City of Laguna Niguel

Annual Action Plan FY 2014-2015

EQUAL HOUSING OPPORTUNITY

City of

Laguna Niguel

Annual Action Plan

FY 2014-2015

Fifth Year of the 2010-2015 Consolidated Plan

Laguna Niguel Community Development Department
30111 Crown Valley Pkwy Laguna Niguel, CA 92677

Final
May 2014

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Annual Action Plan

The CPMP Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

Narrative Responses

ACTION PLAN

The Annual Action Plan includes the SF 424 and is due every year no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

Executive Summary 91.220(b)

1. The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

1. Executive Summary

The City Laguna Niguel is in its fifth year of implementing the 2010-2015 Consolidated Plan. The following Action Plan serves as the City's application to HUD for Community Development Block Grant (CDBG) funding for program year 2014-2015. During the development of the FY 2010-2015 Consolidated Plan the following five priorities were developed:

- **Priority 1: Affordable Housing:** Promote, preserve, and assist in the development of affordable housing for low- and moderate-income residents, special needs groups, those at-risk of homelessness, and disproportionately impacted residents.
- **Priority 2: Infrastructure and Facilities:** Improve and expand infrastructure and facilities that benefit low- and moderate-income neighborhoods and residents.
- **Priority 3: Public Services:** Provide and improve access to public services for low- and moderate-income persons and those with special needs.
- **Priority 4: Economic Development:** Provide for the economic development needs of low- and moderate- income persons and neighborhood target areas.
- **Priority 5: Administration and Planning:** Provide for administration and planning activities to develop housing and community development strategies and programs needed to carry out actions that address identified needs in the Consolidated Plan.

Only eligible activities that received a High or Medium priority level in the FY 2010-2015 Consolidated Plan, will be funded during the five year period. For FY 2014-2015, Laguna Niguel will receive an allocation of $269,396, a slight increase from the last allocation ($257,779), in CDBG entitlement funds from the US Department of Housing and Urban Development (HUD) to spend on housing and community development activities to address the priority needs and goals established. In addition, any unexpended Administration funds from FY 2013-2014 will be designated for Capital Projects.

The Annual Action Plan is due to HUD by May 15th of each year. The City anticipates pursuing the following projects with the specified CDBG funding amounts during the coming year, each of which will meet a HUD required outcome and objective as listed:

Table 1: Laguna Niguel FY 2014-2015 CDBG Funded Projects				
Project Name	**Objective**	**Outcome**	**Allocation**	**Goal**
Consolidated Plan Priority 2: Infrastructure and Facilities- High Priority				
Street Improvement Project	Suitable Living Environment (SL)	Availability/Accessibility (1)	$157,039 (plus $186,123 carryover)	1 Public Facility/ 2,861 People
Push Button Project	Suitable Living Environment (SL)	Availability/Accessibility (1)	$25,000	1 Public Facility/ 830 People
		Subtotal	**$182,039**	**3,691 People**
Consolidated Plan Priority 3: Public Services (15 Percent Cap)- High Priority				
Age Well Senior Services	Suitable Living Environment (SL)	Availability/Accessibility (1)	$3,150	112 People
Boys and Girls Club	Suitable Living Environment (SL)	Availability/Accessibility (1)	$3,150	75 People
Families Forward	Suitable Living Environment (SL)	Availability/Accessibility (1)	$3,659	300 People
Laura's House	Suitable Living Environment (SL)	Availability/Accessibility (1)	$3,150	122 People
South County Outreach	Suitable Living Environment (SL)	Availability/Accessibility (1)	$8,800	570 People
South Coast YMCA	Suitable Living Environment (SL)	Availability/Accessibility (1)	$15,500	105 People
Vocational Visions Adult Day Programs	Suitable Living Environment (SL)	Availability/Accessibility (1)	$3,000	16 People
		Subtotal	**$40,409**	**1,300 People**
Consolidated Plan Priority 5: Administration and Planning (20 Percent Cap)- High Priority				
CDBG Administration	Suitable Living Environment (SL)	Availability/Accessibility (1)	$37,000	N/A
Fair Housing Council of Orange County*	Suitable Living Environment (SL)	Availability/Accessibility (1)	$9,948	120 Residents
		Subtotal	**$46,948**	**120 People**
		FY 2014-2015 Grand Total	**$269,396**	

*Also meets Priority 3 public service needs. Consolidated Plan Priorities 1 and 4 are not being funded in FY 2014-15.

The City's accomplishments for FY 2014-2015 will be evaluated in the Consolidated Annual Performance Evaluation Report (CAPER) due to HUD 90 days after the close of a program year. The fiscal year begins July 1st and ends June 30th; with the CAPER due at the end of September.

Since becoming an entitlement jurisdiction, the City of Laguna Niguel has funded public service projects to the maximum cap of 15 percent, administration projects at just under the 20 percent cap (including fair housing services), and various facility and infrastructure projects in accordance with HUD regulations.

Citizen Participation 91.220(b)

2. Provide a summary of the citizen participation and consultation process (including efforts to broaden public participation in the development of the plan.
3. Provide a summary of citizen comments or views on the plan.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

2. Summary of Citizen Participation and Consultation Process

A Notice of Funding Availability (NOFA) was published in the *Laguna Niguel News* and on the City website on January 2nd and January 16th 2014. The application deadline was January 31, 2014. The March 4, 2014 and March 18, 2014 Ad-Hoc Committee meetings were posted 72 hours prior on March 1, 2014 and March 15, 2014 respectively at City Hall. Information pertaining to the above was also posted on the City website and was emailed to the Community Development Block Group notification list.

The FY 2014-2015 Annual Action Plan was available for the 30-day public review and comment period beginning on March 20, 2014 and ending April 18, 2014. The City advertised the availability of the FY 2014-2015 Annual Action Plan for public review and comment in the *Laguna Niguel News* on March 20, 2014. Documents were provided for review at Laguna Niguel's City Hall (at the City Clerk's Office and in the Community Development Department), Sea Country Senior and Community Center, Laguna Niguel Library and on the City's Website. It was also available for review by requesting a copy in person, by telephone, fax, or e-mail. Copies of the proof of publications for the notices can be found in Appendix F of this FY 2014-2015 Annual Action Plan.

On April 15, 2014 at 7:00 pm, the City Council reviewed the various projects proposed for the FY 2014-2015 CDBG program and draft Annual Action Plan, which also served as a third public meeting. Public notice of this meeting was included in the March 20, 2014 notice published in the *Laguna Niguel News*. The City Council adopted the FY 2014-2015 Annual Action Plan at their regularly scheduled City Council meeting on May 6, 2014 at 7:00 pm. Notice and agenda for this meeting was posted at City Hall.

There were no comments that were not accepted.

3. Summary of Citizen Comments

Summary of Citizen Comments: As stated, a 30-day public review of the Draft Action Plan was provided from March 20, 2014 through April 18, 2014. The City held its first public meeting regarding the FY 2014-2015 Action Plan on March 4, 2014, a second meeting on March 18, 2014, and a third on April 15, 2014. The City received the following public comments during the 30-day public review period and/or during the public meetings:

- **During the meeting on March 4, 2014** representatives from the Boys and Girls Club, Families Forward, Fair Housing Council of Orange County, Laura's House, South County Outreach, and Vocational Visions spoke about the services each agency would provide during FY 2014-2015.
- **During the meeting on March 18, 2014** representatives from Age Well Senior Services and Vocational Visions spoke about the services and projects each agency would provide during FY 2014-2015.
- **During the April 15th meeting**, several public service providers spoke in support of proposed funding allocations.
- **During the May 6th meeting** no comments were received.

4. Written Explanation of Comments Not Accepted

N/A- There were no comments that were not accepted by the City.

Resources 91.220(c)(1)) and (c)(2)

5. Identify the federal, state, and local resources (including program income) the jurisdiction expects to receive to address the needs identified in the plan**.** Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.
6. Explain how federal funds will leverage resources from private and non-federal public sources.

5. Federal, State, and Local Resources

The City of Laguna Niguel has access to a number of federal, state, and local resources to achieve its housing and community development priorities, one of which is the Community Development Block Grant (CDBG). The CDBG program is authorized by the Housing and Community Development Act of 1974, which provides eligible entitlement communities with annual grants that can be used to provide decent housing, suitable living environments, and expanded economic opportunities, principally for low- and moderate-income persons.

For FY 2014-2015, Laguna Niguel will receive an allocation of $269,396 in CDBG entitlement funds from the US Department of Housing and Urban Development (HUD). In addition, $186,123 of unexpended carryover from the FY 2013-14 ADA Restroom Project at Crown Valley Park is available due to that project being cancelled. Any unexpended Administration funds from FY 2013-2014 will also be designated for Capital Projects.

Currently, there are no programs administered that generate program income. The County of Orange will receive Section 8 funds to administer the Voucher Program and approximately 112 Laguna Niguel residents will benefit. The City's goal for the CDBG funds is to leverage federal, state, and local funds to maximize the number of households that can be assisted.

