYR letter.

HOPWA GRANTEE WORKSHEET N / A

Does the Grantee receive hopwa funds *YES NO

*Yes. The grantee included: a) an overview of activities carried out, barriers encountered, actions taken in response to barriers, and recommendations for program improvement; b) information addressing how grant management oversight of sponsor activities was undertaken, including how recipients of such assistance were chosen and what services were provided; and c) the other resources that were used in conjunction with HOPWA funded activities, including cash resources and in kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations included.

YES (A B C)

*NO (A B C)

*Correct information noted and/or requested in PYR letter.

Appendix D
Fair Housing Council of Orange County
Actions Taken to Address Private Sector
Impediments to Fair Housing Choice

CITY OF LAGUNA NIGUEL 2011-2012 ACTIONS TAKEN TO ADDRESS PRIVATE SECTOR IMPEDIMENTS TO FAIR HOUSING CHOICE

INTRODUCTION

The 2010-2015 Orange County Regional Analysis of Impediments to Fair Housing Choice (Regional AI) examines the following private sector impediments:

- Housing Discrimination
- Discriminatory Advertising
- Blockbusting
- Denial of Reasonable Accommodation
- Hate Crimes
- Unfair Lending

Detailed information on each impediment is contained in Section 5 of the *Regional AI*.

The key rationale for preparation of the *Regional AI* is that private sector impediments are regional in nature and affect multiple communities – that is, they are not limited to a single federal entitlement jurisdiction with a responsibility for Affirmatively Furthering Fair Housing (AFFH). The Fair Housing Council of Orange County (FHCOC) has extensive experience in dealing with fair housing impediments that occur in the private sector. HUD guidance indicates that the *Regional AI* must describe appropriate actions that will be taken to overcome the effects of the private sector impediments that are identified through the analysis. The FHCOC understands the private sector and is well equipped to analyze impediments, describe appropriate actions, and to follow-through on those actions.

As part of the Fair Housing Action Plan, the actions to be taken by FHCOC between 2010 and 2015 to remove or ameliorate private sector impediments to fair housing choice and, thereby affirmatively further fair housing, are organized in the *Regional AI* according to four timelines:

- **Ongoing:** to be accomplished annually
- **Near-Term:** to be accomplished in Program Year 2010-2011
- **Mid-Term:** to be accomplished in Program Years 2011-2012/2012-2013
- **Long-Term:** to be accomplished in Program Year 2013-2014/2014-2015

ACTIONS TAKEN IN PY 2011-2012

Below in chart form is a summary of the pertinent actions taken by FHCOC to ameliorate the impediments described in the *Regional AI*. Chart 1 on the following four pages describes each action taken by FHCOC within the ‘ongoing’ timeline, which are appropriate to a CAPER for the 2011-2012 Program Year (PY). Additionally, mid-term actions for which there are intermediate accomplishments to be reported are included. As this is a report for the second year of the planning period, no intermediate accomplishments for long-term actions are reported. It should be noted that all *Regional AI* actions for the ‘Blockbusting’ impediment are in the long-term category only and therefore it does appear in the chart. It should also be noted that there were no intermediate mid-term accomplishments for the ‘Hate Crimes’ impediment, which had only mid-term and long-term actions, and therefore it also does not appear in the chart.

**Chart 1
Regional Analysis of Fair Housing Impediments
Private Sector Impediments
Fair Housing Action Plan: 2010-2015**

Private Sector Impediment	<i>Ongoing / 2011-2012 Actions & Accomplishments</i>	<i>Mid-Term Actions & Accomplishments</i>
<p align="center">Housing Discrimination</p>	<p><i>Continue to process housing discrimination complaints filed by city and county residents.</i></p> <p>For the 2011-2012 PY, FHCOC opened 71 case files for allegations of housing discrimination. Of these, 2 cases were opened for allegations involving the City of Laguna Niguel.</p> <p>In the PY, FHCOC addressed housing-related inquiries or contacts from 5,061 unduplicated clients from throughout Orange County. Those inquiries or contacts were screened for possible issues of housing discrimination and clients were provided counseling on their fair housing rights, obligations and remedies as appropriate and needed. For the City of Laguna Niguel, we served 98 unduplicated clients with such inquiries or contacts, addressing 343 individual topics or issues.</p>	<p><i>Conduct testing of housing provider practices to determine whether there are differences in treatment based on a protected class. The 2005-2009 housing discrimination complaint data and the fair housing community profile can be used to identify the protected classes and locations of housing providers that should be tested.</i></p> <p>For the 2011-2012 PY, FHCOC conducted 101 paired, on-site, systemic tests for discriminatory housing practices. These were split between 24 tests of for-sale real estate brokerage transactions and 77 rental housing transactions.</p> <p>Previously, for the 2010-2011 PY, FHCOC conducted 92 paired, on-site, systemic tests for discriminatory housing practices. These were split between 30 tests of for-sale real estate brokerage transactions and 62 rental housing transactions.</p>
		<p><i>Revise its website to provide direct access to a housing discrimination complaint form and provide a diagram or brief explanation of the process for investigating and resolving a complaint.</i></p> <p>FHCOC’s website currently has an on-line housing discrimination complaint reporting tool that generates an e-mail to FHCOC. It is often used for complaints for other, non-discrimination, housing-related issues. In the 2011-2012 PY FHCOC engaged the services of an information technology consultant to improve its computer-based capabilities. As part of that effort, the website will be upgraded to help further differentiate between the discrimination and non-discrimination complaints. Information regarding the process of investigating and resolving complaints will be added.</p>