6. Leveraged Resources from Private and Non-Federal Public Sources

Community Development programs are funded with general funds and CDBG. In addition, the Senior Services Mobility Transportation Program, administered by Age Well Senior Services, leverages an Orange County Transportation Authority (OCTA) grant. Other leveraged funding from private and non-federal public sources are as follows:

- Article 4/Senior Transportation Grant $72,000
- State COPS Grant $100,000
- OCTA Tier I Environmental Cleanup Grant $93,000
- OCTA M1 $5,100,000
- OCTA M2 $1,500,000
- OCTA M2 Tier 2 Grant $883,300
- State Water Resources Control Board Grant $1,437,000
- State Recreational Trails Program Grant $560,000

Annual Objectives 91.220(c)(3)

***If not using the CPMP Tool:** Complete and submit Table 3A.*

** **If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls*

7. Provide a summary of specific objectives that will be addressed during the program year.

7. Specific Annual Objectives

The following table represents the specific annual objectives to be undertaken during FY 2014-2015:

HUD Table 3A: Summary of Specific Annual Objectives						
Objective #	**Specific Objectives**	**Sources of Funds**	**Performance Indicators**	**Expected Number**	**Actual Number**	**Outcome / Objective***
Rental Housing Objectives						
Priority 1	Section 8 Rental Assistance	County	Households	112 (H)	N/A	DH-2
Owner Housing Objectives						
Priority 1	Mortgage Credit Certificates	County	Households	2 (H)	N/A	DH-2
Homeless Objectives						
Priority 3	Families Forward	CDBG	People	300 (P)	N/A	SL-1
Priority 3	Laura's House	CDBG	People	122 (P)	N/A	SL-1
Priority 3	South County Outreach	CDBG	People	570 (P)	N/A	SL-1
Special Needs Objectives						
Priority 3	See Public Service and Homeless Objectives					
Community Development Objectives						
Priority 1-5	See Infrastructure Objectives					
Infrastructure Objectives						
Priority 2	Capital Street Improvement Project	CDBG	Public Facility/People	1/2,861 (P)	N/A	SL-1
Public Facilities Objectives						
Priority 2	Capital ADA Push Button Project	CDBG	Public Facility/People	1/830 (P)	N/A	SL-1
Public Services Objectives						
Priority 3	Age Well Senior Services	CDBG	People	112 (P)	N/A	SL-1
Priority 3	Boys and Girls Club	CDBG	People	75 (P)	N/A	SL-1
Priority 1, 3, 5	Fair Housing Services*	CDBG	People	120 (P)	N/A	SL-1
Priority 3	South Coast YMCA	CDBG	People	105 (P)	N/A	SL-1
Priority 3	Vocational Visions	CDBG	People	16 (P)	N/A	SL-1
Economic Development Objectives						
Priority 4	N/A	N/A	N/A	N/A	N/A	N/A
Other Objectives						
Priority 5	CDBG Administration	CDBG	N/A	N/A	N/A	N/A

*Funds are allocated out of the administration cap for this activity (H) = Households (P) = People (J) = Jobs

***Outcome / Objective Codes**

	Availability / Accessibility	**Affordability**	**Sustainability**
Decent Housing	DH-1	DH-2	DH-3
Suitable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.

☒	**Objective Category: Decent Housing** Which includes:	☒	**Objective Category: Suitable Living Environment** Which includes:	☐	**Objective Category: Economic Opportunities** Which includes:
☒	assisting homeless persons obtain affordable housing	☐	improving the safety and livability of neighborhoods	☐	job creation and retention
☒	assisting persons at risk of becoming homeless	☐	eliminating blighting influences and the deterioration of property and facilities	☒	establishment, stabilization and expansion of small business (including micro-businesses)
☐	retaining the affordable housing stock	☒	increasing the access to quality public and private facilities	☐	the provision of public services concerned with employment
☒	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	☐	reducing the isolation of income groups within areas through spatial de-concentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	☒	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
☐	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	☐	restoring and preserving properties of special historic, architectural, or aesthetic value	☐	availability of mortgage financing for low- income persons at reasonable rates using non-discriminatory lending practices
☐	providing affordable housing that is accessible to job opportunities	☐	conserving energy resources and use of renewable energy sources	☐	access to capital and credit for development activities that promote the long-term economic social viability of the community

Description of Activities **91.220(d) and (e)**

***If not using the CPMP Tool:** *Complete and submit Table 3C*

*** If using the CPMP Tool:** *Complete and submit the Projects Worksheets and the Summaries Table*

8. Provide a summary of the eligible programs or activities that will take place during the program year to address the priority needs and specific objectives identified in the strategic plan. Describe the outcome measures for activities in accordance with Federal Register Notice dated March 7, 2006, i.e., general objective category (decent housing, suitable living environment, economic opportunity) and general outcome category (availability/accessibility, affordability, sustainability).

8. Summary of Activities to be Undertaken

Table 1 in the Executive Summary and HUD's Table 3A above illustrate eligible programs and activities that will take place during the program year to address the priority needs and specific objectives identified in the five-year strategic plan during FY 2014-2015. In addition, the list of proposed projects (HUD's required Table 3C) can be found in Appendix D. These tables describe in further detail the activities to be undertaken in FY 2014-2015. The outcome measures for each of the activities are in accordance with Federal Register Notice dated March 7, 2006 [i.e., general objective category (decent housing, suitable living environment, economic opportunity) and general outcome category (availability/accessibility, affordability, sustainability)].

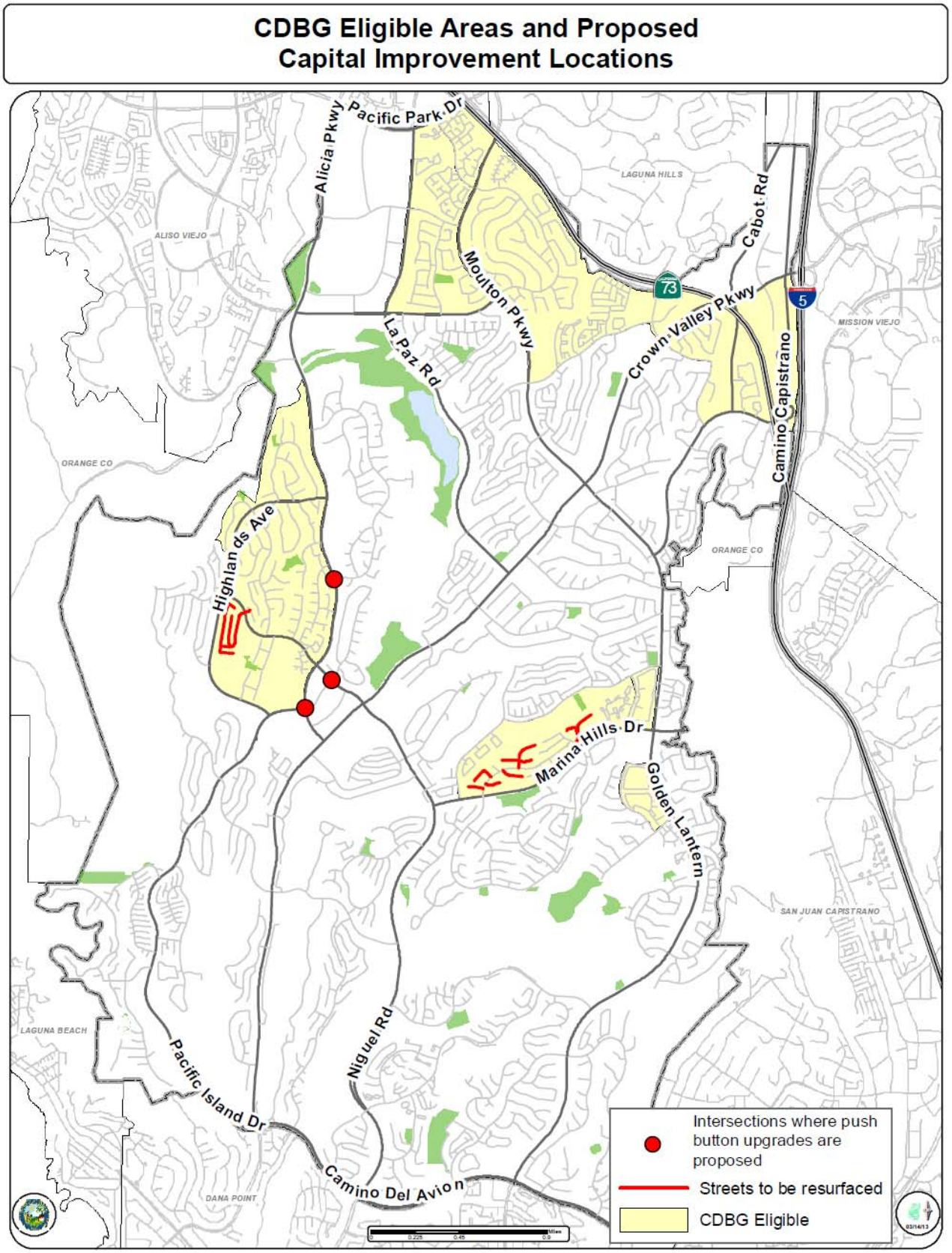
Geographic Distribution / Allocation Priorities 91.220(d) and (f)

9. Describe the geographic areas of the jurisdiction (including areas of low-income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
10. Describe the reasons for the allocation priorities, the rationale for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) during the next year, and identify any obstacles to addressing underserved needs.

9. Geographic Distribution

Geographic distribution of funding is based on the nature of the activity to be funded. The City intends to fund activities in areas most directly impacted by the needs of low- and moderate-income residents and those with other special needs. Approximately 15 percent of the City's CDBG allocation will be provided for public service activities ($40,409), which are provided to low- and moderate-income residents throughout the community. An additional 17 percent ($46,948) will be allocated to Administration and Planning and fair housing services administered by the Fair Housing Council of Orange County, which are also provided to low- and moderate-income residents throughout the community. The remaining 68 percent ($182,039) plus $186,123 of FY 2013-14 carryover, and any unexpended Administration funds from FY 2013-2014 will be designated for Capital Projects administered by the Public Works Department and proposed to take place in the low- and moderate-income areas shown in Figure 2 on page 14. Individual project locations for each activity funded can also be found in the List of Proposed Projects (Appendix D). A map illustrating the location of low- and moderate-income areas in Laguna Niguel is shown below in Figure 1.

Figure 1


CDBG Eligible Areas and Proposed
Capital Improvement Locations
Pacific Park Dr
Alicia Pkwy
LAGUNA HILLS
ALISO VIEJO
Cabot Rd
Moulton Pkwy
73
Crown Valley Pkwy
5
MISSION VIEJO
La Paz Rd
Camino Capistrano
ORANGE CO
Highlands Ave
ORANGE CO
Marina Hills Dr
Golden Lantern
SAN JUAN CAPISTRANO
LAGUNA BEACH
Niguel Rd
Pacific Island Dr
Camino Del Avion
DANA POINT
Intersections where push button upgrades are proposed
Streets to be resurfaced
CDBG Eligible

10. Priorities, Rationale, and Obstacles to Addressing Underserved Needs

Allocation priorities and rationale for allocating investments geographically within the jurisdiction were developed during the FY 2010-2015 Consolidated Plan cycle. Staff developed five main priorities, based on input from various departments, non-profit agencies, and resident input provided by the housing and community development needs survey, which resulted in various levels of priority as related to eligible activities. Activities with a High or Medium priority level will be recommended for funding during the next five years, while those with Low or No Such Need priority levels will not be funded unless an urgent need exists and a substantial amendment to the Consolidated Plan is made.

Obstacles to addressing underserved needs include lack of funding for the construction of senior housing and the City does not have any Section 202 housing for senior households. To overcome these obstacles, the City has adopted a Managed Care Overlay District to permit senior housing in commercial areas. The Overlay has resulted in three senior housing projects.

Laguna Niguel does not qualify to receive HOME funds, which are typically used to address affordable housing activities. The City recently amended the Gateway Specific Plan to allow Mixed Use and Multifamily projects which has resulted in the approval of several multifamily projects with 5 to 10 percent of the units conditioned to be affordable to very-low or low income households.

In addition, the City participates in the County of Orange's programs for homeownership assistance. The County's Mortgage Credit Certificate (MCC) Program is a means of providing financial assistance for low- and moderate-income first-time homebuyers to purchase affordable single-family housing by receiving a 15 percent tax credit. In addition, the County's Mortgage Assistance Program (MAP) provides silent second loans to assist low-income first-time homebuyers pay for down payment and/or closing costs to purchase a home; however, they are currently not accepting applications. Thus, there are limited opportunities and funding available to provide affordable housing within the City and in Orange County.

Annual Affordable Housing Goals 91.220(g)

***If not using the CPMP Tool:** Complete and submit Table 3B Annual Housing Completion Goals.*

If using the CPMP Tool: Complete and submit the Table 3B Annual Housing Completion Goals.*

11. Describe the one-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing using funds made available to the jurisdiction and one-year goals for the number of households to be provided affordable housing through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units using funds made available to the jurisdiction. The term affordable housing shall be defined in 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

11. Annual Affordable Housing Goals

As stated, there are limited opportunities and funding available to provide affordable housing opportunities. They City will attempt to seek new partnerships in the upcoming year. During FY 2014-2015 the City will address affordable housing needs through the following program:

Priority 1: Affordable Housing

- **Section 8 Rental Assistance (DH-2):** The City will continue to provide Section 8 rental assistance to extremely low- and low-income households through the Orange County Housing Authority (OCHA) Voucher Program. Approximately 112 low-income (0-50 percent MFI) renter-households will be assisted, which will include elderly, single-parent, and disabled special needs households.

- **Mortgage Credit Certificates (DH-2):** The City participates with the County of Orange in its Mortgage Credit Certificate (MCC) Program as a means of providing financial assistance for the purchase of single-family housing. A mortgage credit certificate is a certificate authorizing first-time home buyers to take a federal income tax credit of up to 15 percent of the annual interest paid on the mortgage. The program targets income levels that are equal to or less than 115 percent of the Orange County median income. Certificates are made available on competitive, first-come-first-serve basis.

Table 3B below illustrates the City's annual housing completion goals for FY 2014-2015.

Table 3B: ANNUAL AFFORDABLE HOUSING COMPLETION GOALS						
Grantee Name: City of Laguna Niguel **Program Year:** FY 2014-2015	**Expected Annual Number of Units To Be Completed**	**Actual Annual Number of Units Completed**	**Resources used during the period**			
			CDBG	**HOME**	**ESG**	**HOPWA**
BENEFICIARY GOALS (Sec. 215 Only)						
Homeless households	0	0	☐	☐	☐	☐
Non-homeless households	0	0	☐	☐	☐	☐
Special needs households	112	0	☐	☐	☐	☐
Total Sec. 215 Beneficiaries*	112	0	☐	☐	☐	☐
RENTAL GOALS (Sec. 215 Only)						
Acquisition of existing units	0	0	☐	☐		☐
Production of new units	0	0	☐	☐		☐
Rehabilitation of existing units	0	0	☐	☐	☐	☐
Rental Assistance	112	0	☐	☐		☐
Total Sec. 215 Affordable Rental	112	0	☐	☐	☐	☐
HOME OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	0	0	☐	☐		
Production of new units	0	0	☐	☐		
Rehabilitation of existing units	0	0	☐	☐		
Homebuyer Assistance	2	0	☐	☐		☐
Total Sec. 215 Affordable Owner	2	0	☐	☐	☐	☐
COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	0	0	☐	☐		☐
Production of new units	0	0	☐	☐		☐
Rehabilitation of existing units	0	0	☐	☐	☐	☐
Rental Assistance	112	0	☐	☐		☐
Homebuyer Assistance	2	0	☐	☐		☐
Combined Total Sec. 215 Goals*	114	0	☐	☐	☐	☐
OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)						
Annual Rental Housing Goal	112	0	☐	☐	☐	☐
Annual Owner Housing Goal	2	0	☐	☐	☐	☐
Total Overall Housing Goal	114	0	☐	☐	☐	☐

The goals listed above were derived as follows: 112 renter-households based on the Section 8 Voucher Program and two owner-households based on the MCC program.

Public Housing 91.220(h)

12. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
13. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

12. Public Housing Needs and Resident Initiatives

The City does not have public housing; and therefore does not undertake activities to increase resident initiatives; however, the City will continue to support the Section 8 rental assistance voucher program for low-income households administered through the Orange County Housing Authority (OCHA).

13. Public Housing Agency Performance

The City does not have its own public housing agency; and therefore does not undertake activities to improve performance. The City coordinates with the Orange County Housing Authority (OCHA), which is not designated as troubled.

Homeless and Special Needs 91.220(i)

14. Describe, briefly, the jurisdiction's plan for the investment and use of available resources and describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness.
15. Describe specific action steps to address the needs of persons that are not homeless identified in accordance with 91.215(e).
16. Homelessness Prevention—Describe planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.

14. Chronic Homelessness

In general, a chronically homeless person is an unaccompanied disabled individual who has been continuously homeless for over one year. The City of Laguna Niguel coordinates with the County of Orange on strategies to end homelessness, including chronic homelessness. The City seeks to fund agencies that assists clients in locating permanent affordable housing in the area, provides job placement and referrals, and provides counseling in order to address the issue of chronic homelessness. During FY 2014-2015, the City may provide non- CDBG funding to 2-1-1 Orange County, which is a resource referral program administered by one of three partners in charge of administering the County's Continuum of Care. 2-1-1 works with Orange County Partnership (OCP) and the County in planning efforts around the County's 10 Year Plan to End Homelessness including discussions regarding moving toward HMIS/2-1-1 integration in order to allow 2-1-1 to provide shelter referrals based on "real time" bed availability, and to track clients from the point of inquiry with 2-1-1. 2-1-1 OC piloted a centralized intake program in partnership with OCP during the HPRP grant, providing centralized intake and referral to appropriate programs, directly entering client information into CMIS in order to increase the speed and ease of the intake process for clients and agencies. 2-1-1 staff meets monthly with the homeless service providers to discuss resources and best practices for dealing with the homeless population.

In addition, the City will provide funding in the amount $3,659 to Families Forward to provide homeless shelter and services including: housing, food, rent assistance and career services. Through the organization's programs, homeless families and families at risk of becoming homeless regain self-sufficiency and are once more able to live independently. South County Outreach will

receive $8,800 of CDBG funds to provide direct rental assistance to clients in order to prevent eviction and homelessness. Food Pantry services allow clients to redirect money they would be spending on food to help pay rent and prevent homelessness. Additionally, all food clients receive case management services, an opportunity to complete a Self-Sufficiency Action Plan, and connections to resources and referrals to help prevent homelessness.

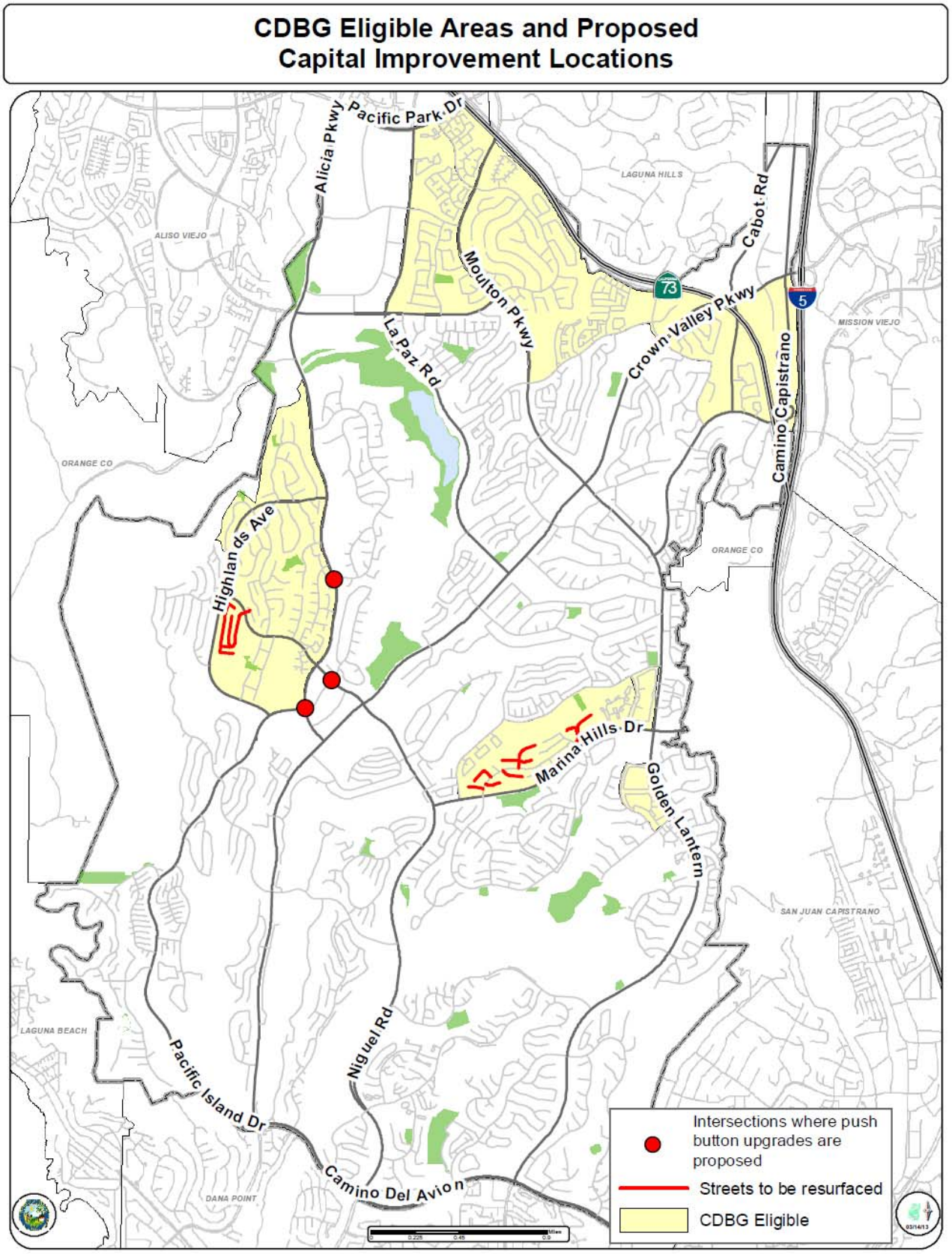
15. Non-Homelessness Community Development Needs

Specific projects that will be administered in FY 2014-2015 to address the needs of persons that are not homeless identified in accordance with 91.215(e) will include the following non-housing community development activities as related to each corresponding priority:

Priority 2: Infrastructure and Community Facilities

- **Capital Street Improvement Project (SL-1):** Funds will be used to make street improvements in the low- and moderate-income areas. **This project will receive an allocation of $157,039 to assist one (1) public infrastructure project to benefit 2,861 low- and moderate-income people living in the target area. The project will also be allocated $186,123 of unexpended carryover from the cancelled FY 2013-14 Crown Valley Park ADA Restroom Project.** Figure 2 below illustrates the location of the streets to be improved. Any unexpended carryover from FY 2013-14 Administration funds will also be allocated to this project.
- **ADA Pushbutton Project (SL-1):** Funds will be used to improvements to walkability for disabled adults in the low- and moderate-income areas via installation of Pushbuttons. **This project will provide $25,000 to assist one (1) public infrastructure project that will benefit 830 disabled adults in the area.** Figure 2 below illustrates the location of the pushbuttons.