Private Sector Impediment	Ongoing / 2011-2012 Actions & Accomplishments	Mid-Term Actions & Accomplishments
<p style="text-align: center;">Discriminatory Advertising</p>	<p><i>Monitor on-line advertising of rental housing for discriminatory content.*</i></p> <p>On an occasional basis, as staffing allowed, advertising for Orange County rentals listed on Craigslist were monitored for discriminatory content. Any discriminatory advertisements were either flagged as prohibited, responded to in order to inform the poster of possible discriminatory content, brought to the attention of Craigslist via abuse@craigslist.org, or referred to our investigators for possible enforcement action. The Craigslist site is a good candidate for monitoring due to its available text search function. Other on-line rental sites were sporadically monitored. However, their lack of a text search function made monitoring of their content much more time consuming and less feasible.</p> <p>Without exception the identified problematic postings indicated restrictions with regard to children under the age of 18 or improper preference for seniors or 'older adults' for housing opportunities that did not appear qualify as housing for older persons (age 55 and over).</p>	<p><i>Periodically review for rent and for sale ads published in the print media.</i></p> <p>On an occasional basis, rental advertisements in the Los Angeles Times, the Orange County Register and some of the Register's affiliate local weekly newspapers were reviewed. Also, some advertisements in various local editions of the Penny Saver weekly were reviewed.</p> <p>As has been the case for many years now, the review of these print advertising outlets did not find any overtly discriminatory advertisements. Advertisements were observed with some of the statements identified in the Regional AI as possibly presenting impediments to fair housing choice. Those possible impediments included stating 'no pets' without distinguishing that assistance animals would be allowed, or the use of phrases like 'active senior living' in advertising for senior housing that could discourage individuals with a disability.</p> <p>Additionally, many advertisements lacked any affirmative marketing language or symbols, such as the use of the phrase 'equal housing opportunity' or the display of HUD's 'equal housing' logo.</p>

Private Sector Impediment	Ongoing / 2011-2012 Actions & Accomplishments	Mid-Term Actions & Accomplishments
Denial of Reasonable Modification / Reasonable Accommodation	<p><i>Assist persons with disabilities in requesting and obtaining accommodations or modifications.*</i></p> <p>During the 2011-2012 PY, FHCOC had 29 inquiries regarding reasonable accommodations and modifications that resulted in case work beyond basic counseling. This resulted in us assisting 15 clients to request and receive a reasonable accommodation or permission for a reasonable modification. Another 2 clients were denied or effectively denied their requested accommodation and FHCOC assisted in them in filing an administrative housing discrimination complaint with the Fair Housing and Equal Opportunity (FHEO) Office of the U.S. Department of Housing and Urban Development.</p>	<p><i>Provide education and information on why this practice is unlawful to the owners and managers of apartment complexes and homeowner associations.</i></p> <p>During the 2011-2012 PY, FHCOC provided training to rental property owners and managers through 13 training seminars. We had an additional 3 outreach activities that provided information specifically to owners and managers.</p> <p>Previously, during the 2010-2011 PY, FHCOC provided training to rental property owners and managers through 9 training seminars. We had an additional 3 outreach activities that provided information specifically to owners and managers.</p>
		<p><i>Provide information on the unlawful practice of denying reasonable modifications and reasonable accommodations at fair housing seminars conducted by the Apartment Association of Orange County.</i></p> <p>During the 2011-2012 PY, FHCOC conducted 3 fair housing seminars in cooperation with the Apartment Association of Orange County. The curriculum included discussion of reasonable accommodations and modifications.</p> <p>During the 2010-2011 PY, FHCOC conducted 4 fair housing seminars in cooperation with the Apartment Association of Orange County. The curriculum included discussion of reasonable accommodations and modifications.</p>

Private Sector Impediment	Ongoing / 2011-2012 Actions & Accomplishments	Mid-Term Actions & Accomplishments
<p>Unfair Lending</p>	<p><i>Monitor the HMDA data annually using the 2008 HMDA analysis as a benchmark.</i></p> <p>Analysis of calendar year (CY) 2008 Home Mortgage Disclosure Act (HMDA) Data was presented in the <i>Regional AI</i>. At the time that analysis was begun, CY 2008 data was the most recent data available. Although CY 2009 data became available in September 2010, and CY 2010 data became available in September 2011, during the 2010-2011 and 2011-2012 PYs, respectively, budget and staffing constraints prevented an analysis of that data. Data for CY 2011 is about to become available (Sept. 2012) and FHCOC will endeavor to do an analysis of some basic aspects for comparison against 2008 data.</p>	<p><i>Conduct outreach to cultural, ethnic and minority organizations to potentially increase interest and readiness in home purchases.</i></p> <p>As part of its outreach efforts FHCOC informs individuals and organizations of its services, which include housing counseling for individuals seeking to become ready for a home purchase. During PY 2011-2012 we participated in 51 education and/or outreach activities, reaching a culturally and ethnically diverse audience, in which we made participants aware of fair housing laws and our counseling services, including those to help them improve their readiness for a home purchase.</p>