Figure 2 Capital Project Locations



Priority 3: Community Services

Youth Services

- **South Coast YMCA - Child Care Scholarships (SL-1):** The South Coast YMCA offers year round childcare programs at eight locations within Laguna Niguel. Services include before and after school care for elementary school-aged girls and boys. CDBG funding will be used to provide childcare scholarships for low- and moderate-income households. **This agency will be provided $15,500 to assist 105 youth from low- and moderate-income households.**

- **Boys & Girls Club of Capistrano Valley- After-school Program (SL-1):** The Boys and Girls Club offers an after school program serving youths ages 7 through 18. The program includes academic support, leadership, recreational, and social and physical activity programs that target low to moderate-income youth of Laguna Niguel. **This agency will be provided $3,150 to assist 75 youth from low- and moderate-income households.**

Senior Services

- **Age Well Senior Services, formerly South County Senior Services – Meals on Wheels Program (SL-1):** The Meals on Wheels Program provides home delivered and congregate meals to homebound elderly and disabled residents within Laguna Niguel. **This agency will be provided $3,150 to assist 112 senior residents.**
-

Special Need Services

- **Laura's House (SL-1):** Laura's House provides direct services to Laguna Niguel residents experiencing domestic violence. Services include a Shelter Program, 24-hour crisis intervention and referral, counseling services, legal services, clothing and food, support groups, and emergency transportation to shelter. **This agency will be provided $3,150 to assist 122 victims of domestic violence.**

- **Families Forward (SL-1):** The homeless prevention program is designed to shift income-eligible families residing in South Orange County from financial crisis to self-sufficiency. The goal is to help families regain self-sufficiency by providing a variety of services, including: financial counseling, career coaching, life skills education and case management to at-risk and homeless families with children. **This agency will be provided $3,659 to assist 300 homeless and/or at-risk people.**

- **South County Outreach (SL-1):** South County Outreach provides comprehensive social services to low- income residents, the homeless and potentially homeless individuals and families in South Orange County. Services include rental assistance, transitional housing, utility payment assistance, food distribution and transportation/relocation/medical assistance. **This agency will be provided $8,800 to assist 570 low- and moderate-income people.**

- **Vocational Visions (SL-1):** Vocational Visions provides vocational and life skills training and support services to adults with developmental and other disabilities. **This agency will be provided $3,000 to assist 16 disabled adults.**

In addition, 2-1-1 Orange County's resource referral program that links residents in need with shelter and supportive services may be provided with non-CDBG funds to assist 1,100 people.

Priority 5: Planning and Administration

- **CDBG Administration (SL-1):** CDBG funds will be allocated to support the general administration of the CDBG program to facilitate housing and community development. **The City will allocate $37,000 to assist with Planning and Administration costs associated with administering the City's CDBG grant.**

- **Fair Housing and Tenant/Landlord Counseling (SL-1):** The Fair Housing Council pro-actively counteracts unlawful housing discrimination by responding to housing discrimination and landlord/tenant disputes, and providing community information packets to residents of Laguna Niguel. **This agency will be provided $9,948 from the City's administration cap to provide fair housing services to 120 households.**

16. Homelessness Prevention and Those At-Risk of Homelessness

The City of Laguna Niguel follows a comprehensive strategy to address and prevent homelessness. This strategy is comprised of activities to address the following three priorities:

- Emergency and Transitional Housing
- Persons At-Risk of Becoming Homeless
- Persons in Transition from Homelessness to Permanent Housing

Laguna Niguel provides funding to public service providers to help mitigate homelessness by serving both the homeless and the at-risk of homelessness populations. During FY 2014-2015, the City will provide funding for Families Forward ($3,659), Laura's House ($3,150), and South County Outreach ($8,800) to provide services for the homeless, victims of domestic violence, and those at-risk of homelessness. In addition, the City coordinates with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households. The City may be providing 2-1-1 Orange County with non-CDBG funds to administer a resource referral program as one of the three partners in charge of administering the County's Continuum of Care.

Barriers to Affordable Housing 91.220(j)

17. Describe the actions that will take place during the next year to remove barriers to affordable housing.

17. Barriers to Affordable Housing

Constraints to the provision of adequate and affordable housing are posed by factors such as market and governmental factors. These constraints may result in housing that is not affordable to low- and moderate-income households, or may render residential construction economically infeasible for developers. Constraints to housing production significantly impact households with low- and moderate-incomes and special needs. The City of Laguna Niguel reviews proposed fee increases for permits and application for impact on the production of new housing units and/or rehabilitation of existing units. Fee waivers may be considered for projects that promote housing priorities identified in the Consolidated Plan. The City will continue to work with developers and inform them of the City's policies. To address the needs of renters, the City will continue to support fair housing services. The City contracts with Fair Housing Council of Orange County for fair housing services including tenant/landlord counseling, educational events, and response to discrimination complaints. The City will also continue to coordinate with the Orange County Housing Authority to provide Section 8 Vouchers to low-income renter households.

Other Actions 91.220(k)

18. Describe the actions that will take place during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce the number of housing units containing lead-based paint hazards, reduce the number of poverty-level families develop institutional structure, enhance coordination between public and private agencies (see 91.215(a), (b), (i), (j), (k), and (l)).
19. Describe the actions to coordinate its housing strategy with local and regional transportation planning strategies to ensure to the extent practicable that residents of affordable housing have access to public transportation.

18. Other Actions

A. Obstacles to Addressing Underserved Needs

According to the Consolidated Plan, one of the most underserved housing needs in the City is housing opportunities for senior citizens. Obstacles to addressing underserved needs include lack of funding for the construction of senior housing and the City does not have any Section 202 housing for senior households. To overcome these obstacles, the City has adopted a Managed Care Overlay District to permit senior housing in commercial areas. The Overlay has resulted in three senior housing projects.

Laguna Niguel does not qualify to receive HOME funds, which are typically used to address affordable housing activities. The City recently amended the Gateway Specific Plan to allow Mixed Use and Multifamily projects which has resulted in the approval of several multifamily projects with 5 to 10 percent of the units conditioned to be affordable to very-low or low income households.

B. Foster and Maintain Affordable Housing

As a small city, Laguna Niguel's annual entitlement CDBG allocation is limited. As such, the City does not currently use CDBG funds for the development or rehabilitation of affordable housing. An impediment to providing these programs is the high price of real estate in Laguna Niguel. Programs such as housing acquisition and rehabilitation would be particularly expensive for the City given the area's real estate market. Furthermore, the high price of housing in Laguna Niguel would make it difficult to assist low- and moderate-income individuals and families with their housing needs. Another important element in the City's approach to decent housing is the use of Section 8 Rental Vouchers. The City's five-year objective is to provide 112 Section 8 rent subsidies to low-income households annually.

C. Evaluate and Reduce Lead-based Paint Hazards

The majority of Laguna Niguel's housing stock was built after 1985. Consequently, the housing stock does not contain a significant number of units with potential lead-based paint (LBP) hazards. According to HUD CHAS data, approximately 98 low- and moderate-income housing units may contain LBP hazards. The City supports the HUD and EPA efforts to disseminate public information on the health hazards of LBP. LBP brochures are available at the City counter.

D. Remove Barriers to Affordable Housing

Constraints to the provision of adequate and affordable housing are posed by factors such as market and governmental factors. These constraints may result in housing that is not affordable to lower and moderate income households, or may render residential construction economically infeasible for developers. Constraints to housing production significantly impact households with lower and moderate incomes and special needs.

The City of Laguna Niguel reviews proposed fee increases for permits and application for impact on the production of new housing units and/or rehabilitation of existing units. Fee waivers may be considered for projects that promote housing priorities identified in the Consolidated Plan. Several recently approved apartment complexes received density bonuses that will result in the provision of 5 to 10 percent of the units as affordable to very-low or low income households.

To address the needs of renters, the City will continue to support fair housing services. The City contracts with Fair Housing Council of Orange County for fair housing services including: landlord/tenant counseling, educational events, response to discrimination complaints, and periodic testing as related to addressing needs of the City's Analysis of Impediments to Fair Housing Choice.

E. Anti-Poverty Strategy

The City implements its anti-poverty strategy through economic development and job training programs. Specifically, the City will assist service providers that provide employment training for low- and moderate-income residents. In addition, the city supports various other programs that have overlapping benefits, which seek to aid the anti-poverty strategy, such as CalWorks and Orange County Workforce Investment Board programs provided by the County of Orange. The City is also in the process of developing a strategy to revitalize the City's Gateway area to increase economic development within the area.

F. Develop Institutional Structure

The City coordinates activities with numerous private and governmental and service agencies. To further develop the institutional structure the City will target programs to those areas and populations exhibiting the greatest need as indicated in the Consolidated Plan. The City will also encourage public service providers to coordinate and work together to maximize services and limit duplication of efforts.

The City has retained a consultant to assist in administering the CDBG program, and the City continues to utilize an Advisory (Ad Hoc) Grants Subcommittee to review and make recommendations on public service grants.

G. Enhance Coordination

The City of Laguna Niguel will continue to coordinate with the County Housing Authority on housing activities administered by this organization, primarily Section 8. This will involve continued participation as a member of the Orange County Housing Authority Advisory Committee.

To strengthen the housing delivery system, the City will work with local non-profit housing organizations, and evaluate their qualification for CHDO or CBDO certification. A locally certified CHDO or CBDO is eligible to apply for direct funding from HUD. In addition, the City will continue to utilize an Ad-Hoc Committee to review and make recommendations on public service grants. The committee is comprised of two City Council members and City staff.

19. Actions to Coordinate Housing Strategy with Local and Regional Transportation Planning

During FY 2014-2015 the City will continue to coordinate the housing strategy with local and regional transportation planning strategies to ensure to the extent practicable that residents of affordable housing have access to public transportation. The City recently updated its Gateway Specific Plan, primarily to allow multi-family residential development in the area, which is located adjacent to the Interstate 5 freeway and the San Joaquin Hills Transportation Corridor. Two bus routes transverse the Gateway area. Most importantly, a Metrolink transit station is also located in the Gateway area. The Specific Plan allows as many as 2,994 multi-family dwelling units, at densities as high as 120 dwelling units per acre. The Gateway Specific Plan area would accommodate provision of affordable housing units, which the state department of Housing and Community Development considers feasible at a density of 30 dwelling units per acre or greater.

The Specific Plan project is in line with regional housing goals and strategies to locate residential development in infill areas and in proximity to transit stations. The City's efforts to date have been recognized regionally, including a Compass Blueprint grant from SCAG, a TOD transportation grant from Caltrans, and a Reduce Orange County Congestion grant, also through Caltrans.

PROGRAM SPECIFIC REQUIREMENTS

CDBG 91.220(l)(1)

1. Identify program income expected to be received during the program year, including:
   -- amount expected to be generated by and deposited to revolving loan funds;
   -- total amount expected to be received from each new float-funded activity included in this plan; and
   -- amount expected to be received during the current program year from a float-funded activity described in a prior statement or plan.
2. Program income received in the preceding program year that has not been included in a statement or plan.
3. Proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in its strategic plan.
4. Surplus funds from any urban renewal settlement for community development and housing activities.
5. Any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.
6. Income from float-funded activities.
7. Urgent need activities, only if the jurisdiction certifies.
8. Estimated amount of CDBG funds that will be used for activities that benefit persons of low- and moderate income.

1. Program Income

No CDBG-funded program/activity administered by the City generates program income. No revolving loan fund or floating fund has been established by the City.

2. Prior Year Program Income

No CDBG-funded program/activity administered by the City generates program income; thus, there is no program income expected to be available from the preceding year.

3. Proceeds from Section 108 Loan Guarantees

The City has not received Section 108 loan funds; thus, there are no proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the strategic plan.

4. Surplus from Urban Renewal Settlements

The City does not have any urban renewal surplus funds for community development and housing activities.

5. Grant Funds Returned to the Line of Credit

The City has not been required to return any funds to the line of credit.

6. Income from Float-Funded Activities

The City has not established any float- funded activities that would generate income.

7. Urgent-Need Activities

No urgent need activities were identified by the City for FY 2014-2015.

8. CDBG Funds Used to Benefit Persons of Low- and Moderate-Income

The City of Laguna Niguel will receive an allocation of $269,396 for FY 2014-2015, 100 percent of which will benefit low- and moderate-income persons. The City proposes to fund the projects listed in Table 1, in the Executive Summary section of this Action Plan, which consists of the following: administration, fair housing services, public services, and a capital improvement project. Services for seniors, victims of domestic violence, homeless, those at-risk of becoming homeless, and adults with disabilities are qualified under the low- and moderate-income Limited Clientele (LMC) presumed benefit criteria. Child care scholarships are offered to children of low- and moderate-income households that are income qualified. Fair housing services are mandated by CDBG regulations and are available to residents citywide. The Capital Improvement projects will improve streets and public facilities in low- and moderate income eligible census tract block groups and is qualified using the low- and moderate-income area (LMA) national objective and for ADA projects LMC presumed benefit criteria of disabled adults.

HOME 91.220(l)(1)

1. Describe other forms of investment. (See Section 92.205)
   If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254.
2. If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, state its refinancing guidelines required under 24 CFR 92.206(b).
3. Resale Provisions -- For homeownership activities, describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).
4. HOME Tenant-Based Rental Assistance -- Describe the local market conditions that led to the use of HOME funds for tenant based rental assistance program. If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.
5. If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), describe these forms of investment.

6. Describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.
7. Describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal Housing Law applicable to such jurisdiction.
8. If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, state its financing guidelines required under 24 CFR 92.206(b).

N/A- Laguna Niguel is not currently eligible to receive HOME funds; these funds are utilized and administered by the County of Orange.

HOPWA 91.220(l)(3)

1. One year goals for the number of households to be provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family, tenant-based rental assistance, units provided in housing facilities that are being developed, leased, or operated.

N/A- Laguna Niguel is not currently eligible to receive HOPWA funds; these funds are utilized and administered by the City of Santa Ana.

OTHER NARRATIVES AND ATTACHMENTS

Include any action plan information that was not covered by a narrative in any other section. If optional tables are not used, provide comparable information that is required by consolidated plan regulations.

1. Monitoring Standards and Procedures

Careful evaluation of the housing and public service delivery system can be the most effective tool in detecting gaps and making appropriate modifications. Laguna Niguel will implement a monitoring plan for sub-grantees using the HUD suggested format. This includes in-house review of progress reports and expenditures and on-site visits of sub-recipients to ensure compliance with federal regulations. The monitoring system encourages uniform reporting to achieve consistent information on beneficiaries. Technical assistance is provided where necessary.

Project and financial data on CDBG-funded activities is maintained using the HUD software – IDIS (Integrated Disbursement Information System). Use of this system allows HUD staff easy access to local data for review and progress evaluation.

A. Sub-recipient Monitoring

The City conducts sub-recipient monitoring of all social service agencies that receive CDBG funds from the City on an annual basis. The agencies are monitored for compliance with HUD CDBG regulations, compliance with contractual obligations with City, recordkeeping, and procedures. The City also requires agencies to submit written quarterly reports of all program accomplishments, which are periodically reviewed to ensure that stated goals and objectives will be met.

Internal monitoring of affordable housing and other CDBG funded programs is conducted on a regular basis. In addition, the City will also conduct meetings with staff regarding program progress and performance in adhering to all established requirements. Reports are provided to City officials. This monitoring process is also part of the City's strategy to ensure timely expenditures.

2. Actions to Further Fair Housing Choice

The City of Laguna Niguel provides the Fair Housing Council of Orange County (FHCOC) with CDBG funds to establish, maintain, and further fair housing choices on an annual basis. The Compliance Division of the Council addresses complaints regarding all protected classes of discrimination under federal and state laws. Specifically, the Fair Housing Council of Orange County provides residents of Laguna Niguel with fair housing services such as: education and outreach to provide information about tenant/landlord and fair housing rights and obligations; landlord/tenant dispute resolution, and resolution of conflicts involving alleged housing discrimination.

The Consolidated Plan requires the City to certify that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within its jurisdiction and take appropriate action to overcome the effects of any identified impediments. The City of Laguna Niguel completed its last *Analysis of Impediments to Fair Housing Choice* (AI) in conjunction with the development of the Five-Year Consolidated Plan for FY 2010-2015. Conclusions and recommendations from the Analysis of Impediment for 2010 were as follows:

A. Conclusions and Impediments

The following is a list of conclusions made during the City's Analysis of Impediments to Fair Housing Choice that impact fair and affordable housing within Laguna Niguel. The City's CAPER describes accomplishments in addressing these impediments, so **the conditions listed below may or may not be present at the current time**.

- **Demographic:** While the City's FY 2010-2015 Consolidated Plan indicated that the City has grown rapidly in the last 20 years, the racial and ethnic composition of Laguna Niguel changed only gradually between 1990 and 2000. The majority of residents were White (77 percent), which is higher than the County (65 percent); though in 1990 Whites made 83 percent of the population. The two largest minority groups in the City were Hispanic households (10 percent) and Asian households (8 percent). Hispanics increased by two percentage points from 1990 to 2000, while African Americans remained at one percent and Asians at eight percent. The dissimilarity index indicated that Laguna Niguel appeared more integrated than the County of Orange as a whole. In August of 2009, the unemployment rate was 7.6 percent (2,900 workers); significantly lower than the County's unemployment rate of 12.6 percent during the same timeframe, though it was still higher than during 2005 when it was approximately four percent.
- **Income:** Median income reported in the 2000 Census was $80,733, much higher than the County median of $58,820 and surrounding cities. While the median income of each minority group in the City was lower than the overall median, they were substantially higher than those of minority groups in the County. Low- and moderate-income households comprised 21 percent of the City's total households and elderly households made up a significant proportion of extremely low- and low-income households within in the City. The proportion of low- and moderate-income households varied somewhat by ethnicity with Hispanics and African Americans disproportionately impacted (40 percent of Hispanics and 35 percent of African Americans were low-and moderate-income compared to 19 percent of Whites). Concentrations of low- and moderate-income households were disbursed along the north, eastern and central portions of the City. These areas also corresponded with the racial/ethnic concentrations, which appear consistent with data showing these groups tended to make lower median incomes than other racial and ethnic groups. While the

existing concentrations illustrate that patterns have developed, there do not appear to be specific reasons other than socio-economic reasons for the trend.