* Denotes an action not specifically identified in the Regional AI, but which relates to one of its identified impediments

IMPEDIMENTS PREVIOUSLY IDENTIFIED IN 2005-2010 REGIONAL AI

The 2005-2010 Regional AI identified some impediments that have not been subsumed under the impediments identified in the current *Regional AI*. Because these impediments have not been eliminated, some explanation of actions taken to continue to address them is appropriate. Following are 3 of these 'carry-over' impediments with a brief description of actions taken by FHCOC to ameliorate their effects on fair housing choice within the region.

- 1. Orange County's high cost of housing negatively impacts minorities, immigrants and families with children more often than white households or those without children. This results in high concentrations of minorities in low-income census tracts living in sub-standard and/or overcrowded housing conditions.**

Action Taken: During PY 2011-2012 FHCOC was active in efforts intended to promote housing affordability within Orange County. It provided services and/or outreach to organizations involved in the creation, preservation or facilitation of affordable housing. These included the Kennedy Commission, the Mental Health Association of Orange County, the Aids Services Foundation, the Affordable Housing Clearinghouse, Jamboree Housing Corporation, Orange County Congregations Community Organizations (OCCCO), and Orange County Community Housing Corporation, to name a few.

Through our HUD-approved housing counseling program we assisted renters and buyers in understanding the ways in which they could have greater housing choice and benefit from affordable housing initiatives, whether through subsidized rental housing programs or homebuyer assistance programs. This also included counseling to improve clients' financial literacy to make them better prepared to take advantage of affordable housing opportunities. Those opportunities were much improved in PY 2011-2012 as a result of the decline in home prices and the availability of 'starter' homes coming on the market as a result of foreclosures.

In response to the surge of foreclosures, many of which involve sub-prime or so-called "innovative" mortgage products, for the last 4 years FHCOC has obtained federal funding to specifically counsel distressed borrowers, many of whom are lower-income minorities and immigrants, in order to assist them in avoiding foreclosures and to preserve or achieve housing affordability. During PY 2011-2012 we provided counseling to more than 250 households facing issues of mortgage default and foreclosure. These activities will help with retention of newly achieved homeownership that has served to lessen concentrations of poverty.

- 2. Local jurisdictions do not have formal fair housing educational systems in place for staff who impact fair housing issues, such as, planning/zoning staff, housing authority staff, code enforcement and CDBG monitoring staff.**

Action Taken: During PY 2011-2012 FHCOC continued to offer fair housing training sessions that are open to all local government staff. During the PY 2012-2013, FHCOC will send notices to city staff to inform of the availability of training. City staff who attend the training will receive certification of their attendance.

- 3. Recent immigrant populations do not have information necessary to understand fair housing laws. This results in immigrants experiencing illegal discrimination as well as discrimination by recent immigrants in positions impacting housing.**

Action Taken: The FHCOC provided written materials in English, Spanish and Vietnamese for use by local jurisdictions and other service providers. It also made specific outreach efforts to immigrant populations in low-income neighborhoods to assist in informing and organizing such populations. It is estimated that more than 1,000 limited English proficiency households were served during the past 12 months. FHCOC continued to implement activities under a Fair Housing Initiatives Program (FHIP) grant to specifically provide fair housing services geared towards immigrant communities, especially involving those immigrants with limited English proficiency. This involved a fair housing testing program that sought to involve members of immigrant populations with limited English proficiency, both for purposes of enforcing fair housing laws as testers and as a vehicle to increase outreach to those populations.

Through its foreclosure prevention activities FHCOC is assisted individuals with limited English proficiency who have received loans with documents, all prepared in English, that have terms that are different from what they believed or were informed they were obtaining, or of which they had less than a full understanding. Materials are being made available in Spanish, and other languages as resources allow, which explain how to avoid foreclosure and obtain assistance.

ACTIONS TAKEN BY FHCOC TO AMELIORATE PUBLIC SECTOR IMPEDIMENTS

As part of the Fair Housing Action Plan developed in conjunction with the *Regional AI*, FHCOC will provide technical assistance to cities that have identified public sector impediments in the following areas:

- Family definition inconsistent with fair housing laws
- Lack of a definition of disability
- Lack of a reasonable accommodation procedure
- Lack of zoning regulations for special needs housing
- Lack of a fair housing discussion in zoning and planning documents
- Compliance with HUD AFFH requirements

The technical assistance will consist of providing background information on the above impediments and model ordinances or regulations that adequately address the fair housing concerns posed by the impediments.

FHCOC is awaiting finalized identification of public sector impediments by participating jurisdictions to begin providing needed or requested technical assistance.