- **Housing:** The 2000 Census showed that the percentage of overcrowded households was significantly higher for minority households, though it is not apparent that discrimination or any particular public policy is causing this. While only two percent of White non-Hispanic households were reported as overcrowded, 41 percent of Some Other Race Alone, 26 percent of Hispanic households, 7 percent of African American households, and 6 percent of Asian households were overcrowded. Additionally, low- and moderate-income households experienced the greatest percentage of problems (overpayment and overcrowding), especially renters. CHAS data further indicated that Hispanics and Asians were disproportionately affected by housing problems. According to the State Community Care Licensing Division, there are currently no licensed adult day care facilities, adult residential care facilities, group homes, or small family homes located in Laguna Niguel to serve the disabled population. Thus, there is a need to increase capacity for these types of facilities. The disabled population may also need fair housing services, as disability discrimination is generally on the rise in California.
- **Fair Housing and Landlord/Tenant Issues:** Approximately 400 tenant/landlords complaints per year were received from Laguna Niguel residents for a total of 1,569 complaints in four years. Of these complaints, about 100 percent of residents affected were low- and moderate-income. Consistent with the City's demographics, the majority of complaints were from non-Hispanic Whites (70 percent) followed by Hispanics (9 percent), "Others" (8 percent), and Asians (6 percent); however, African Americans appear to be slightly overrepresented given they represent only one percent of the population and 5 percent of complaints. Special needs groups were reported as follows: 15 percent of complaints were from single- parents, 12 percent were seniors, and 10 percent were disabled. The majority of complaints were related to notices (20 percent), rental agreements (12 percent), and security deposits (8 percent).
- Public Sector Practices: It was noted that the City's Housing Element is outdated; though it is in the process of being updated. While little affordable housing has been built in the last few years, sites are currently being identified to accommodate the RHNA. The City does not administer its own housing programs; however, they have partnered with the County to address housing needs. There is little diversity in the membership of public representatives; however, the overall demographics of the City have likely been responsible for this trend; not any intentional discriminatory practices.
- Private Sector: Home prices have escalated considerably over the past few years and have recently declined; however, housing is still fairly expensive in Laguna Niguel. This has priced many lower income residents out of the housing market, with many lower income residents experiencing a high housing cost burden and overcrowded living conditions. Within the rental market, rental prices have increased while vacancy rates have decreased. Few apartments with three or more units were advertised for rent, indicating that finding appropriately sized rental housing for large households is difficult.
- Lending: According to the 2008 HMDA data, there were 1,577 conventional loan applications received for the purchase of homes in Laguna Niguel, with 55 percent approved, 12 percent denied, and 14 percent withdrawn or closed. Comparing approval rates by ethnicity in Laguna Niguel shows little disparity between Hispanic, White, Asian and African Americans, as rates were in the low 60's and were within a few percentage points of each other. Yet a substantial number of applicant race/ethnicity categories were reported as "not available" and had a high denial rate (36 percent) Pacific Islanders also had a low rate of 50 percent; though there were few applications from this group. Refinancing applications showed lower approval rates for minorities. In addition, the current housing market has resulted in many new concerns for the City not present in the last AI, that may provide opportunities for discrimination including: foreclosures, loan modifications, short sales, and real estate owned (REO) properties that have flooded the market.
- Survey/Outreach: While the majority of responses from the City's Fair Housing Survey indicate residents have not experienced discrimination in the City, there may be potential

concern for the City to consider, regarding the few survey responses that alluded to potential discriminatory attitudes within the City.

B. Recommendations and Proposed Actions to Address Impediments

Proposed actions to address the impediments to fair housing choice identified within the City are as follows and assumes the City will continue to allocate funds annually for the provision of fair housing services:

Action 1: Increase Fair Housing Education and Outreach Collaboration: The City should collaborate and coordinate with multiple agencies, including lenders, realtors, and cultural groups to provide increased efforts in educating residents on potential sources of discrimination and avenues to address fair housing. The City should target this education and outreach to various special needs groups including, but not limited to: the disabled, elderly, persons living with HIV/AIDS, low- and moderate-income large families, and minorities.

- **Timeframe:** By the end of FY 2010-2012, and annually thereafter.

Action 2: Provide Networking Opportunities, Homeownership Education, and Credit Counseling for Minority Groups: The City should partner with an agency that provides networking opportunities, homeownership education, and credit counseling targeted to minority and special needs groups.

- **Timeframe:** By the end of FY 2010-2012, and annually thereafter.

Action 3: Increase Fair Housing Services to Include Periodic Testing and Outreach Efforts within the City Limits: The City should collaborate with their fair housing service provider to increase the Scope of Work to provide period testing throughout the City, particularly in the rental market. In addition, education and outreach efforts should be conducted within the City limits.

- **Timeframe:** By the end of FY 2010-2011, and annually thereafter.

Action 4: Study the Feasibility of Providing Housing Programs: The City should collaborate and coordinate with multiple agencies to provide various housing programs to address affordability needs of low-and moderate-income households and the special needs of the disabled population that could benefit from down payment assistance, accommodation improvements, and/or low cost home improvements.

- **Timeframe:** By the end of FY 2014-2015.

Action 5: Update the City's Housing Element: The City should continue to work towards updating its housing element in compliance with State Law.

- **Timeframe:** Continue the process annually until completed.

Action 6: Collaborate with Affordable Housing Developers: The City should collaborate with various affordable housing developers to facilitate a variety of housing choices within the City and meet the City's allocation.

- **Timeframe:** Continue the process in FY 2010-2012, and annually thereafter.

As previously stated, the City is allocating $9,948 to the Fair Housing Council of Orange County to provide fair housing services to Laguna Niguel residents.

Appendix A
SF 424

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

*1. Type of Submission:	*2. Type of Application	* If Revision, select appropriate letter(s)
☐ Preapplication	☒ New	
☒ Application	☐ Continuation	*Other (Specify)
☐ Changed/Corrected Application	☐ Revision	

3. Date Received:	4. Applicant Identifier:
May 15, 2014	B-14-MC-06-0590

5a. Federal Entity Identifier:	*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:	7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Laguna Niguel

*b. Employer/Taxpayer Identification Number (EIN/TIN):	*c. Organizational DUNS:
33-0220695	788166916

d. Address:

*Street 1: 30111 Crown Valley Pkwy
Street 2:
*City: Laguna Niguel
County: Orange
*State: CA
Province:
*Country: USA
*Zip / Postal Code 92677

e. Organizational Unit:

Department Name:	Division Name:
Community Development Department	Administration

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr. *First Name: Jonathan
Middle Name:
*Last Name: Orduna
Suffix:

Title: Senior Planner

Organizational Affiliation:

*Telephone Number: (949) 362-4357 Fax Number: (949) 362-4369

*Email: jorduna@cityoflagunaniguel.org

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

US Department of Housing and Urban Development (HUD)

11. Catalog of Federal Domestic Assistance Number:

14-218

CFDA Title:

Community Development Block Grant (CDBG)

***12 Funding Opportunity Number**:

*Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Laguna Niguel; Orange County; CA

***15. Descriptive Title of Applicant's Project**:

Fiscal Year 2014-2015 One-Year Action Plan for the City's CDBG program

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

16. Congressional Districts Of:

*a. Applicant: 48 *b. Program/Project: 48

17. Proposed Project:

*a. Start Date: 07/01/2014 *b. End Date: 06/30/2015

18. Estimated Funding ($):

*a. Federal	$269,396
*b. Applicant	
*c. State	
*d. Local	
*e. Other	$186,123
*f. Program Income	
*g. TOTAL	$455,519

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Mr. *First Name: Rod

Middle Name:

*Last Name: Foster

Suffix:

*Title: City Manager

*Telephone Number: (949) 362-4300 Fax Number: (949) 362-4340

* Email: rfoster@cityoflagunaniguel.org

*Signature of Authorized Representative: *Date Signed:

Application for Federal Assistance SF-424 Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

Item	Entry:
1.	**Type of Submission:** (Required): Select one type of submission in accordance with agency instructions. • Preapplication • Application • Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date.
2.	**Type of Application:** (Required) Select one type of application in accordance with agency instructions. • New – An application that is being submitted to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify)
3.	**Date Received:** Leave this field blank. This date will be assigned by the Federal agency.
4.	**Applicant Identifier:** Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable.
5a	**Federal Entity Identifier:** Enter the number assigned to your organization by the Federal Agency, if any.
5b.	**Federal Award Identifier:** For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions.
6.	**Date Received by State:** Leave this field blank. This date will be assigned by the State, if applicable.
7.	**State Application Identifier:** Leave this field blank. This identifier will be assigned by the State, if applicable.
8.	**Applicant Information:** Enter the following in accordance with agency instructions: **a. Legal Name:** (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website. **b. Employer/Taxpayer Number (EIN/TIN):** (Required): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. **c. Organizational DUNS:** (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website. **d. Address:** Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US). **e. Organizational Unit:** Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the

Item	Entry:
10.	**Name Of Federal Agency:** (Required) Enter the name of the Federal agency from which assistance is being requested with this application.
11.	**Catalog Of Federal Domestic Assistance Number/Title:** Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.
12.	**Funding Opportunity Number/Title:** (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement.
13.	**Competition Identification Number/Title:** Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable.
14.	**Areas Affected By Project:** List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed.
15.	**Descriptive Title of Applicant's Project:** (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project.
16.	**Congressional Districts Of:** (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5th district, CA-012 for California 12th district, NC-103 for North Carolina's 103rd district. • If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. • If nationwide, i.e. all districts within all states are affected, enter US-all. • If the program/project is outside the US, enter 00-000.
17.	**Proposed Project Start and End Dates:** (Required) Enter the proposed start date and end date of the project.
18.	**Estimated Funding:** (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
19.	**Is Application Subject to Review by State Under Executive Order 12372 Process?** Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the

	assistance activity, if applicable. **f. Name and contact information of person to be contacted on matters involving this application**: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.		State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State
		20.	**Is the Applicant Delinquent on any Federal Debt?** (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes. If yes, include an explanation on the continuation sheet.
9.	Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions. A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education) N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education) O. Private Institution of Higher Education P. Individual Q. For-Profit Organization (Other than Small Business) R. Small Business S. Hispanic-serving Institution T. Historically Black Colleges and Universities (HBCUs) U. Tribally Controlled Colleges and Universities (TCCUs) V. Alaska Native and Native Hawaiian Serving Institutions W. Non-domestic (non-US) Entity X. Other (specify)		21.	**Authorized Representative**: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)

Appendix B
Certifications

CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

☐ **This certification does not apply.**
☒ **This certification is applicable.**

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
   a. The dangers of drug abuse in the workplace;
   b. The grantee's policy of maintaining a drug-free workplace;
   c. Any available drug counseling, rehabilitation, and employee assistance programs; and
   d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
   a. Abide by the terms of the statement; and
   b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
   a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
   b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Rod Foster

Name

City Manager

Title

30111 Crown Valley Pkwy

Address

Laguna Niguel, CA 92677

City/State/Zip

(949) 362-4300

Telephone Number

☐ **This certification does not apply.**
☒ **This certification is applicable.**

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) **2014**, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

    However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

    The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Rod Foster

Name

City Manager

Title

30111 Crown Valley Pkwy

Address

Laguna Niguel, CA 92677

City/State/Zip

(949) 362-4300

Telephone Number

☒ **This certification does not apply.**
☐ **This certification is applicable.**

OPTIONAL CERTIFICATION

CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

> The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

☒ **This certification does not apply.**
☐ **This certification is applicable.**

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

> The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

☒ **This certification does not apply.**
☐ **This certification is applicable.**

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,

2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

☒ **This certification does not apply.**
☐ **This certification is applicable.**

ESG Certifications

I, , Chief Executive Officer of , certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.

2. The building standards requirement of 24 *CFR* 576.55.

3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.

4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.

5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.

7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.

8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.

9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.

10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.

12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

☐ **This certification does not apply.**
☒ **This certification is applicable.**

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Drug-Free Workplace Certification
By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

3. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
4. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
5. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
6. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
7. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
Age Well Senior Services	24300 El Toro Rd. Bldg A Ste 2000	Laguna Woods	Orange	CA	92637
Boys and Girls Club of CV	1 Via Positiva	San Juan Capistrano	Orange	CA	92675
Families Forward	8 Thomas	Irvine	Orange	CA	92618
Fair Housing Council of OC	201 S. Broadway	Santa Ana	Orange	CA	92701
Laura's House	999 Corporate Dr.	Ladera Ranch	Orange	CA	92694
South County Outreach	7 Whatney, Suite B	Irvine	Orange	CA	92618
South Coast YMCA	29831 Crown Valley Pkwy.	Laguna Niguel	Orange	CA	92677
Vocational Visions	26041 Pala	Mission Viejo	Orange	CA	92691

8. Definitions of terms in the Non-procurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:
   a. All "direct charge" employees;
   b. All "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and

c. Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of sub-recipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan

Signature/Authorized Official

Date

Rod Foster

Name

City Manager

Title

30111 Crown Valley Pkwy

Address

Laguna Niguel, CA 92677

City/State/Zip

(949) 362-4300

Telephone Number

Appendix C
Summary of Citizen Participation / Public Comments

Summary of Citizen Participation Process: On January 2nd and 16th, 2014 the City posted a Notice of Funding Availability for the FY 2014-2015 CDBG program on the City website at www.cityoflagunaniguel.org and emailed to local and regional public-service providers, including all those providers that received CDBG funding during the current fiscal year. This notice was also published in the *Laguna Niguel News* on January 2, 2014 and January 16, 2014. The application deadline was January 31, 2014. The March 4, 2014 and March 18, 2014 Ad-Hoc Grants Committee meetings were posted as public meetings on the website and at City Hall and sent to all applicants 72 hours prior to the meetings (March 1, 2014 and March 15, 2014 respectively). A notice was published in the *Laguna Niguel News* on March 20, 2014 pertaining to the 30-day public review, the April 15, 2014 public hearing on the Draft Action Plan, and for the May 6, 2014 Council meeting to adopt the Action Plan.

The FY 2014-2015 Annual Action Plan was available for the 30-day public review and comment period beginning on March 20, 2014 and ending April 18, 2014. The City advertised the availability of the FY 2014-2015 Annual Action Plan for public review and comment in the *Laguna Niguel News* on March 20, 2014. Documents were provided for review at Laguna Niguel's City Hall (at the City Clerk's Office and in the Community Development Department), Sea Country Senior and Community Center, Laguna Niguel Library and on the City's Website. It was also available for review by requesting a copy in person, by telephone, fax, or e-mail. Copies of the proof of publications for the notices can be found in Appendix E of this FY 2014-2015 Annual Action Plan.

On April 15, 2014 at 7:00 pm, the City Council reviewed the various projects proposed for the FY 2014-2015 CDBG program and draft Annual Action Plan. A public notice was published on March 20, 2014 in the Laguna Niguel News regarding the public meeting. The City Council adopted the FY 2014-2015 Annual Action Plan at their regularly scheduled City Council meeting on May 6, 2014 at 7:00 pm. Notice and agendas for all meetings are posted at City Hall.

Summary of Citizen Comments: As stated, a 30-day public review of the Draft Action Plan was provided from March 20, 2014 and ending April 18, 2014. The City held four public meetings regarding the FY 2014-2015 Action Plan. The City received the following public comments during the 30-day public review period and/or during the public meetings:

- **During the Ad-Hoc Grants Committee meeting on March 4, 2014** representatives from the Boys and Girls Club, Families Forward, Fair Housing Council of Orange County, Laura's House, South County Outreach, and Vocational Visions spoke about the services each agency would provide during FY 2014-2015. It was also suggested that funds be utilized for improvements in Parks.
- **During the Ad-Hoc Grants Committee meeting on March 18, 2014** representatives from Age Well Senior Services and Vocational Visions spoke about the services each agency would provide during FY 2014-2015.
- **During the City Council meeting on April 15, 2014**, several public service providers spoke in support of proposed funding allocations.
- **During the City Council meeting on May 6, 2014,** no comments were received.

  The City Council adopted the final action plan at their May 6, 2014 meeting. There were no comments that were not accepted.

Appendix D
List of Proposed Projects

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High-Public Services/Administration

Project
Age Well Senior Services

Activity
Meals on Wheels Program

Description
Agency provides home delivered meals (three meals per day) to low- and moderate-income homebound senior and disabled residents.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 24300 El Toro Building A Ste 2000
(City, State, Zip Code): Laguna Woods, CA 92637

Objective Number SL-1	Project ID 1
HUD Matrix Code 05A	CDBG Citation 570.201(e)
Type of Recipient Subrecipient- Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 112
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$3,125
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$3,125

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☒ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High-Public Services/Administration

Project
Boys and Girls Club

Activity
After School Program

Description
Agency provides and after school program for low- and moderate-income youth.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 1 Via Positiva
(City, State, Zip Code): San Juan Capistrano, CA 92675

Objective Number SL-1	Project ID 2
HUD Matrix Code 05D	CDBG Citation 570.201(e)
Type of Recipient Subrecipient- Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 75
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$3,125
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$3,125

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High - Administration

Project
CDBG Administration and Planning

Activity
CDBG Administration and Planning

Description
Provides funding for personnel and operating costs associated with administration of the City's CDBG program.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 30111 Crown Valley Pkwy
(City, State, Zip Code): Laguna Niguel, CA 92677

Objective Number SL-1	Project ID 3
HUD Matrix Code 21A	CDBG Citation 570.206
Type of Recipient Local Government	CDBG National Objective N/A
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator Compliance with regulations	Annual Units N/A
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$37,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$37,000

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High – Fair Housing Services/Administration

Project
Fair Housing Services- Fair Housing Council of Orange County

Activity
Fair Housing Services

Description
Provides fair housing services, including tenant/landlord services, for very low-, low-, and moderate-income residents.

Objective category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 201 S. Broadway
(City, State, Zip Code): Santa Ana, CA 92701

Objective Number DH-1	Project ID 4
HUD Matrix Code 21D	CDBG Citation 570.206
Type of Recipient Subrecipient Private 570.500 (c)	CDBG National Objective N/A
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator Households	Annual Units 120
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$9,948
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$9,948

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High – Public Services

Project
Families Forward

Activity
Homeless Prevention Program

Description
This program is designed to shift income-eligible families residing in South Orange County from financial crisis to self-sufficiency. The goal is to help families regain self-sufficiency by providing a variety of services, including: financial counseling, career coaching, life skills education and case management to at-risk and homeless families with children.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 8 Thomas
(City, State, Zip Code): Irvine, CA 92618

Objective Number SL-1	Project ID 5
HUD Matrix Code 05	CDBG Citation 570.201(e)
Type of Recipient Subrecipient- Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 300
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$3,634
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$3,634

The primary purpose of the project is to help: ☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High – Public Services

Project
Laura's House

Activity
Domestic Violence Emergency Shelter and Support Services

Description
This program provides direct service to Laguna Niguel residents experiencing domestic violence. Services include: a Shelter Program, 24-hour crisis intervention and referral, counseling services, legal services, clothing and food, support groups and emergency transportation to shelter, court appointments, and others.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Supressed/Community-wide
(Street Address): 999 Corporate Dr.
(City, State, Zip Code): Ladera Ranch, CA 92694

Objective Number SL-1	Project ID 6
HUD Matrix Code 05G	CDBG Citation 570.201(e)
Type of Recipient Subrecipient Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 122
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$3,125
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$3,125

The primary purpose of the project is to help: ☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Laguna Niguel

Priority Need
High – Public Services

Project
South County Outreach

Activity
Homeless Prevention Program

Description
This program will continue to minimize hunger and malnutrition by providing free food to needy people and prevent homelessness by assisting with rental and utility bills. Its transitional housing program will help homeless families reach self-sufficiency and is computer-training program will help people get jobs.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 7 Whatney
(City, State, Zip Code): Irvine, CA 92618

Objective Number SL-1	Project ID 7
HUD Matrix Code 05	CDBG Citation 570.201(e)
Type of Recipient Subrecipient Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 570
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$8,800
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$8,800

The primary purpose of the project is to help: ☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's City of Laguna Niguel

Priority Need
High – Public Services

Project
South Coast YMCA

Activity
Child Care Scholarships

Description
Provides scholarships for year-round child care at eight facilities within Laguna Niguel to low- and moderate-income parents.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 29831 Crown Valley Parkway
(City, State, Zip Code): Laguna Niguel, CA 92677

Objective Number SL-1	Project ID 8
HUD Matrix Code 05L	CDBG Citation 570.201(e)
Type of Recipient Sub-recipient Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 105
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$15,500
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$15,500

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's City of Laguna Niguel

Priority Need
High – Public Services

Project
Vocational Visions

Activity

Description
Provides vocational and life skills training and support services to adults with developmental and other disabilities.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area: Community-wide
(Street Address): 26041 Pala
(City, State, Zip Code): Mission Viejo, CA 92691

Objective Number SL-1	Project ID 9
HUD Matrix Code 05B	CDBG Citation 570.201(e)
Type of Recipient Sub-recipient Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 16
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$3,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$3,000

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☒ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's City of Laguna Niguel

Priority Need
High - Infrastructure

Project
Capital Improvement Street Improvement Project (Low/Mod Area)

Activity
Capital Improvement Street Improvement Project (Low/Mod Area)

Description
Funds will be used to improve streets in the eligible areas, thereby benefitting 2,861 low- and moderate-income people in those census tracts and block groups.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Census Tract 423.26 Block Group 1 and 2 (Highlands); Census Tract 423.30 Block Group 2 and 3 (Marina Hills)

(Street Address): 30111 Crown Valley Pkwy
(City, State, Zip Code): Laguna Niguel, CA 92677

Objective Number SL-1	Project ID 10
HUD Matrix Code 03K	CDBG Citation 570.201(c)
Type of Recipient Sub-recipient Private 570.500 (c)	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 2,861
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$157,039
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	$186,123
Total	$343,162

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Table 3
Consolidated Plan Listing of Projects

Jurisdiction's City of Laguna Niguel

Priority Need
High - Infrastructure

Project
Capital Improvement ADA Push Button Project (Low/Mod Area)

Activity
Capital Improvement ADA Push Button Project (Low/Mod Area)

Description
Funds will be used to improve walkability for those with disabilities in and around the low- and moderate-income areas. Approximately 830 disabled residents will benefit from this activity.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
Census Tract 423.26 and Census Tract 423.19

(Street Address): 30111 Crown Valley Pkwy
(City, State, Zip Code): Laguna Niguel, CA 92677

Objective Number SL-1	Project ID 11
HUD Matrix Code 03	CDBG Citation 570.201(c)
Type of Recipient Sub-recipient Private 570.500 (c)	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2014	Completion Date (mm/dd/yyyy) 06/30/2015
Performance Indicator People	Annual Units 830
Local ID	Units Upon Completion

Funding Sources:	
CDBG	$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	$25,000

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☒ Persons with Disabilities ☐ Public Housing Needs

Appendix E
Proof of Publication

PUBLIC NOTICE
CITY OF LAGUNA NIGUEL
NOTICE OF AVAILABILITY
OF COMMUNITY DEVELOPMENT BLOCK GRANT
(CDBG) FUNDS FOR FISCAL YEAR (FY) 2014/2015

NOTICE IS HEREBY GIVEN that the City of Laguna Niguel is currently accepting applications for eligible CDBG funded projects or services for the next Fiscal Year, July 1, 2014 through June 30, 2015.

PROPOSAL: The primary objective of the Federal Housing and Urban Development Department's (HUD) CDBG program is "the development of viable urban communities by providing decent housing, a suitable environment and expanding economic opportunities principally for persons of low and moderate income." The City of Laguna Niguel anticipates receiving approximately $250,000 from HUD, of which 15 percent or approximately $37,500 will be granted to applicants providing eligible social service projects and programs.

The City is requesting proposals from interested, tax-exempt California non-profit organizations that provide eligible CDBG services and/or projects benefiting the residents of Laguna Niguel. All eligible applications will be reviewed by an Ad-Hoc Committee of the City Council. This committee will make recommendations to the City Council on funding allocations to service providers. The City Council will hold a public hearing at a later date to review the Ad-Hoc recommendations and make the final award determinations.

Eligible service providers must complete an application package. Applications are available on the City's Website www.CityOfLagunaNiguel.org or at the City of Laguna Niguel, Community Development Department, 30111 Crown Valley Pkwy, Laguna Niguel.

Applications will be accepted from January 2, 2014 until 5:00 P.M. on Friday, January 31, 2014 at the City of Laguna Niguel offices. Applications may not be sent by facsimile and no extension of the deadline will be granted. Applications may be photocopied, but must be signed by the official authorized to act on behalf of the applicant.

Questions regarding this request for proposal should be directed to Jonathan Orduna, with the City of Laguna Niguel at (949) 362-4357.

Juana Laur
Deputy City Clerk

Published Date:
January 2, 2014
January 16, 2014

PUBLIC NOTICE
CITY OF LAGUNA NIGUEL
CITY COUNCIL

NOTICE OF PUBLIC HEARING

NOTICE IS HEREBY GIVEN that the City Council of the City of Laguna Niguel will conduct a Public Hearing to consider the use of Community Development Block Grant (CDBG) funds in the City's FY 2014-2015 CDBG Action Plan.

Date of Hearing:	April 15, 2014
Time of Hearing:	7:00 P.M., or as soon thereafter as possible
Locating of Hearing:	City Council Chambers, City of Laguna Niguel 30111 Crown Valley Pkwy Laguna Niguel, CA 92677

PROPOSAL

The primary objective of the Community Development Block Grant (CDBG) program is "to develop viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for person of low and moderate income."
The Department of Housing and Urban Development (HUD) requires an annual action plan outlining the City's use of CDBG funds for the program year. As of this publication, HUD has not announced the FY 2014-2015 allocations. For budget purposes, the City is estimating an allocation of $244,890, a five percent decrease over the FY 2013-2014 allocation ($257,779). Based on the estimate, up to 15 percent ($36,733) may be allocated to public service programs. Up to 20 percent ($48,978) may be allocated to administration. The remainder may be allocated to economic development/capital improvement projects. In response to the City's Notice of Funding Availability for FY 2014-2015, the City received seven public service applications and one administrative application.

The City Council has established the Ad-Hoc Grants Committee to review public service provider applications for FY 2014-2015 CDBG funds, which met on March 4, 2014, and March 18, 2014. The recommendations of the Committee will be considered at the April 15, 2014, City Council meeting. The City Council will then establish funding levels for public service programs, administration and capital improvement projects in the FY 2014-2015 Action Plan.

The Draft FY 2014-2015 Action Plan will be available for public review at the following locations between March 20, 2014, and April 18, 2014.

City Hall, 30111 Crown Valley Pkwy
Sea Country Senior and Community Center, 24602 Aliso Creek Road
Laguna Niguel Library 30341 Crown Valley Pkwy

The Laguna Niguel City Council will consider all comments received during the public review period. The final FY 2014-2015 Action plan is scheduled for final adoption at the May 6, 2014, City Council Meeting.

INVITATION TO BE HEARD

All interested persons are invited to the Public Hearing to comment on the City's proposed CDBG allocations. In addition, written comments may be submitted to the Laguna Niguel Community Development Department prior to the public hearing.

If you wish to challenge the action taken on this proposal in court, you may be limited to raising only those issues you or someone else raised at the Public Hearing described in this notice, or in written correspondence delivered to the City at or prior to the Public Hearing.

Information on the City's CDBG program is available for public review at the Community Development Department of the City of Laguna Niguel, 30111 Crown Valley Pkwy, Laguna Niguel, California 92677, (949) 362-4357. The City Council staff report will be available Friday afternoon, April 11, 2014, and a copy may be obtained at the Community Development Department. Those desiring a copy of the staff report or requesting further information should contact Jonathan Orduna, of the Community Development Department at the above address and telephone number.

Publish on March 20, 2014

CITY OF LAGUNA NIGUEL

Ad-Hoc Grants Committee
Special Meeting
March 4, 2014 – 10:00 A.M.

Community Room - City Hall 30111 Crown Valley Pkwy

Any person wishing to address the Ad-Hoc Grants Committee on any grant issue, whether or not it appears on this agenda, is required to complete a "Request to Speak" form available at the door. Please submit the completed form to the Secretary prior to being heard by the Committee. Each person addressing the Committee will be limited to three (3) minutes, unless extended by the Committee. No action will be taken on any item not on the agenda, unless the Committee makes a determination that an emergency exists or that there is a need for immediate action and the need to take action came to the attention of the City subsequent to the agenda being posted.

CALL TO ORDER

ROLL CALL

PUBLIC COMMENTS

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) FUNDING ALLOCATIONS FOR FISCAL YEAR 2014-2015

1. **CDBG Public Service Program Grant Applications FY2014-2015**

   A. Age Well Senior Services
   B. Boys & Girls Club of Capistrano Valley
   C. Families Forward
   D. Laura's House
   E. South County Outreach
   F. YMCA of Orange County
   G. Vocational Visions

   Recommendation:

   Review the public service grant applications and make recommendations to the City Council on the appropriate funding amount for each provider, not to exceed a total of $36,733.

2. CDBG Administration FY2014-2015

A. Program Administration (Consultant Services)
B. Fair Housing Council of Orange County

Recommendation:

Review the administration portion of the grant and make recommendations to the City Council on funding not to exceed $48,978.

3. CDBG Capital Improvement Projects FY2014-2015

A. CDBG Eligible Street Improvement Projects

Recommendation:

Review the capital improvement projects portion of the grant and make recommendations to the City Council on funding.

ADJOURNMENT

POSTED DATE: February 28, 2014

POSTING TIME: 5pm

POSTED BY:

_____
Dalynn Zampino, Secretary
Community Development Department

Americans with Disabilities Act - In compliance with the Americans with Disabilities Act, if you are an individual who requires accommodations to participate in this meeting, please contact the Community Development Department at (949) 362-4360. Notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility.

The Council Chambers is wheelchair accessible and disabled parking is available in the City Hall parking lot. Assisted Listening is available in the Council Chambers. To arrange for use of a personal listening device, please contact the Community Development Department prior to the meeting.

CITY OF LAGUNA NIGUEL

Ad-Hoc Grants Committee
Special Meeting
March 18, 2014 – 1:30 P.M.

Community Room - City Hall 30111 Crown Valley Pkwy

Any person wishing to address the Ad-Hoc Grants Committee on any grant issue, whether or not it appears on this agenda, is required to complete a "Request to Speak" form available at the door. Please submit the completed form to the Secretary prior to being heard by the Committee. Each person addressing the Committee will be limited to three (3) minutes, unless extended by the Committee. No action will be taken on any item not on the agenda, unless the Committee makes a determination that an emergency exists or that there is a need for immediate action and the need to take action came to the attention of the City subsequent to the agenda being posted.

CALL TO ORDER

ROLL CALL

PUBLIC COMMENTS

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) FUNDING ALLOCATIONS FOR FISCAL YEAR 2014-2015

1. **CDBG Public Service Program Grant Applications FY2014-2015**

   A. Age Well Senior Services
   B. Boys & Girls Club of Capistrano Valley
   C. Families Forward
   D. Laura's House
   E. South County Outreach
   F. YMCA of Orange County
   G. Vocational Visions

   Recommendation:

   Review the public service grant applications and make recommendations to the City Council on the appropriate funding amount for each provider, not to exceed a total of $36,733.

2. **CDBG Administration FY2014-2015**

   A. Program Administration (Consultant Services)
   B. Fair Housing Council of Orange County

   Recommendation:

   Review the administration portion of the grant and make recommendations to the City Council on funding not to exceed $48,978.

3. **CDBG Capital Improvement Projects FY2014-2015**

   A. CDBG Eligible Street Improvement Projects

   Recommendation:

   Review the capital improvement projects portion of the grant and make recommendations to the City Council on funding.

ADJOURNMENT

POSTED DATE: March 14, 2014

POSTING TIME: 5pm

POSTED BY:

_____
Dalynn Zampino, Secretary
Community Development Department

Americans with Disabilities Act - In compliance with the Americans with Disabilities Act, if you are an individual who requires accommodations to participate in this meeting, please contact the Community Development Department at (949) 362-4360. Notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility.

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